

# A completeness result for gs-monoidal categories

Fabio Gadducci

Discussions: Andrea Corradini

# a roadmap

— [ some original motivations from graph rewriting

— [ an alternative presentation for cartesian categories

— [ a functorial characterization for partial and multi-algebras

— [ some facts on monoidal monads

— [ a completeness result for gs-monoidal cats [over semi-modules]

— a characterization for multiset-algebras

# 1-slide graph transformation

- Why graph rewriting (late Sixties, early Seventies)
  - generalizes Chomsky grammars (adding data sharing)
  - used in constraint solving and data structuring (70's)
  - applied as a (visual) specification technique (80's-90's)

■ but...

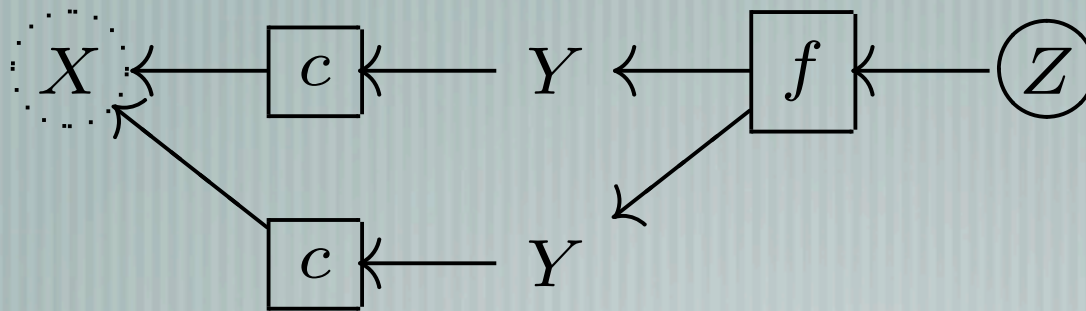
- no (obvious) algebraic structure (no induction)
- neither (temporal) logic nor calculus

Many data structures (HLR, adhesive...)  
for the same meta-approach

# addressing the syntax...

a signature

$$\Sigma = \langle \{X, Y, Z\}, \{c \in \Sigma_{X,Y}, f \in \Sigma_{YY,Z}\} \rangle$$



a rooted tree

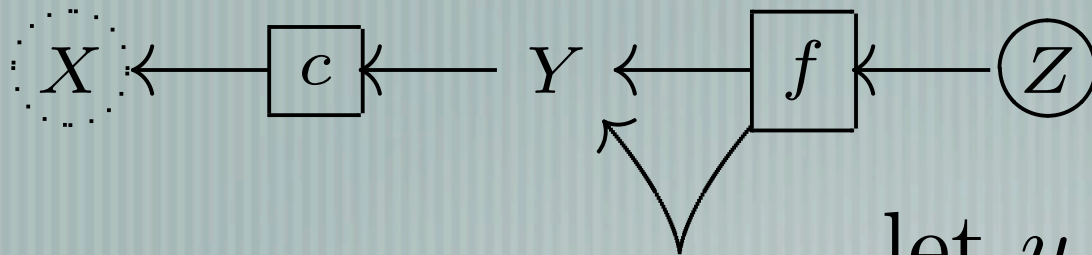
a standard term

$$f(c(x), c(x))$$

how to obtain a term-like presentation for term graphs?

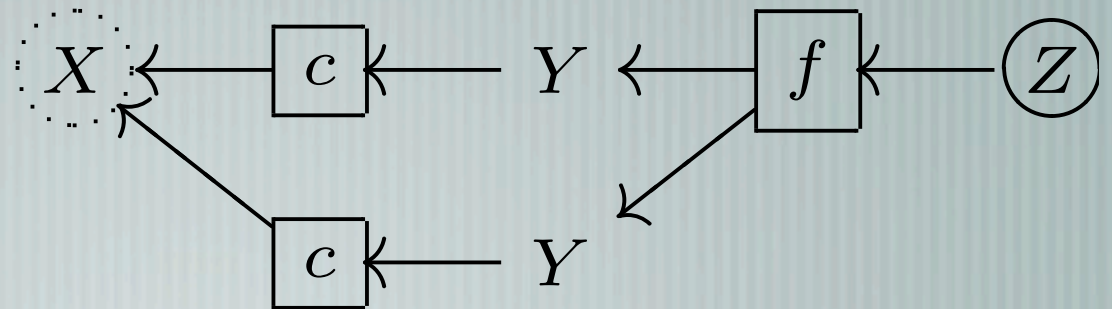
which are the associated models (algebras), if any?

# (part of) running example...



let  $y$  be  $c(x)$  in  $f(y, y)$

syntax quite there...  
but which underlying  
(categorical) models?

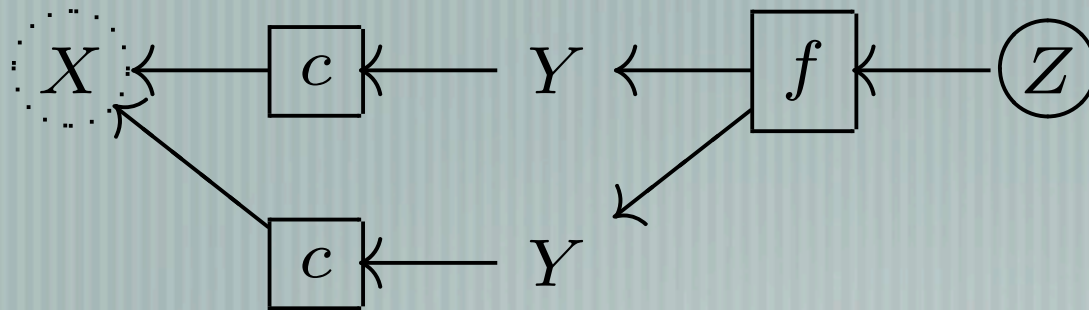


let  $\langle y_1, y_2 \rangle$  be  $\langle c(x), c(x) \rangle$  in  $f(y_1, y_2)$

# a classical presentation

- [ The algebraic theory  $Th(\Sigma)$  is concretely defined as
  - lists of vars as objects, (tuples of) typed terms as arrows
  - term substitution as composition
- [ (the theory is also the free cartesian category over  $\Sigma$ )
- [ Algebras over  $\Sigma$  and axioms in  $E$  as functors
$$M \in [Th(\Sigma), \mathbf{Set}]_E^\times$$
- [ product and axioms preserving (homs as natural transfs.)

# a completeness result



from objects (arrows)  
to sets (functions)

$$M(X) \xrightarrow{M(c) \times M(c)} M(Y) \times M(Y) \equiv M(Y \times Y) \xrightarrow{M(f)} M(Z)$$

[ A completeness property

$$\forall s, t \in Th(\Sigma). \{s \equiv t \iff \forall M \in [Th(\Sigma), \mathbf{Set}]_E^\times. M(s) = M(t)\}$$

# an alternative take

— [  $Th(\Sigma)$  is the free symmetric (strict) monoidal category equipped with symmetric monoidal natural transformations

$$\nabla_a : a \longrightarrow a \otimes a \qquad !_a : a \longrightarrow e$$

— [ (intuitively representing pairing tuple  $\langle x, x \rangle$  and empty tuple)



# explicit definition of a theory

$$\begin{array}{ccc}
 a & \xrightarrow{\nabla_a} & a \otimes a \\
 \downarrow s & & \downarrow s \otimes s \\
 b & \xrightarrow{\nabla_b} & b \otimes b
 \end{array}$$

$$\begin{array}{ccc}
 a & \xrightarrow{!_a} & e \\
 \downarrow s & & \downarrow e \\
 b & \xrightarrow{!_b} & e
 \end{array}$$

$$\begin{array}{ccc}
 a & \xrightarrow{\nabla_a} & a \otimes a \\
 \downarrow \nabla_a & & \downarrow a \otimes \nabla_a \\
 a \otimes a & \xrightarrow{\nabla_a \otimes a} & a \otimes a \otimes a
 \end{array}$$

$$\begin{array}{ccc}
 a & \xrightarrow{\nabla_a} & a \otimes a \\
 \searrow \nabla_a & & \downarrow \gamma_{a,a} \\
 & & a \otimes a
 \end{array}$$

$$\begin{array}{ccc}
 a \otimes b & \xrightarrow{\nabla_a \otimes \nabla_b} & a \otimes a \otimes b \otimes b \\
 \searrow \nabla_{a \otimes b} & & \downarrow a \otimes \gamma_{a,b} \otimes b \\
 & & a \otimes b \otimes a \otimes b
 \end{array}$$

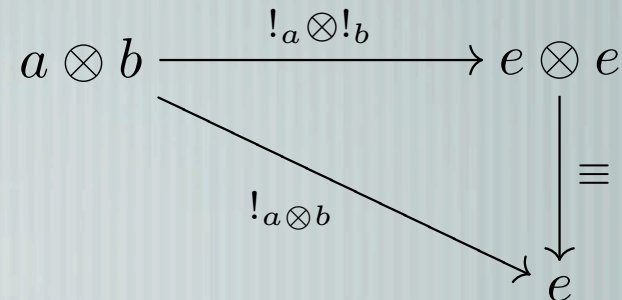
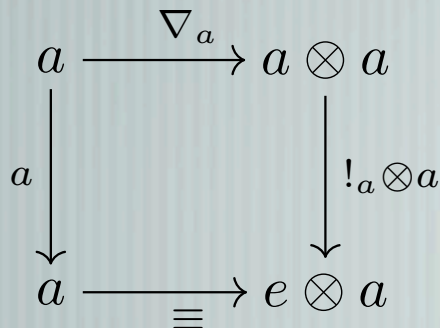
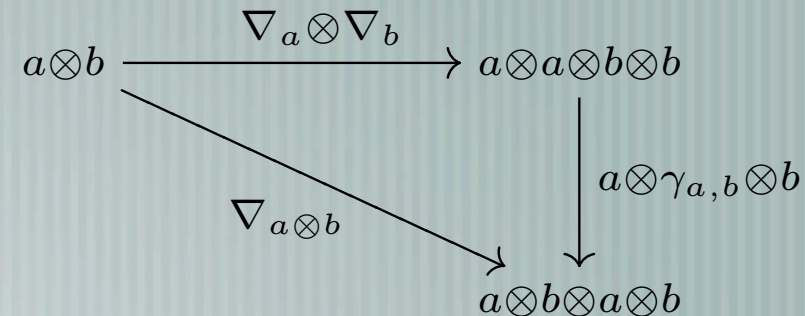
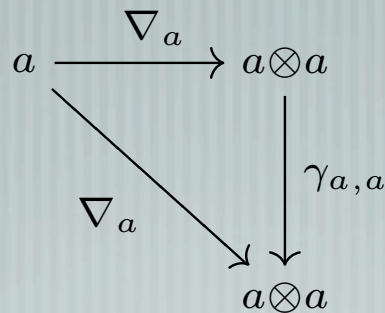
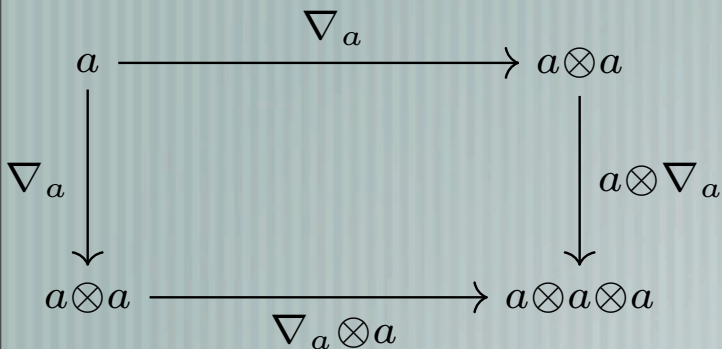
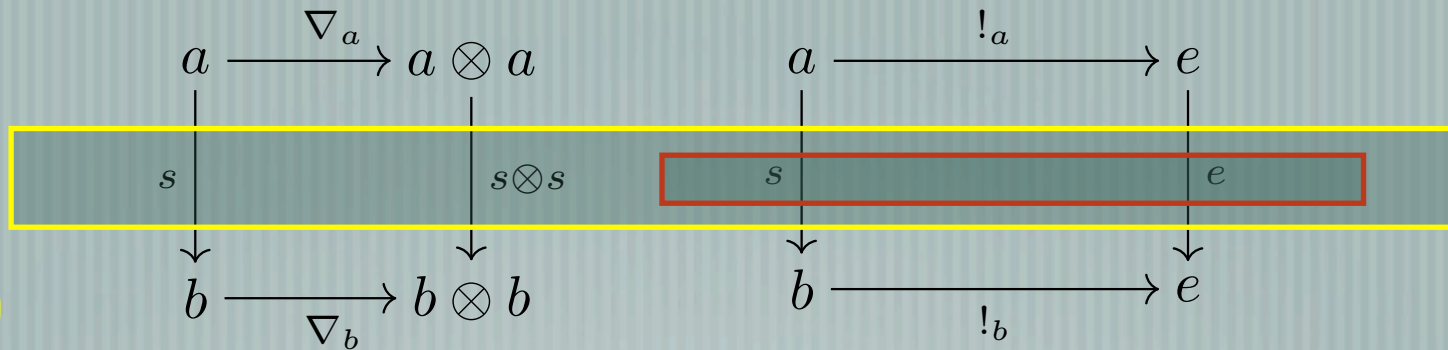
$$\begin{array}{ccc}
 a & \xrightarrow{\nabla_a} & a \otimes a \\
 \downarrow a & & \downarrow !_a \otimes a \\
 a & \xrightarrow{\equiv} & e \otimes a
 \end{array}$$

$$\begin{array}{ccc}
 a \otimes b & \xrightarrow{!_a \otimes !_b} & e \otimes e \\
 \searrow !_a \otimes b & & \downarrow \equiv \\
 & & e
 \end{array}$$

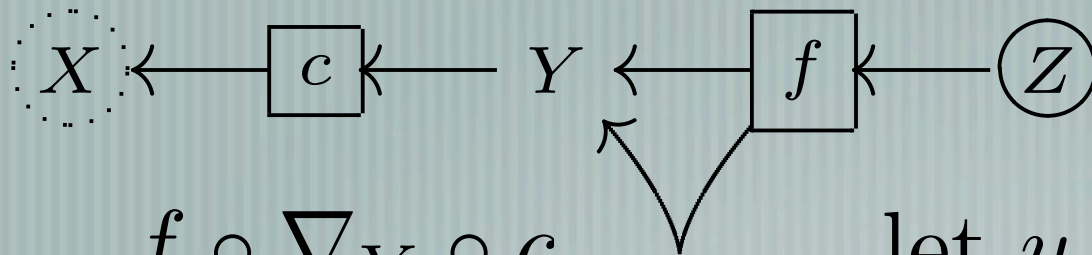
# two alternative takes

$GSTh(\Sigma)$

$GTh(\Sigma)$

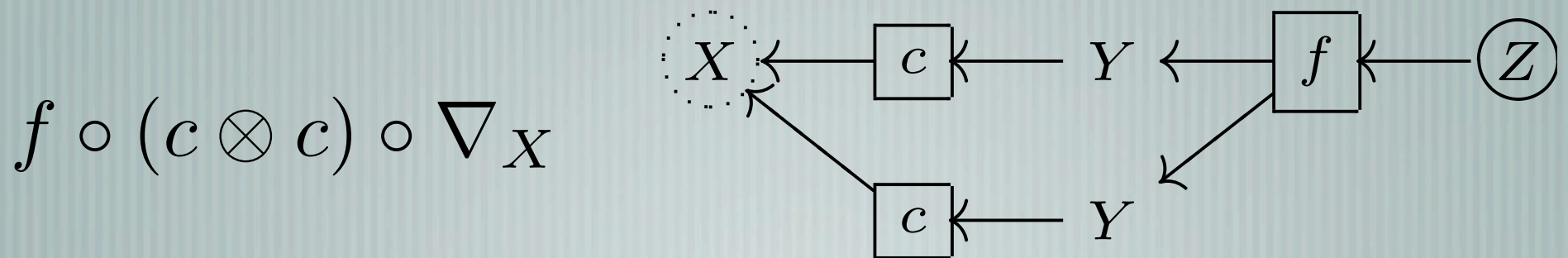


# (more of) running example...



$$f \circ \nabla_Y \circ c$$

let  $y$  be  $c(x)$  in  $f(y, y)$



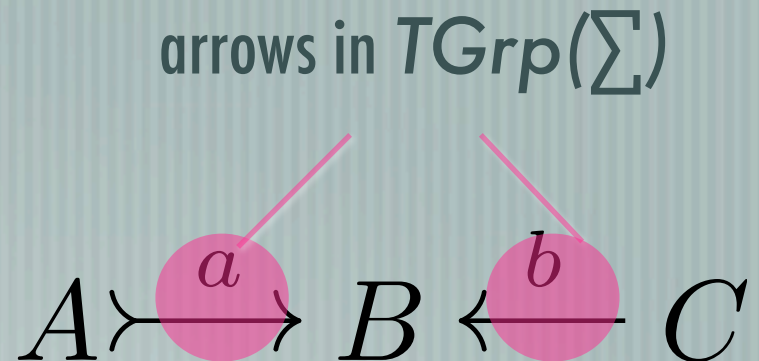
$$f \circ (c \otimes c) \circ \nabla_X$$

let  $\langle y_1, y_2 \rangle$  be  $\langle c(x), c(x) \rangle$  in  $f(y_1, y_2)$

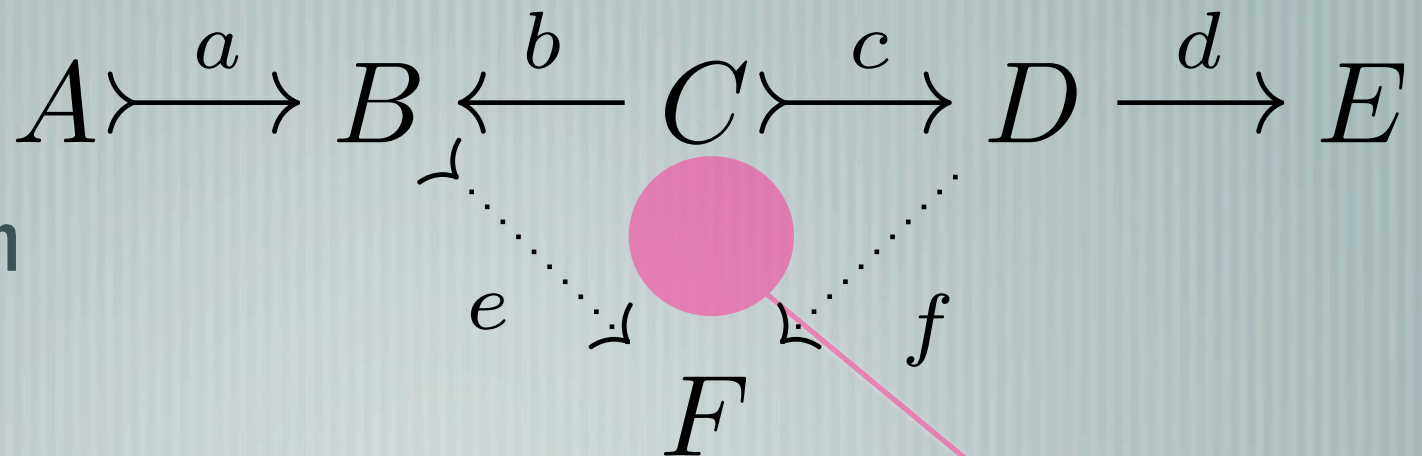
# the (linear) CoSpan (bi-)category

$$TGrp(\Sigma) = TGrp \downarrow \Sigma$$

an arrow



composition



[holding for any cocomplete cat]

pushout in  $TGrp(\Sigma)$

# some characterization results

— [ arrows in  $GSTh(\Sigma)$  are (isomorphic classes of linear) cospans of term graphs (typed over  $\Sigma$ )

— You abstract the identity of nodes not in the interface

— ...but this way graphs get a “standard” notion of sentence

— [ arrows in  $GTh(\Sigma)$  are conditioned terms  $s \mid D$  (over  $\Sigma$ )

—  $s$  a term (the functional)

[garbage]

—  $D$  a sub-term closed set of terms (the domain restriction)

# functorial characterizations

— [ Partial algebras with  $\perp$ -preserving operators, tight homomorphisms and conditioned Kleene equations

— [ Multialgebras with tight point-to-set operators, tight point-to-point homomorphisms and “term graph” equations

$$[GTh(\Sigma), \mathbf{Set}_{\perp}]_E^{\times}$$

$$[GSTh(\Sigma), 2^{\mathbf{Set}}]_E^{\times}$$

# completeness & entailment

— [ Completeness for partial algebras

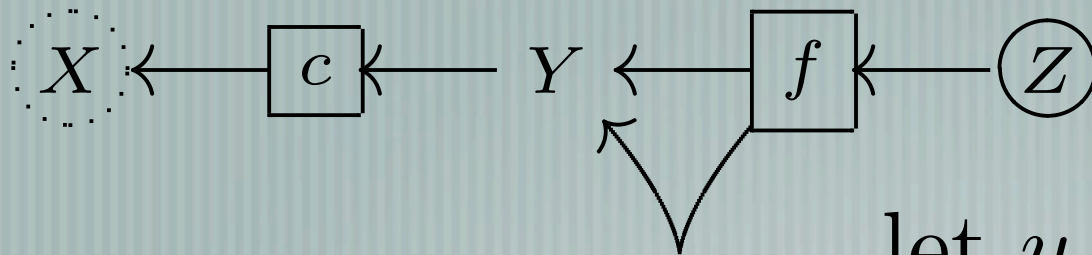
$$\forall s, t \in GTh(\Sigma). \{s \equiv t \iff \forall M \in [GTh(\Sigma), \mathbf{Set}_\perp]_E^\times. M(s) = M(t)\}$$

— [ Complete entailment system for partial algebras

$$\frac{s \mid D_s \equiv t \mid D_t}{s \mid D_s \cup D \equiv t \mid D_t \cup D} \quad \frac{u_i \mid D_u \quad (s \mid D_s, t \mid D_t) \in E}{s[\bar{u}/\bar{x}] \mid D_s[\bar{u}/\bar{x}] \cup D_u \equiv t[\bar{u}/\bar{x}] \mid D_t[\bar{u}/\bar{x}] \cup D_u}$$

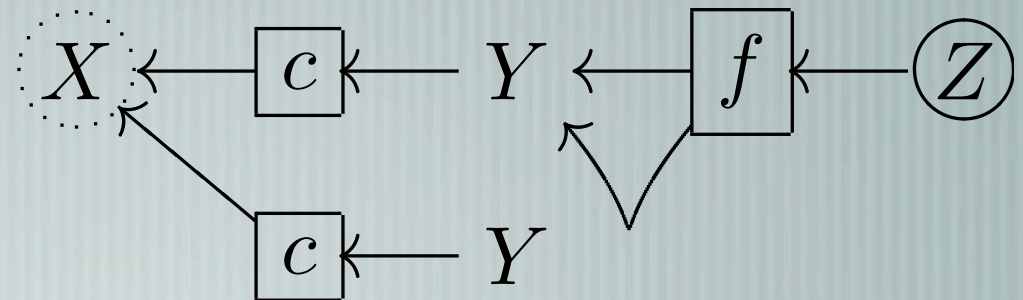
— [ Claim: no finite complete entailment system for multi-algebras

# (more of) running example...



let  $y$  be  $c(x)$  in  $f(y, y)$

“garbage equivalent”:  
same as multialgebra  
terms, different as  
[linear cospans of]  
term graphs



let  $\langle y_1, y_2 \rangle$  be  $\langle c(x), c(x) \rangle$  in  $f(y_1, y_1)$



# a semiring-based functor

— [ How to further generalize multialgebras?

— First, generalize the finite power-set monad...

— ...then, take the Kleisli category!!

$$\mathcal{S} = \langle S, 0, 1, \oplus, \otimes \rangle \quad \mathcal{S}^- : \mathbf{Set} \rightarrow \mathbf{Set}$$

$$\mathcal{S}^X = [X, S] \quad [\mathcal{S}^{f:X \rightarrow Y} (g)](y) = \bigoplus_{x \in f^{-1}(y)} g(x)$$

[finite support]

# A monoidal monadic detour

— [ The construction presented on semi-modules is an instance of the monoidal structure induced by monoidal monads!!

— [ First step: symmetric monoidal functor

$$F : (C, \otimes_C, e_C, \rho_C) \rightarrow (D, \otimes_D, e_D, \rho_D)$$

$$m_{a,b} : F(a) \otimes_D F(b) \rightarrow F(a \otimes_C b)$$

$$m_e : e_D \rightarrow F(e_C)$$

natural transformations  
plus coherence axioms

# some more coherence

Second step: symmetric monoidal monad  $\langle T, \eta, \mu \rangle$

$$\eta_a : a \longrightarrow T(a)$$

$$\mu_a : T(T(a)) \longrightarrow T(a) \quad \text{natural transformations}$$

$$\begin{array}{ccc} T(a) & \xrightarrow{T(\eta_a)} & T(T(a)) \\ \eta_{T(a)} \downarrow & & \downarrow \mu_a \\ T(T(a)) & \xrightarrow{\mu_a} & T(a) \end{array} \quad \begin{array}{ccc} T(T(T(a))) & \xrightarrow{T(\mu_a)} & T(T(a)) \\ \mu_{T(a)} \downarrow & & \downarrow \mu_a \\ T(T(a)) & \xrightarrow{\mu_a} & T(a) \end{array}$$

# some more coherence

Second step: symmetric monoidal monad  $\langle T, \eta, \mu \rangle$

$$\eta_a : a \longrightarrow T(a)$$

$$\mu_a : T(T(a)) \longrightarrow T(a)$$

SYMMETRIC MONOIDAL  
natural transformations

$$\begin{array}{ccc} a \otimes b & \xrightarrow{\eta_a \otimes \eta_b} & T(a) \otimes T(b) \\ \downarrow id_{a,b} & & \downarrow m_{a,b} \\ a \otimes b & \xrightarrow{\eta_{a,b}} & T(a \otimes b) \end{array}$$

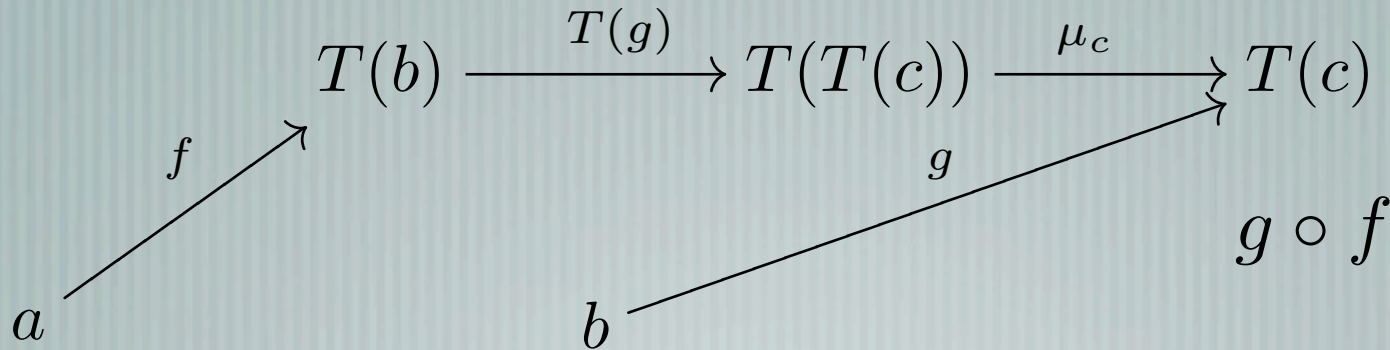
$$\begin{array}{ccc} e & \xrightarrow{id_e} & e \\ \downarrow id_e & & \downarrow m_e \\ e & \xrightarrow{\eta_e} & T(e) \end{array}$$

# gsm-cats are everywhere...

— [ Kleisli category of a monad [aka, free algebras of a monad]

$$f : a \rightarrow b \in \mathcal{K}_T \iff f : a \rightarrow T(b) \in \mathcal{C}$$

arrows are  
substitutions



— [ Known fact: if  $T$  is a (symmetric) monoidal monad, so is  $\mathcal{K}_T$

— [ Less known fact: if the product is cartesian,  $\mathcal{K}_T$  is gs-monoidal

# a semiring-based functor

— [ How to further generalize multialgebras?

— First, generalize the finite power-set monad...

— ...then, take the Kleisli category!!

$$\mathcal{S} = \langle S, 0, 1, \oplus, \otimes \rangle \quad \mathcal{S}^- : \mathbf{Set} \rightarrow \mathbf{Set}$$

$$\mathcal{S}^X = [X, \mathcal{S}] \quad [\mathcal{S}^{f:X \rightarrow Y} (g)](y) = \bigoplus_{x \in f^{-1}(y)} g(x)$$

[finite support]

# looking at the monad structure

Recasting finite power-sets via boolean algebra  $\langle \{tt, ff\}, ff, tt, \vee, \wedge \rangle$   
[free dioid]

$$\eta_X : X \rightarrow [X, S]$$

$$\eta_X(x) = \iota_x \quad [\text{the injection function: 1 for } x, 0 \text{ elsewhere}]$$

$$\mu_X : [[X, S], S] \rightarrow [X, S]$$

$$[\mu_X(\lambda : [X, S] \rightarrow S)](x) = \bigoplus_{f: X \rightarrow S} \lambda(f) \otimes f(x)$$

# a monoidal monad

$$m_{X,Y} : \mathcal{S}^X \times \mathcal{S}^Y \rightarrow \mathcal{S}^{X \times Y}$$

$$[m_{X,Y}(\langle f, g \rangle)](\langle x, y \rangle) \mapsto f(x) \otimes g(y)$$

$$\begin{array}{ccc}
 X \times Y & \xrightarrow{\iota_- \times \iota_-} & \mathcal{S}^X \times \mathcal{S}^Y \\
 \text{id}_{X,Y} \downarrow & & \downarrow - \otimes = \\
 X \times Y & \xrightarrow{\iota_{\langle -, = \rangle}} & \mathcal{S}^{X \times Y}
 \end{array}
 \quad \iota_x \otimes \iota_y = \iota_{x,y}$$

$$m_\emptyset : \emptyset \rightarrow \mathcal{S}^\emptyset \quad m_\emptyset = \eta_\emptyset = \text{id}_\emptyset$$



# the associated Kleisli cat

$$[X, S] = \{n_1 \cdot x_1 \oplus \dots \oplus n_k \cdot x_k \mid n_i \in S, x_i \in X\}$$

Kleisli cat: sets as objects, multiset relations as arrows!!

$$f : X \rightarrow [Y, S]$$

$$\forall x \in X. g \circ f(x) = \bigoplus_{n \cdot y \in f(x)} n \cdot g(y)$$

$$\forall \langle x, w \rangle \in X \times W. h \otimes k(\langle x, w \rangle) = \bigoplus_{n \cdot y \in h(x), m \cdot z \in k(w)} nm \cdot \langle y, z \rangle$$

the Kleisli category is gs-monoidal

# (use of) running example...

$$f(y_i, y_j) = z_{ij}$$

$$Z = \{z_{ij} \mid i, j = 1, 2\}$$

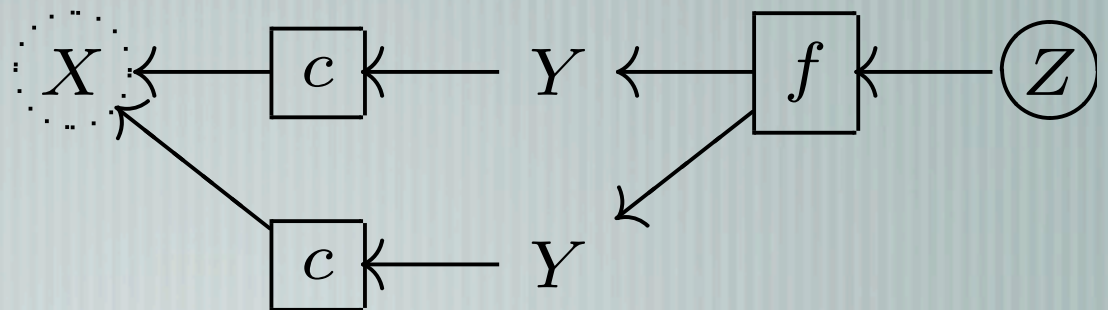
$$c(x) = \bigoplus_{i=1,2} n_i \cdot y_i$$

$$Y = \{y_1, y_2\}$$

$$(c \otimes c)(\langle x, x \rangle) = \bigoplus_{i,j=1,2} n_i n_j \cdot \langle y_i, y_j \rangle$$

$$X = \{x\}$$

$$f \circ (c \otimes c) \circ \nabla_X$$



$$x \mapsto \bigoplus_{i,j=1,2} n_i n_j \cdot z_{ij}$$

# (use of) running example...

$$f(y_i, y_j) = z_{ij}$$

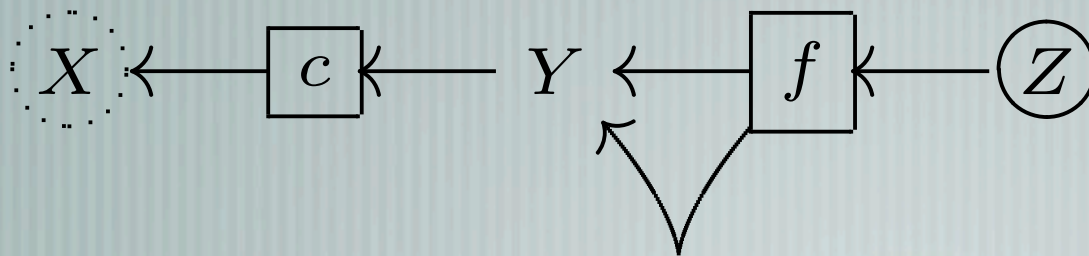
$$c(x) = \bigoplus_{i=1,2} n_i \cdot y_i$$

$$(c \otimes c)(\langle x, x \rangle) = \bigoplus_{i,j=1,2} n_i n_j \cdot \langle y_i, y_j \rangle$$

$$Z = \{z_{ij} \mid i, j = 1, 2\}$$

$$Y = \{y_1, y_2\}$$

$$X = \{x\}$$



$$f \circ \nabla_Y \circ c$$

$$x \mapsto \bigoplus_{i=1,2} n_i \cdot z_{ii}$$

# (use of) running example...

$$f(y_i, y_j) = z_{ij}$$

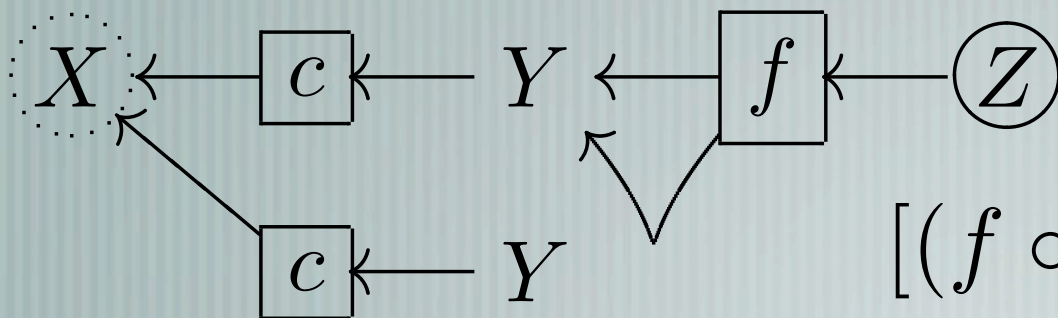
$$c(x) = \bigoplus_{i=1,2} n_i \cdot y_i$$

$$(c \otimes c)(\langle x, x \rangle) = \bigoplus_{i,j=1,2} n_i n_j \cdot \langle y_i, y_j \rangle$$

$$Z = \{z_{ij} \mid i, j = 1, 2\}$$

$$Y = \{y_1, y_2\}$$

$$X = \{x\}$$



$$[(f \circ \nabla_Y \circ c) \otimes (!_Y \circ c)] \circ \nabla_X$$

$$x \mapsto \bigoplus_{i,j=1,2} n_i n_j \cdot z_{ii}$$

# functorial characterizations

[ Multiset-algebras with tight point-to-multiset operators, tight point-to-point homomorphisms and “term graph” equations

$$[GSTh(\Sigma), \mathcal{S}^{\mathbf{Set}}]_E^\times$$

[ Multiset-algebras: each operator is a multiset relation (hence, in relational algebras jargon, tight and point-to-multiset)...

[ Multiset-homomorphisms: since natural transformations must preserve  $\nabla_X$  and  $!_X$ , homs are just functions (again, tight and point-to-point)

# power-sets vs. natural numbers

If the semiring is the free dioid  $\langle \{tt, ff\}, ff, tt, \vee, \wedge \rangle$

$$x \mapsto \bigoplus_{i,j=1,2} n_i n_j \cdot z_{ii} \quad \text{and} \quad x \mapsto \bigoplus_{i=1,2} n_i \cdot z_{ii} \quad \text{coincide...}$$

Stronger claim: no finitary complete entailment system for multi-algebras

# a novel completeness

If the semiring is the free semiring  $\langle \{0, 1, 2, \dots\}, 0, 1, +, \times \rangle$   
and at most one coefficient (among  $\{n_1, n_2\}$ ) is not zero

$x \mapsto \bigoplus_{i,j=1,2} n_i n_j \cdot z_{ii}$  and  $x \mapsto \bigoplus_{i=1,2} n_i \cdot z_{ii}$  do not coincide...

$x \mapsto \bigoplus_{i,j=1,2} n_i n_j \cdot z_{ii}$  and  $x \mapsto \bigoplus_{i,j=1,2} n_i n_j \cdot z_{ij}$  coincide...

[recovering partial functions]

# a new completeness result

If the semiring is the free semiring  $\langle \{0, 1, 2, \dots\}, 0, 1, +, \times \rangle$

the three multiset relations are different

$$\forall s, t \in GSTh(\Sigma). \{s \equiv t \iff \forall M \in [GSTh(\Sigma), \mathbb{N}^-]_E^\times. M(s) = M(t)\}$$



# to be addressed...

## — [ Algebraic issues

- tackling hyper-graphs and hyper-signatures
  - (singular vs plural) interpretation for hyper-operators
- considering cospans of cocomplete categories
  - free construction for suitable algebraic varieties

## — [ Coalgebraic issues

- analyzing trace equivalence [via Hasuo-Jacobs]