

Functorial Coalgebraic Logic: The case of many-sorted varieties

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Overview

▶ Motivation

- ▷ Functors with finitary presentations
- ▷ Equational logic for higher-order abstract syntax
- ▷ Modular coalgebraic logic
- ▷ Uniform completeness proofs

Motivation

- Logics for T -coalgebras are suitably described by endofunctors on \mathcal{A}

$$L \left(\mathcal{A} \begin{array}{c} \longleftarrow \\ \longrightarrow \end{array} \mathcal{X} \right) T$$

- Functors having finitary presentation by operations and equations give rise to adequate logics for coalgebras
- Moving to many-sorted varieties is necessary in certain situations
- We are interested in modularity. How can we describe the logics for $T_1 \circ T_2$ -coalgebras?

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Many-sorted varieties: notations

- S - a set of sorts and Σ - a finitary signature, which can be regarded as a functor:

$$\Sigma : \text{Set}^S \rightarrow \text{Set}^S \quad (\Sigma X)_s = \left(\prod_{k \in \omega_f^S} \Sigma_{k,s} \times X^k \right)_s$$

$E \subseteq \text{Term}_\Sigma(X) \times \text{Term}_\Sigma(X)$ - a set of equations

- a many-sorted variety $\mathcal{A} := \text{Alg}(\Sigma, E)$
- the forgetful functor $U : \mathcal{A} \rightarrow \text{Set}^S$ and its left adjoint F .
- a slight generalization of the notion of signature:

$$\Sigma : \text{Set}^{S_1} \rightarrow \text{Set}^{S_2} \quad \Sigma X = \left(\prod_{k \in \omega_f^{S_1}} \Sigma_{k,s} \times X^k \right)_{s \in S_2}$$

Finitary presentations for algebras

- A be a many-sorted algebra in a variety \mathcal{A} .
- G is an S -sorted set of generators
- $E = (E_s)_{s \in S}$, $E_s \subset (UFG)_s \times (UFG)_s$ an S sorted set of equations
- A is presented by (G, E) iff A is the coequalizer of the following diagram:

$$FE \begin{array}{c} \xrightarrow{\pi_1^\sharp} \\ \xrightarrow{\pi_2^\sharp} \end{array} FG \xrightarrow{q_A} A$$

where the maps $\pi_1^\sharp, \pi_2^\sharp$ are induced, via the adjunction, by the projections π_1, π_2 of E on UFG .

How can we define a finitary presentation for $L : \mathcal{A}_1 \rightarrow \mathcal{A}_2$?

- LA should be the generated by the elements of A using some **operations**, encoded in a functor $\Sigma : \text{Set}^{S_1} \rightarrow \text{Set}^{S_2}$.
- What about the **equations**? If V is an S_1 -sorted set of variables we consider $(E_{V,s})_{s \in S_2}$:

$$E_{V,s} \subseteq (U_2 F_2 \Sigma U_1 F_1 V)_s^2$$

A finitary presentation for $L : \mathcal{A}_1 \rightarrow \mathcal{A}_2$ (1)

Definition. A functor $L : \mathcal{A}_1 \rightarrow \mathcal{A}_2$ is presented by $\langle \Sigma, E \rangle$, if

(i) for every algebra $A \in \mathcal{A}_1$ the algebra LA is the joint coequalizer taken after all finite sets of S_1 -sorted variables V and all valuations $v : V \rightarrow U_1A$.

$$F_2E_V \begin{array}{c} \xrightarrow{\pi_1^\#} \\ \xrightarrow{\pi_2^\#} \end{array} F_2\Sigma U_1F_1V \xrightarrow{F_2\Sigma U_1v^\#} F_2\Sigma U_1A \xrightarrow{q_A} LA$$

and ...

A finitary presentation for $L : \mathcal{A}_1 \rightarrow \mathcal{A}_2$ (2)

(ii) for all morphisms $f : A \rightarrow B$ the diagram commutes:

$$\begin{array}{ccc} F_2 \Sigma U_1 A & \xrightarrow{q_A} & LA \\ \downarrow F_2 \Sigma U_1 f & & \downarrow Lf \\ F_2 \Sigma U_1 B & \xrightarrow{q_B} & LB \end{array}$$

Alg(L) as an equational class

Theorem. Let $\mathcal{A} = \text{Alg}(\Sigma_{\mathcal{A}}, E_{\mathcal{A}})$ be an S -sorted variety and let $L : \mathcal{A} \rightarrow \mathcal{A}$ be a functor presented by operations Σ_L and equations E_L . Then $\text{Alg}(L) \cong \text{Alg}(\Sigma_{\mathcal{A}} + \Sigma_L, E_{\mathcal{A}} + E_L)$.

Proof.(Sketch) Define $H : \text{Alg}(L) \rightarrow \text{Alg}(\Sigma_{\mathcal{A}} + \Sigma_L, E_{\mathcal{A}} + E_L)$ by

$$\alpha : LA \rightarrow A \quad \mapsto \quad HA$$

- the underlying set of HA is defined to be UA .
- the interpretation of the operation symbols of $\Sigma_{\mathcal{A}}$ is the same as in the algebra A ;
- the interpretation of the operation symbols of Σ_L is given by

$$F\Sigma_L UA \xrightarrow{q_A} LA \xrightarrow{\alpha} A$$

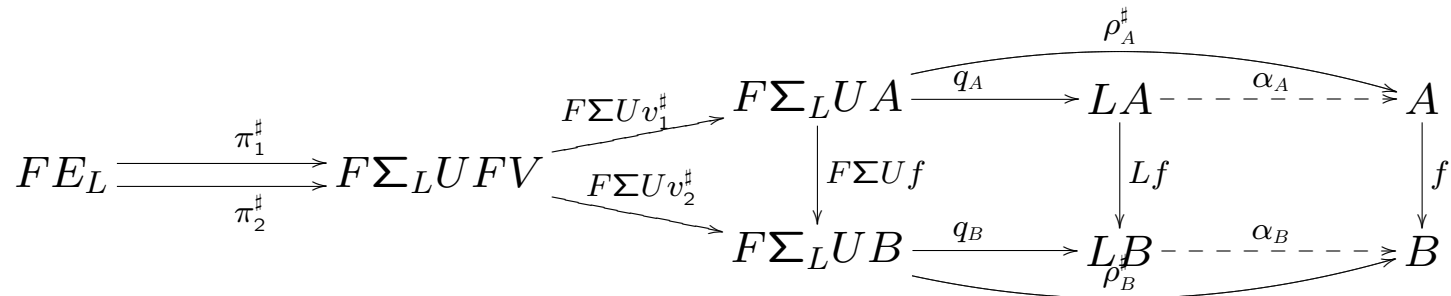
- HA satisfies the equations $E_{\mathcal{A}}$ and E_L

Alg(L) as an equational class

Conversely we define $J : \text{Alg}(\Sigma_A + \Sigma_L, E_A + E_L) \rightarrow \text{Alg}(L)$

- Consider the map $\rho_A : \Sigma_L U A \rightarrow U A$ defined by:

$$(\sigma_{(s_1 \dots s_n; s)}, x_{i_1}, \dots, x_{i_n}) \mapsto \sigma_{(s_1 \dots s_n; s)}(x_{i_1}, \dots, x_{i_n})$$



- We define $J(A)$ to be α_A .

A characterization theorem

Theorem. *Let S_1, S_2 be sets of sorts, \mathcal{A}_1 be an S_1 -sorted variety and \mathcal{A}_2 be an S_2 -sorted variety. For a functor $L : \mathcal{A}_1 \rightarrow \mathcal{A}_2$ the following conditions are equivalent:*

- (i) L has a finitary presentation by operations and equations;
- (ii) L preserves sifted colimits.

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Syntax with variable binders

- Cannot be captured as an initial algebra for functors on Set .
- But we can do it if we move to functors on a presheaf category (Fiore, Plotkin and Turi).
- In particular, the lambda terms up to α -equivalence form an initial algebra for a functor.

Canonical representatives for λ -terms up to α -equivalence

- The method of De Bruijn levels.

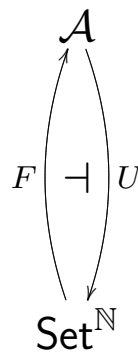
$$\frac{1 \leq i \leq n}{x_1, \dots, x_n \vdash x_i} \quad , \quad \frac{x_1, \dots, x_n, x_{n+1} \vdash t}{x_1, \dots, x_n \vdash \lambda x_{n+1}.t}$$

$$\frac{x_1, \dots, x_n \vdash t_1 \quad x_1, \dots, x_n \vdash t_2}{x_1, \dots, x_n \vdash t_1 t_2}$$

- Contexts stratify λ -terms up to α -equivalence and can be regarded as a set of sorts.
- We only have to find the right notion to encompass contexts and the operations allowed on them.

The functor-category $\text{Set}^{\mathbb{F}}$

- \mathbb{F} is the full subcategory of Set with objects $\underline{n} = \{1, \dots, n\}$ and $\underline{0} = \emptyset$.
- $\mathcal{A} = \text{Set}^{\mathbb{F}}$ is a many-sorted unary variety:
 - the sorts: objects of \mathbb{F}
 - operation symbols: morphisms of \mathbb{F}
 - equations: $h(x) = f(g(x))$ (when equality holds in \mathbb{F}) or $id_n(x) = x$



λ -terms as presheafs

- The equivalence classes of λ -terms over a countable set of variables $V = \{x_1, x_2, \dots\}$ form a presheaf in $\text{Set}^{\mathbb{F}}$: ΛV_α .
 - $\Lambda V_\alpha(\underline{n})$ is the set of equivalence classes of λ -terms with the free variables contained in the set $\{x_1, \dots, x_n\}$.
 - For any morphism $\rho : \underline{n} \rightarrow \underline{m}$, $\Lambda V_\alpha(\rho)$ substitutes the free variables x_i with $x_{\rho(i)}$.
- For an arbitrary presheaf of variables V , the λ -terms over V form a presheaf in $\text{Set}^{\mathbb{F}}$.

A suitable functor to describe the presheaf of λ -terms

- A coproduct structure on \mathbb{F}

$$\begin{array}{ccc} & & \underline{1} \\ & & \downarrow \text{new} \\ \underline{n} & \xrightarrow{i} & \underline{n + 1} \end{array}$$

where i is the inclusion and $\text{new}(1) = n + 1$.

- The type constructor $\delta : \mathcal{A} \rightarrow \mathcal{A}$ for context extension:

$$\delta(A)(\rho) = A(\rho + id_1) \quad \forall A \in \mathcal{A} \quad \forall \rho \in \mathbb{F}^{Morph}$$

- Let $L : \mathcal{A} \rightarrow \mathcal{A}$ be the functor given by

$$LX = \delta X + X \times X$$

The algebraic structure of ΛV_α

Theorem. (Fiore, Plotkin, Turi) ΛV_α is the free L -algebra on the presheaf of variables V

- But $\text{Alg}(L)$ is an equational class, and a presentation can be obtained from:
 - an equational presentation of \mathcal{A} and
 - a finitary presentation of L .

An equational presentation for \mathcal{A} : the signature

We consider the following **operation symbols**:

$$\Sigma_{\mathcal{A}} = \{\sigma_n^{(i)} \mid 1 < n, 1 \leq i < n\} \cup \{w_n \mid n \geq 0\} \cup \{c_n \mid n > 0\}$$

with the intended interpretation:

$\sigma_n^{(i)}$ - the transposition $(i, i + 1)$ of the set \underline{n} ,

c_n - a contraction $c_n : \underline{n + 1} \rightarrow \underline{n}$, given by

$$c_n(i) = i \quad \forall i \leq n, \quad c_n(n + 1) = n$$

w_n - the inclusion of \underline{n} into $\underline{n + 1}$.

An equational presentation for \mathcal{A} : the equations $E_{\mathcal{A}}$ (1)

-the equations coming from the presentation of the **symmetric group**:

$$\begin{aligned}(\sigma_n^{(i)})^2(x) &= id_n(x) & 1 \leq i < n \\ \sigma_n^{(i)} \sigma_n^{(j)}(x) &= \sigma_n^{(j)} \sigma_n^{(i)}(x) & j \neq i \pm 1; 1 \leq i, j < n \\ (\sigma_n^{(i)} \sigma_n^{(i+1)})^3(x) &= id_n(x) & 1 \leq i < n - 1\end{aligned} \quad (E_1)$$

-the equations coming from the presentation of the **monoid of functions on \underline{n}** (Aizenstat):

$$\begin{aligned}A\sigma_n^{(1)} &= \sigma_n^{(3)} A\sigma_n^{(3)} = (3, 4, \dots, n)A(3, 4, \dots, n) = [(1, n)A]^2 = A \\ (\sigma_n^{(2)} A)^2 &= A\sigma_n^{(2)} A = (A\sigma_n^{(2)})^2 \\ (\sigma_n^{(2)} (1, n)A)^2 &= (A\sigma_n^{(2)} (1, n))^2\end{aligned} \quad (E_2)$$

An equational presentation for \mathcal{A} : the equations $E_{\mathcal{A}}$ (2)

-and some **extra** equations:

$$c_n \sigma_{n+1}^{(n)}(y) = c_n(y) \quad (\text{E}_3)$$

$$c_n w_n(x) = id_n(x) \quad (\text{E}_4)$$

$$\sigma_{n+1}^{(i)} w_n(x) = w_n \sigma_n^{(i)}(x) \quad 1 \leq i < n \quad (\text{E}_5)$$

$$\sigma_{n+2}^{(n+1)} w_{n+1} w_n(x) = w_{n+1} w_n(x) \quad (\text{E}_6)$$

$$\sigma_n^{(i)} c_n(y) = c_n \sigma_{n+1}^{(i)}(y) \quad i < n - 1 \quad (\text{E}_7)$$

$$c_n \sigma_{n+1}^{(n-1)} \sigma_{n+1}^{(n)} w_n(x) = \sigma_n^{(n-1)} w_{n-1} c_{n-1}(x) \quad (\text{E}_8)$$

$$c_1 c_2 \sigma_3^{(1)} = c_1 c_2 \quad (\text{E}_9)$$

A finitary presentation for L

- **The operation symbols:** lam_n, app_n for each $n \in \mathbb{N}$; (semantically they correspond to λ -abstraction and application).
- The respective signature functor $\Sigma_L : \text{Set}^{\mathbb{N}} \rightarrow \text{Set}^{\mathbb{N}}$ is given by:

$$(\Sigma_L X)_m = \{lam_{m+1}\} \times X_{m+1} + \{app_m\} \times X_m \times X_m$$

- For any presheaf $V \in \mathcal{A}$ let $\rho_V : \Sigma UV \rightarrow ULV$ be the map defined by

$$(lam_{n+1}, t) \mapsto t \quad \forall t \in V(n+1) = (\delta V)(n)$$

$$(app_n, t_1, t_2) \mapsto (t_1, t_2) \quad \forall t_1, t_2 \in V(n)$$

A finitary presentation for L - the equations

- The equations E_L should correspond to the kernel pair of the adjoint transpose $\rho_V^\sharp : F\Sigma UV \rightarrow LV$.

$$\begin{aligned}
 \sigma_n^{(i)}(\text{lam}_{n+1}, t) &= (\text{lam}_{n+1}, \sigma_{n+1}^{(i)} t) && [t] \\
 w_n(\text{lam}_{n+1}, t) &= (\text{lam}_{n+2}, \sigma_{n+2}^{(n+1)} w_{n+1} t) && [t] \\
 c_n(\text{lam}_{n+2}, t') &= (\text{lam}_{n+1}, \sigma_{n+1}^{(n)} c_{n+1} \sigma_{n+2}^{(n)} \sigma_{n+2}^{(n+1)} t') && [t'] \\
 \sigma_n^{(i)}(\text{app}_n, t_1, t_2) &= (\text{app}_n, \sigma_n^{(i)} t_1, \sigma_n^{(i)} t_2) && [t_1, t_2] \\
 w_n(\text{app}_n, t_1, t_2) &= (\text{app}_n, w_n t_1, w_n t_2) && [t_1, t_2] \\
 c_n(\text{app}_n, t_1, t_2) &= (\text{app}_n, c_n t_1, c_n t_2) && [t_1, t_2]
 \end{aligned}$$

Representing different implementations of λ -terms

- If V is the presheaf defined by $V(\rho) = \rho$ for all morphisms ρ in \mathbb{F} , the free L -algebra over V gives an implementation of λ -terms by the De Bruijn levels method.
- How can we obtain different implementations for λ -terms?
- One possible approach: equip \mathbb{F} with different coproduct structures!
- But this implies working with a different functor than L .
- Let's keep L and use different presheaves of variables!

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Representing different implementations of λ -terms

If W is the presheaf of variables defined explicitly by

$$W(n) = n \quad W(c_n)(1) = 1 \quad W(c_n)(i) = i - 1; i > 1$$

$$W(w_n)(i) = i + 1$$

$$W(\sigma_n^{(i)}) = \sigma_n^{(n-i)}$$

we obtain the presheaf ΛW_α of λ -terms implemented by the De Bruijn indices method.

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Combining syntax and proof systems of two coalgebraic logics

- logics for T_1 -coalgebras L_1 $\langle \Sigma_1, E_1 \rangle$
 - logics for T_2 -coalgebras L_2 $\langle \Sigma_2, E_2 \rangle$
 - Logics for $T_2 \circ T_1$ -coalgebras $L = L_2 \circ L_1$?
- A finitary presentation for $L = L_2 \circ L_1$ certainly exists, but can it be obtained in a modular way?

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The two-sorted composition of functors

- A coalgebra $X \rightarrow T_2 \circ T_1(X)$ first goes to an **intermediate** state $T_1(X)$ and then to the **proper** state $T_2(T_1(X))$.

Definition Given two functors $L_1 : \mathcal{A}_s \rightarrow \mathcal{A}_i$ and $L_2 : \mathcal{A}_i \rightarrow \mathcal{A}_s$ between any two categories, the two-sorted composition of L_1 with L_2 is the functor $\bar{L} : \mathcal{A}_i \times \mathcal{A}_s \rightarrow \mathcal{A}_i \times \mathcal{A}_s$ mapping $A = (A_i, A_s)$ to $(\bar{L}A)_s = L_2(A_i)$ and $(\bar{L}A)_i = L_1 A_s$.

Proposition Consider categories $\mathcal{A}_i, \mathcal{A}_s$ which are lfp and two finitary functors $L_1 : \mathcal{A}_s \rightarrow \mathcal{A}_i$ and $L_2 : \mathcal{A}_i \rightarrow \mathcal{A}_s$. Let \bar{L} be the two-sorted composition of L_1 with L_2 . Then the s -component of the initial \bar{L} -algebra is the initial $L_2 L_1$ -algebra.

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A presentation for the two-sorted composition of two functors

- Consider $\mathcal{A}_i, \mathcal{A}_s$ - many-sorted varieties $L_1 : \mathcal{A}_s \rightarrow \mathcal{A}_i$ presented by $\langle \Sigma_1, E_1 \rangle$ and $L_2 : \mathcal{A}_i \rightarrow \mathcal{A}_s$ presented by: $\langle \Sigma_2, E_2 \rangle$
- Define $\bar{\Sigma} : \text{Set}^{S_1} \times \text{Set}^{S_2} \rightarrow \text{Set}^{S_1} \times \text{Set}^{S_2}$ by

$$(\bar{\Sigma} X)_s = \Sigma_2 X_i$$

$$(\bar{\Sigma} X)_i = \Sigma_1 X_s$$

where $X = (X_i, X_s)$ denotes and element of $\text{Set}^{S_1} \times \text{Set}^{S_2}$.

- Equations are given by $\bar{E}_s = E_2, \bar{E}_i = E_1$.

Theorem *Then $\langle \bar{\Sigma}, \bar{E} \rangle$ is a presentation of the two-sorted composition \bar{L} of L_1 with L_2 .*

An example: $\mathcal{A}_i = \mathcal{A}_s = \text{BA}$

- $\vdash_i \psi$ and $\vdash_s \phi$ to assert that ψ, ϕ are formulas of sort i, s
- **The formulas** of both sorts are closed under Boolean operations and for all n -ary operation symbols σ_i in Σ_i , formulas are closed under:

$$\frac{\vdash_i \psi_1, \dots, \vdash_i \psi_n}{\vdash_s \sigma_2(\psi_1, \dots, \psi_n)}$$

$$\frac{\vdash_s \phi_1, \dots, \vdash_s \phi_n}{\vdash_i \sigma_1(\phi_1, \dots, \phi_n)}$$

An example: $\mathcal{A}_i = \mathcal{A}_s = \text{BA}$

- **The axioms** are given by equations E_1, E_2 , sortwise.
- **The rules** of the calculus are those of equational logic. The only rules that make the two sorts interact are the congruence rules:

$$\frac{\vdash_i \psi_1 = \psi'_1, \dots, \vdash_i \psi_n = \psi'_n}{\vdash_s \sigma_2(\psi_1, \dots, \psi_n) = \sigma_2(\psi'_1, \dots, \psi'_n)}$$

$$\frac{\vdash_s \phi_1 = \phi'_1, \dots, \vdash_s \phi_n = \phi'_n}{\vdash_i \sigma_1(\phi_1, \dots, \phi_n) = \sigma_1(\phi'_1, \dots, \phi'_n)}$$

Logics for the sum of two functors

- the logic of T

L

- the logic of $T + T$

$$\text{BA} \xrightarrow{\langle L, L \rangle} \text{BA} \times \text{BA} \xrightarrow{L_+} \text{BA}$$

- Here $L_+ : \text{BA} \times \text{BA} \rightarrow \text{BA}$ captures the logic of $+$: $\text{Set} \times \text{Set} \rightarrow \text{Set}$ and has a finitary presentation:

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Complete logics for set-functors

Definition of L

$$L \left(\begin{array}{ccc} \mathcal{A} & \begin{array}{c} \xleftarrow{P} \\ \xrightarrow{S} \end{array} & \mathcal{X} \\ \uparrow I & & \\ \mathcal{A}_0 & & \end{array} \right) T$$

where \mathcal{A} is lfp with a small subcategory \mathcal{A}_0 of finitely presentable objects.

- We then define L on \mathcal{A}_0 as

$$LA = PTSA$$

and extend L continuously from \mathcal{A}_0 to \mathcal{A} .

- L preserves filtered colimits, whereas PTS need not to do so.

Complete logics for set-functors

Definition of $\delta : LP \rightarrow PT$.

$$\begin{array}{ccccc} & & LPX & \xrightarrow{\delta_X} & PTX \\ & & \uparrow & & \uparrow \\ PX & & & & PTc_i^\# \\ \uparrow & & & & \uparrow \\ c_i & & Lc_i & & \\ A_i & & LA_i & \xrightarrow{\cong} & PTSA_i \end{array}$$

Lemma δ_X as defined above is injective.

Theorem. *The logic given by L as defined above is complete for T -coalgebras.*

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Thank you!