Roy Crole

Lecture 1 — Functional Programming

Department of Computer Science University of Leicester

October 6, 2005

#### Overview of Lecture 1

#### • From Imperative to Functional Programming:

- What is imperative programming?
- What is functional programming?
- Key Ideas in Functional Programming:
  - Types: Provide the data for our programs
  - Functions: These are our programs!

#### • Advantages:

- Haskell code is typically short
- Haskell code is close to the algorithms used

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#### What is Imperative Program — Adding up square numbers

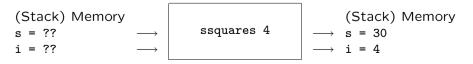
• Problem: Add up the first n square numbers

ssquares  $n = 0^2 + 1^2 + ... + + (n-1)^2 + n^2$ 

• **Program:** We could write the following in Java

```
public int ssquares(int n){
  private int s,i;
  s=0; i=0;
     while (i<n) {i:=i+1;s:=s+i*i;}
}</pre>
```

• Execution: We may visualize running the program as follows



• Key Idea: Imperative programs transform the memory

#### The Two Aspects of Imperative Programs

- Functional Content: What the program achieves
  - Programs take some input values and return an output value
  - ssquares takes a number and returns the sum of the squares up to and including that number
- Implementational Content: How the program does it
  - Imperative programs transform the memory using variable declarations and assignment statements
  - ssquares uses variables i and s to represent locations in memory. The program transforms the memory until s contains the correct number.

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- Motivation: Problems arise as programs contain two aspects:
  - High-level algorithms and low-level implementational features
  - Humans are good at the former but not the latter
- Idea: The idea of functional programming is to
  - Concentrate on the functional (I/O) behaviour of programs
  - Leave memory management to the language implementation
- **Summary:** Functional languages are more abstract and avoid low level detail.

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#### Key Ideas in Functional Programming I — Types

- Motivation: Recall from CO1003/4 that types model data.
- Integers: Int is the Haskell type  $\{\ldots,-2,-1,0,1,2,\ldots\}$
- String: String is the Haskell type of lists of characters.
- **Complex Datatypes**: Can be made from the basic types, eg lists of integers.
- Built in Operations ("Functions on types"):
  - Arithmetic Operations: + \* div mod abs
  - Ordering Operations: > >= == /= <= <

#### A Functional Program — Summing squares in Haskell

• Types: First we give the type of summing-squares

hssquares :: Int -> Int

• Functions: Our program is a function

hssquares 0 = 0hssquares n = n\*n + hssquares(n-1)

• Evaluation: Run the program by expanding definitions

hssquares 2  $\Rightarrow$  2\*2 + hssquares 1  $\Rightarrow$  4 + (1\*1 + hssquares 0)  $\Rightarrow$  4 + (1 + 0)  $\Rightarrow$  5

• **Comment:** No mention of memory in the code.

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#### Key Ideas in Functional Programming II — Functions

• Intuition: Recall from CO1011, a function  $f: a \rightarrow b$  between sets associates to every input-value a unique output-value

$$x \in a \longrightarrow$$
 Function  $f \xrightarrow{?} y \in b$ 

• Example: The square and cube functions are written

square :: Int -> Int cube :: Int -> Int square x = x \* x cube x = x \* square x

• In General: In Haskell, functions are defined as follows

```
\label{eq:linear} \begin{array}{ll} \langle \texttt{function-name} \rangle & :: & \langle \texttt{input type} \rangle \text{->} \langle \texttt{output type} \rangle \\ \langle \texttt{function-name} \rangle & \langle \texttt{variable} \rangle & = & \langle \texttt{expression} \rangle \end{array}
```

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• Intuition: A function f with n inputs is written f::a1->...-> an-> a



• Example: The "distance" between two integers

diff :: Int  $\rightarrow$  Int  $\rightarrow$  Int diff x y = abs (x - y)

• In General:

```
\langle \texttt{function-name} \rangle :: \langle \texttt{type 1} \rangle \text{->} \dots \text{->} \langle \texttt{type n} \rangle \text{->} \langle \texttt{output-type} \rangle
```

 $\langle \text{function-name} \rangle \langle \text{variable 1} \rangle \dots \langle \text{variable n} \rangle = \langle \text{expression} \rangle$ 

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Key Ideas in Functional Programming IV — Evaluating Expressions

• More Expressions: Use quotes to turn functions into infix operations and brackets to turn infix operations into functions

5 * 4	(*) 54	mod 13 4	13'mod'4
5-(3*4)	(5-3)*4	7 >= (3*3)	5 * (-1)

• Precedence: Usual rules of precedence and bracketing apply

#### • Example of Evaluation:

 $\begin{array}{rcl} \mbox{cube}(\mbox{square3}) & \Rightarrow & (\mbox{square3}) * \mbox{square3}) \\ \Rightarrow & (\mbox{3*3}) * & ((\mbox{square3}) * & (\mbox{square3})) \\ \Rightarrow & 9 * & ((\mbox{3*3}) * & (\mbox{3*3})) \\ \Rightarrow & (\mbox{9} * & (\mbox{9*9}) \\ \Rightarrow & 729 \end{array}$ 

• The final outcome of an evalution is called a *value* 

- Motivation: Get the *result/output* of a function by *applying* it to an *argument/input* 
  - Write the function name followed by the input
- In General: Application is governed by the typing rule
  - If f is a function of type a->b, and e is an expression of type a,
  - then f e is the result of applying f to e and has type b
- **Key Idea:** Expressions are fragments of code built by applying functions to arguments.

square 4	square (3 + 1)	square 3 + 1
cube (square 2)	diff 6 7	square 2.2

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#### Summary — Comparing Functional and Imperative Programs

- Difference 1: Level of Abstraction
  - Imperative Programs include low level memory details
  - Functional Programs describe only high-level algorithms
- Difference 2: How execution works
  - Imperative Programming based upon memory transformation
  - Functional Programming based upon expression evaluation
- Difference 3: Type systems
  - Type systems play a key role in functional programming

#### Today You Should Have Learned ...

- Types: A type is a collection of data values
- Functions: Transform inputs to outputs
  - We build complex expressions by defining functions and applying them to other expressions
  - The simplest (evaluated) expressions are (data) values
- Evaluation: Calculates the result of applying a function to an input
  - Expressions can be evaluated by hand or by HUGS to values
- Now: Go and look at the first practical!

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#### Overview of Lecture 2

- New Types: Today we shall learn about the following types
  - The type of booleans: Bool
  - The type of characters: Char
  - The type of strings: String
  - The type of fractions: Float
- New Functions and Expressions: And also about the following functions
  - Conditional expressions and guarded functions
  - Error handling and local declarations

#### Booleans and Logical Operators

• Values of Bool : Contains two values — True, False

Lecture 2 — More Types and Functions

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• Logical Operations: Various built in functions

&& :: Bool -> Bool -> Bool || :: Bool -> Bool -> Bool not :: Bool -> Bool

• **Example:** Define the exclusive-OR function which takes as input two booleans and returns True just in case they are different

exOr :: Bool -> Bool -> Bool

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• Example: Maximum of two numbers maxi :: Int -> Int -> Int maxi n m = if n>=m then n else m

• Example: Testing if an integer is 0

isZero :: Int  $\rightarrow$  Bool isZero x = if (x == 0) then True else False

• Conditionals: A conditional expression has the form

if b then e1 else e2

where

- b is an expression of type Bool
- e1 and e2 are expressions with the same type

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#### The Char type

- Elements of Char : Letters, digits and special characters
- Forming elements of Char : Single quotes form characters:

'd' :: Char '3' :: Char

- Functions: Characters have codes and conversion functions chr :: Int -> Char ord :: Char -> Int
- Examples: Try them out!

offset :: Int offset = ord 'A' - ord 'a'

capitalize :: Char -> Char
capitalize ch = chr (ord ch + offset)

isLower :: Char -> Bool
isLower x = ('a' <= x) && (x <= 'z')</pre>

• Example: doubleMax returns double the maximum of its inputs

• Definition: A guarded function is of the form

 $\langle \texttt{function-name} \rangle :: \langle \texttt{type 1} \rangle \rightarrow \langle \texttt{type n} \rangle \rightarrow \langle \texttt{output type} \rangle$ 

where  $\langle guard 1 \rangle, ..., \langle guard m \rangle :: Bool$ 

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#### The String type

- Elements of String: Lists of characters
- Forming elements of String: Double quotes form strings

"Newcastle Utd" "1a"

• Special Strings: Newline and Tab characters

"Super  $\ \$  Alan", "1 $\$  '1 $\$  putStr("Super  $\$  Alan")

- Combining Strings: Strings can be combined by ++
  - "Super '' ++ 'Alan '' ++ 'Shearer'' = 'Super Alan Shearer'
- Example: duplicate gives two copies of a string

- Elements of Float : Contains decimals, eg -21.3, 23.1e-2
- Built in Functions: Arithmetic, Ordering, Trigonometric
- Conversions: Functions between Int and String

ceiling, floor, round	::	Float -> Int
fromIntegral	::	Int -> Float
show	::	Float -> String
read	::	String -> Float

• **Overloading:** Overloading is when values/functions belong to several types

2	::	Int	show :	:	Int -> String
2	::	Float	show :	:	Float -> String

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Local Declarations — where

- Motivation: Functions will often depend on other functions
- Example : Summing the squares of two numbers

sq :: Int -> Int sq x = x \* x sumSquares :: Int -> Int -> Int sumSquares x y = sq x + sq y

- **Problem:** Such definitions clutter the top-level environment
- Answer: Local definitions allow auxiliary functions

sumSquares2 :: Int -> Int -> Int sumSquares2 x y = sq x + sq y where sq z = z \* z

#### Error-Handling

- Motivation: Informative error messages for run-time errors
- Example: Dividing by zero will cause a run-time error

myDiv :: Float -> Float -> Float myDiv x y = x/y

• Solution: Use an error message in a guarded definition

• Execution: If we try to divide by 0 we get

Prelude> mydiv 5 0 Program error: Attempt to divide by 0

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Extended Example

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• Quadratic Equations: The solutions of  $ax^2 + bx + c = 0$  are

$$\frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$$

• Types: Our program will have type

roots :: Float -> Float -> Float -> String

• Guards: There are 3 cases to check so use a guarded definition

```
roots a b c
| a == 0 = ....
| b*b-4*a*c == 0 = ....
| otherwise = ....
```

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• Local decs: Expressions used repeatedly are made local

```
roots a b c
| a == 0 = error ''Not a quadratic eqn''
| disc == 0 = ''One root: '' ++ show centre
| otherwise = ''Two roots: '' ++
show (centre + offset) ++
''and'' ++
show (centre - offset)
where
```

```
where
disc = b*b-4*a*c
offset = (sqrt disc) / 2*a
centre = -b/2*a
```

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### Lecture 3 — New Types from Old

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• Code: Now we can add in the answers

- Problem: This program uses several expressions repeatedly
  - Being cluttered, the program is hard to read
  - Similarly the program is hard to understand
  - Repeated evaluation of the same expression is inefficient

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Today You Should Have Learned

- **Types:** We have learned about Haskell's basic types. For each type we learned
  - Its basic values (elements)
  - Its built in functions
- Expressions: How to write expressions involving
  - Conditional expressions and Guarded functions
  - Error Handling and Local Declarations

- Building New Types: Today we will learn about the following compound types
  - Pairs
  - Tuples
  - Type Synonyms
- **Describing Types:** As with basic types, for each type we want to know
  - What are the values of the type
  - What expressions can we write and how to evaluate them

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#### New Types from Old I — Pair Types and Expressions

- Examples: For instance
  - The expression (5,3) has type (Int, Int)
  - The name (''Alan'', ''Shearer'') has type (String, String)
  - The performance (''Newcastle'', 22) has type (String, Int)
- Question: What are the values of a pair type?
- Answer: A pair type contains pairs of values, ie
  - If e1 has type a and e2 has type  ${\tt b}$
  - Then (e1,e2) has type (a,b)

- Motivation: Data for programs modelled by values of a type
- Problem: Single values in basic types too simple for real data
- Example: A point on a plane can be specified by
  - A number for the x-coordinate and another for the y-coordinate
- Example: A person's complete name could be specified by
  - A string for the first name and another for the second name
- Example: The performance of a football team could be
  - A string for the team and a number for the points

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#### Functions using Pairs

- Types: Pair types can be used as input and/or output types
- Examples: The built in functions fst and snd are vital

fst :: (a,b) -> a
fst (x,y) = x

winUpdate :: (String,Int) -> (String,Int)
winUpdate (x,y) = (x,y+3)

movePoint :: Int -> Int -> (Int,Int) -> (Int,Int)
movePoint m n (x,y) = (x+m,y+n)

- Key Idea: If input is a pair-type, use ( $\langle var1 \rangle, \langle var2 \rangle$ ) in definition
- Key Idea: If output is a pair-type, result is often ( $\langle exp1 \rangle, \langle exp2 \rangle$ )

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#### New Types from Old II — Tuple Types and Expressions

- Motivation: Some data consists of more than two parts
- Example: Person on a mailing list
  - Specified by name, telephone number, and age
  - A person p on the list can have type (String, Int, Int)
- Idea: Generalise pairs of types to collections of types
- Type Rule: Given types a1,...,an, then (a1,...,an) is a type
- Expression Formation: Given expressions e1::a1, ..., en::an, then

(e1,...,en) :: (a1,...,an)

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#### General Definition of a Function: Patterns with Tuples

• **Definition:** Functions now have the form

<function-name> :: <type 1> -> ... -> <type n> -> <out-type>

<function-name> <pat 1> ... <pat n> = <exp n>

- Patterns: Patterns are
  - Variables x: Use for any type
  - Constants 0, True, 'cherry'': Definition by cases
  - Tuples  $(x, \ldots, z)$ : If the argument has a tuple-type
  - Wildcards \_: If the output doesn't use the input
- In general: Use several lines and mix patterns.

• Example 1: Write a function to test if a customer is an adult isAdult :: (String, Int, Int) -> Bool

isAdult (name, tel, age) = (age >= 18)

- Example 2: Write a function to update the telephone number updateMove :: (String,Int,Int) -> Int -> (String,Int,Int)
- Example 3: Write a function to update age after a birthday updateAge :: (String,Int,Int) -> (String,Int,Int)

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#### More Examples

• Example: Using values and wildcards

isZero :: Int -> Bool
isZero 0 = True
isZero \_ = False

• Example: Using tuples and multiple arguments

expand :: Int  $\rightarrow$  (Int,Int)  $\rightarrow$  (Int,Int,Int) expand n (x,y) = (n, n\*x, n\*y)

• Example: Days in the month

```
days :: String -> Int -> Int
days ''January'' x = 31
days ''February'' x = if isLeap x then 29 else 28
days ''March'' x = 31
.....
```

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- Motivation: More descriptive names for particular types.
- **Definition:** Type synonyms are declared with the keyword type.

```
type Team = String
type Goals = Int
type Match = ((Team,Goals), (Team,Goals))
numu :: Match
```

- numu = ((''Newcastle", 4), (''Manchester Utd'', 3))
- Functions: Types of functions are more descriptive, same code

```
homeTeam :: Match -> Team
totalGoals :: Match -> Goals
```

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Lecture 4 — List Types

Roy Crole

Department of Computer Science University of Leicester

October 6, 2005

#### Today You Should Have Learned

- **Tuples:** Collections of data from other types
- Pairs: Pairs, triples etc are examples of tuples
- Type synonyms: Make programs easier to understand
- **Pattern Matching:** General description of functions covering definition by cases, tuples etc.
- Pitfall! What is the difference between

addPair :: (Int,Int) -> Int
addPair (x,y) = x + y

addTwo :: Int -> Int -> Int addTwo x y = x + y

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#### Overview of Lecture 4 — List Types

- Lists: What are lists?
  - Forming list types
  - Forming elements of list types
- Functions over lists: Some old friends, some new friends
  - Functions from CO1003/4: cons, append, head, tail
  - Some new functions: map, filter
- Clarity: Unlike Java, Haskell treatment of lists is clear
  - No list iterators!

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- Example 1: [3, 5, 14] :: [Int] and [3, 4+1, double 7] :: [Int]
- Example 3: ['d','t','g'] :: [Char]
- Example 4: [['d'], ['d', 't'], ['d', 't', 'g']] :: [[Char]]
- Example 5: [double, square, cube] :: [Int -> Int]
- Empty List: The empty list is [] and belongs to all list types
- List Expressions: Lists are written using square brackets [...]
  - If  $e1, \ldots, en$  are expressions of type a
  - Then [e1, ..., en] is an expression of type [a]

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More Built In Functions

- Head and Tail: Head gives the first element of a list, tail the remainder
  - head [double, square] = double head ([5,6]++[6,7]) = 5 tail [double, square] = [square]
  - tail ([5,6]++[6,7]) = [6,6,7]
- Length and Sum: The length of a list and the sum of a list of integers

length (tail [1,2,3]) = 2
sum [1+4,8,45] = 58

 $\bullet$  Sequences: The list of integers from 1 to n is written

[1 .. n]

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• Cons: The cons function : adds an element to a list

: :: a -> [a] -> [a]

1 : [2,3,4] = [1,2,3,4] addone : [square] = [addone, square] 'a' : ['b', 'z'] = ['a', 'b', 'z']

• Append: Append joins two lists together

++ :: [a] -> [a] -> [a]

[True, True] ++ [False] = [True, True, False] [1,2] ++ ([3] ++ [4,5]) = [1,2,3,4,5] ([1,2] ++ [3]) ++ [4,5] = [1,2,3,4,5] [] ++ [54.6, 67.5] = [54.6, 67.5] [6,5] ++ (4 : [7,3]) = [6,5,4,7,3]

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#### Two New Functions — Map And Filter

- Map: Map is a function which has two inputs.
  - The first input is a function eg f
  - The second is a list eg [e1,e1,e3]

The output is the list obtained by applying the function to every element of the input list eg [f e1, f e2, f e3]

- Filter: Filter is a function which has two inputs.
  - The first is a *test*, ie a function returning a Bool.
  - The second is a list

The output is the list of elements of the input list which the function maps to True, ie those elements which pass the test.

• Even Numbers: The even numbers less than or equal to n - evens::Int->[Int] • Solution 1 — Using filter. evens2 :: Int -> [Int] evens2 n = filter isEven [1 .. n] where is Even  $x = (x \pmod{2} = 0)$ • Solution 2 — Using map Leicester, October 6, 2005 45 Rov Crole Department of Computer Science University of Leicester October 6, 2005

#### Today You Should Have Learned

- **Types:** We have looked at list types
  - What list types and list expressions looks like
  - What built in functions are available
- New Functions:
  - Map: Apply a function to every member of a list
  - Filter: Delete those that don't satisfy a property or test
- Algorithms: Develop an algorithm by asking
  - Can I solve this problem by applying a function to every member of a list or by deleting certain elements.

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#### **Overview of Lecture 5**

• **Recall Map:** Map is a function which has two inputs.

map add2 [2, 5, 6] = [4, 7, 8]

• Recall Filter: Filter is a function which has two inputs.

filter isEven [2, 3, 4, 5, 6, 7] = [2, 4, 6]

- List comprehension: An alternative way of writing lists
  - Definition of list comprehension
  - Comparison with map and filter

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Lecture 5 — List Comprehensions

- Example 1: If ex = [2,4,7] then
  - [ 2\*e | e <- xs ] = [4,8,14]
- Example 2: If isEven :: Int->Bool tests for even-ness
  [ isEven e | e <- xs ] = [True,True,False]
- In General: (Simple) list comprehensions are of the form
  - $[ \ \langle \texttt{exp} \rangle \ | \ \langle \texttt{variable} \rangle \ \textit{<-} \ \langle \texttt{list-exp} \rangle ]$
- Evaluation: The meaning of a list comprehension is
  - Take each element of list-exp, evaluate the expression exp for each element and return the results in a list.

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#### Using List Comprehensions Instead of Filter

- Intuition: List Comprehension can also select elements from a list
- Example: We can select the even numbers in a list

• Example: Selecting names beginning with A

names :: [String] -> [String]
names l :: [ e | e <- l , head e == 'A' ]</pre>

• Example: Combining selection and applying functions

doubleEven :: [Int] -> [Int]
doubleEven l :: [ 2\*e | e <- l , isEven e ]</pre>

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• Example 1: A function which doubles a list's elements

double :: [Int] -> [Int]

• Example 2: A function which tags an integer with its evenness

isEvenList :: [Int] -> [(Int,Bool)]

• Example 3: A function to add pairs of numbers

addpairs :: [(Int,Int)] -> [Int]

• In general: map f l = [f x | x <- 1]

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#### General Form of List Comprehension

• In General: These list comprehensions are of the form

 $[ \langle exp \rangle | \langle variable \rangle \leftarrow \langle list-exp \rangle , \langle test \rangle ]$ 

• Example: Infact, we can use several tests — if 1 = [2,5,8,10]

[ 2\*e | e <- 1 , isEven e , e>3 ] = [16,20]

• Key Example: Cartesian product of two lists is a list of all pairs, such that for each pair, the first component comes from the first list and the second component from the second list.

[ (x,y) | x<-[1,2,3], y<-['a','b','c'] ] = [(1,'a'), (1,'b') ... ]

league :: [Team]
games = [ (t1,t2) | t1 <- league, t2 <- league, t1 /= t2]</pre>

#### Removing Duplicates

- Problem: Given a list remove all duplicate entries
- Algorithm: Given a list,
  - Keep first element
  - Delete all occurrences of the first element
  - Repeat the process on the tail
- Code:

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#### Today You Should Have Learned

- List Types: We have looked at list types
  - What list types and list expressions looks like
  - What built in functions are available
- List comprehensions: Like filter and map. They allow us to
  - Select elements of a list
  - Delete those that dont satisfy certain properties
  - Apply a function to each element of the remainder

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### Overview of Lecture 6

- Recursion: General features of recursion
  - What is a recursive function?
  - How do we write recursive functions?
  - How do we evaluate recursive functions?
- Recursion over Natural Numbers: Special features
  - How can we guarantee evaluation works?
  - Recursion using patterns.
  - Avoiding negative input.

# Lecture 6 — Recursion over Natural Numbers

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• Example: Adding up the first n squares

hssquares  $n = 0^2 + 1^2 + ... + (n-1)^2 + n^2$ 

• Types: First we give the type of summing-squares

hssquares :: Int -> Int

• **Definitions:** Our program is a function

hssquares 0 = 0hssquares n = n\*n + hssquares(n-1)

• Key Idea: hssquares is recursive as its definition contains hssquares in a right-hand side – the function name "recurs".

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Examples of evaluation

• Example 1: Let's calculate hssquares 4

hssquares 4  $\Rightarrow$  4\*4 + hssquares 3  $\Rightarrow$  16 + (3\*3 + hssquares 2)  $\dots$   $\Rightarrow$  16 + (9 +  $\dots$  (1 + hssquares 0))  $\Rightarrow$  16 + (9 +  $\dots$  (1 + 0))  $\Rightarrow$  30

• Example 2: Here is a non-terminating function

$$\begin{array}{rcl} \mbox{mydouble n} &=& \mbox{n + mydouble (n/2)} \\ \mbox{mydouble 4} &\Rightarrow& \mbox{4 + mydouble 2} \\ &\Rightarrow& \mbox{4 + 2 + mydouble 1} \\ &\Rightarrow& \mbox{4 + 2 + 1 + mydouble 0.5} \Rightarrow \ \dots \end{array}$$

• Question: Will evaluation stop?

#### General Definitions

- **Definition:** A function is *recursive* if the name recurs in its definition.
- Intuition: You will have seen recursion in action before
  - Imperative procedures which call themselves
  - Divide-and-conquer algorithms
- Why Recursion: Recursive definitions tend to be
  - Shorter, more understandable and easier to prove correct
  - Compare with a non-recursive solution

nrssquares n = n \* (n+0.5) \* (n+1)/3

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#### Problems with Recursion

- Questions: There are some outstanding problems
  - 1. Is hssquares defined for every number?
  - 2. Does an evaluation of a recursive function always terminate?
  - 3. What happens if hssquares is applied to a negative number?
  - 4. Are these recursive definitions sensible: f n = f n, g n = g (n+1)
- Answers: Here are the answers
  - 1. Yes: The variable pattern matches every input.
  - 2. Not always: See examples.
  - 3. Trouble: Evaluation doesn't terminate.
  - 4. No: Why not?

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#### Primitive Recursion over Natural Numbers

- Motivation: Restrict definitions to get better behaviour
- Idea: Many functions defined by three cases
  - A non-recursive call selected by the pattern 0
  - A recursive call selected by the pattern n+1 (matches numbers  $\geq$  1)
  - The error case deals with negative input
- Example Our program now looks like

hssquares2 0 = 0 hssquares2 (n+1) = (n+1)\*(n+1) + hssquares n hssquares2 x = error 'Negative input''

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#### Primitive Recursion

• In General: Use the following style of definition

where

- $\begin{array}{ll} \langle exp \ 1 \rangle & does \ not \ contain & \langle \texttt{function-name} \rangle \\ \langle exp \ 2 \rangle & may \ contain & \langle \texttt{function-name} \rangle \ applied \ to \ n \end{array}$
- Evaluation: Termination guaranteed!
  - If the input evaluates to 0, evaluate  $\langle \exp 1 \rangle$
  - If not, if the input is greater than 0, evaluate  $\langle \exp 2 \rangle$
  - If neither, return the error message

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#### Examples of recursive functions

• Example 1: star uses recursion over Int to return a string

```
star :: Int -> String
star 0 = []
star (n+1) = '*': star n
star n = error ''Negative input''
```

• Example 2: power is recursive in its second argument

```
power :: Float -> Int -> Float
power x 0 = 1
power x (n+1) = x * power x n
power x n = error ''Negative input''
```

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#### Larger Example

- **Problem:** Produce a table for perf :: Int -> (String, Int) where perf 1 = ("Arsenal", 4) etc.
- Stage 1: We need some headings and then the actual table

printTable :: Int -> IO()

- Stage 2: Convert each "row" to a string, recursively.

rows	::	Int -> String
rows O	=	
rows (n+1)	=	
rows _	=	

• Base Case: If we want no entries,	then just return []	<pre>perf :: Int -&gt; (String,Int) perf 1 = ("Arsenal",4)</pre>	
		perf 2 = ("Notts",5)	
rows 0 =	[]	perf 3 = ("Chelsea",7)	
		perf n = error "perf out of ra	nge"
• Recursive Case: Convert $(n+1)$ -r	rows by		
	-	rows :: Int -> String	
<ul> <li>recursively converting the first n-</li> </ul>	rows, and	rows 0 = []	
_		rows $(n+1) = rows n ++$	
- adding on the (n+1)-th row		fst(perf(n+1)) ++	· "\t\t " ++
		<pre>show(snd(perf(n+1</pre>	))) ++ "\n"
		rows _ = error"rows out of	range"
• Code: Code for the recursive call			
		<pre>printTable :: Int -&gt; IO()</pre>	
			r(header ++ rows numberTeams)
		where	
		heade	r = "Team\t\t Points\n"
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The Final Version

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## Today You Should Have Learned

- Recursion: Allows new functions to be written.
  - Advantages: Clarity, brevity, tractability
  - Disadvantages: Evaluation may not stop
- **Primitive Recursion:** Avoids bad behaviour of some recursive functions
  - The value at 0 is non-recursive
  - Each recursive call uses a smaller input
  - An error-clause catches negative inputs
- Algorithm: Ask yourself, what needs to be done to the recursive call to get the answer.

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Lecture 7 — Recursion over Lists

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Department of Computer Science University of Leicester

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- Lists: Another look at lists
  - Lists are a recursive structure
  - Every list can be formed by [] and :
- List Recursion: Primitive recursion for Lists
  - How do we write primitive recursive functions
  - Examples ++, length, head, tail, take, drop, zip
- Avoiding Recursion ?: List comprehensions revisited

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Another Look at Lists

- Recall: The two basic operations concerning lists
  - The empty list []
  - The cons operator (:) :: a -> [a] -> [a]
- Key Idea: Every list is either empty, or of the form x:xs [2,3,7] = 2:3:7:[] [True, False] = True:False:[]
- Recursion: Define recursive functions using the scheme
  - Non-recursive call: Define the function on the empty list []
  - Recursive call: Define the function on (x:xs) by using the function only on xs

- Question: This lecture is about the following question
  - We know what a recursive function over Int is
  - What is a recursive function over lists?
- Answer: In general, the answer is the same as before
  - A recursive function mentions itself in its definition
  - Evaluating the function may reintroduce the function
  - Hopefully this will stop at the answer

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#### Examples of Recursive Functions

• Example 1: Doubling every element of an integer list

```
double :: [Int] -> [Int]
double [] = []
double (x:xs) = (2*x) : double xs
```

• Example 2: Selecting the even members of a list

• Example 3: Flattening some lists

```
flatten :: [[a]] -> [a]
flatten [] = []
flatten (x:xs) = x ++ flatten xs
```

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• **Definition:** Primitive Recursive List Functions are given by

```
(function-name) []
                                  = \langle \text{expression } 1 \rangle
(\text{function-name}) (x:xs) = (\text{expression } 2)
```

where

 $\langle expression 1 \rangle$  does not contain (function-name)  $\langle expression 2 \rangle$ may contain expressions (function-name) xs

• Compare: Very similar to recursion over Int

$\langle \texttt{function-name} \rangle   0 \rangle$	=	$\langle \texttt{expression}$	$1\rangle$
$\langle \texttt{function-name} \rangle$ (n+1)	=	$\langle \texttt{expression}$	$2\rangle$

where

$\langle \texttt{expression}   \texttt{1}  angle$	does not contain	$\langle \texttt{function-name}  angle$
$\langle \texttt{expression} \ \texttt{2}  angle$	may contain expressions	$\langle \texttt{function-name} \rangle \texttt{n}$

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What can we do with a list?

• Mapping: Applying a function to every member of the list

double [2,3,72,1] = [2\*2, 2\*3, 2\*72, 2\*1]isEven [2,3,72,1] = [True, False, True, False]

• Filtering: Selecting particular elements

onlyEvens [2,3,72,1] = [2,72]

- Taking Lists Apart: head, tail, take, drop
- Combining Lists: zip
- Folding: Combining the elements of the list

sumList [2,3,7,2,1] = 2 + 3 + 7 + 2 + 1

• Example 4: Append is defined recursively

append ::  $[a] \rightarrow [a] \rightarrow [a]$ 

• Example 5: Testing if an integer is an element of a list

member :: Int -> [Int] -> Bool

• Example 6: Reversing a list

reverse :: [a] -> [a]

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List Comprehension Revisited

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• Recall: List comprehensions look like

 $[\langle \exp \rangle | \langle \operatorname{variable} \rangle < - \langle \operatorname{list-exp} \rangle, \langle \operatorname{test} \rangle]$ 

- Intuition: Roughly speaking this means
  - Take each element of the list  $\langle list-exp \rangle$
  - Check they satisfy (test)
  - Form a list by applying  $\langle exp \rangle$  to those that do
- Idea: Equivalent to filtering and then mapping. As these are recursive, so are list comprehensions although the recursion is hidden

#### Today You Should Have Learned

- List Recursion: Lists are recursive data structures
  - Hence, functions over lists tend to be recursive
  - But, as before, general recursion is badly behaved
- Primitive List Recursion: Similar to natural numbers
  - A non-recursive call using the pattern []
  - A recursive call using the pattern (x:xs)
- List comprehension: An alternative way of doing some recursion

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#### Overview of Lecture 8

- Problem: Our restrictions on recursive functions are too severe
- Solution: New definitional formats which keep termination
  - Using new patterns
  - Generalising the recursion scheme
- Examples: Applications to integers and lists
- Sorting Algorithms: What is a sorting algorithm?
  - Insertion Sort, Quicksort and Mergesort

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### Lecture 8 — More Complex Recursion

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Department of Computer Science University of Leicester

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#### More general forms of primitive recursion

- Recall: Our primitive recursive functions follow the scheme
  - Base Case: Define the function non-recursively at 0
  - Inductive Case: Define the function at (n+1) in terms of the function at n

where

$\langle \texttt{expression} \ \texttt{1}  angle$	does not contain	$\langle \texttt{function-name}  angle$	
$\langle \texttt{expression} \ \texttt{2}  angle$	may contain	$\langle \texttt{function-name} \rangle$ applied to	0 n

• Motivation: But some functions do not fit this scheme, requiring more complex patterns

• **Example:** The first Fibonacci numbers are 0,1. For each subsequent Fibonacci number, add the previous two together

0, 1, 1, 2, 3, 5, 8, 13, 21, 34

• **Problem:** The following does not terminate on input 1

fib 0 = 0 fib (n+1) = fib n + fib (n-1)

• Solution: The new pattern (n+2) matches inputs  $\geq 2$ 

fib 0 = 0 fib 1 = 1 fib (n+2) = fib (n+1) + fib n

• In General: There are patterns (n+1), (n+2), (n+3)

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More General Patterns for Lists

- Recall: With integers, we used more general patterns.
- Idea: Use (x:(y:xs)) pattern to access first two elements
- Example: We want a function to delete every second element

delete [2,3,5,7,9,5,7] = [2,5,9,7]

• Solution: Here is the code

delete :: [a] -> [a] delete [] = [] delete [x] = [x] delete (x:(y:xs)) = x : delete xs

• Example: To delete every third element use pattern (x:(y:(z:xs)))

- Recall: Our primitive recursive functions follow the pattern
  - Base Case: Defines the function at [] non-recursively
  - Inductive Case: Defines the function at (x:xs) in terms of the function at xs

where

$\langle \texttt{expression 1}  angle$	does not contain	$\langle \texttt{function-name}  angle$
$\langle \texttt{expression} \ \texttt{2}  angle$	may contain	$\langle \texttt{function-name} \rangle$ applied to $\texttt{xs}$

• Motivation: As with integers, some functions don't fit this shape

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#### Examples of Recursion and patterns — See how the typing helps

• Example 1: Summing pairs in a list of pairs

sumPairs :: [(Int,Int)] -> Int

• Example 2: Unzipping lists unZip :: [(a,b)] -> ([a],[b])

#### Sorting Algorithms 1: Insertsort

• Problem: A sorting algorithm rearranges a list in order

sort [2,7,13,5,0,4] = [0,2,4,5,7,13]

- Recursion: Such algorithms usually recursively sort a smaller list
- Insertsort Alg: To sort a list, sort the tail recursively, and then insert the head
- Code:

```
inssort :: [Int] -> [Int]
inssort [] = []
inssort (x:xs) = insert x (inssort xs)
```

where insert puts the number x in the correct place

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Sorting Algorithms 2: Quicksort

- Quicksort Alg: Given a list 1 and a number n in the list
  - sort 1 = sort those elements less than n + +number of occurrences of n + +sort those elements greater than n
- Code: The algorithm may be coded

where less, occs, more are auxiliary functions

#### The function insert

- Patterns: Insert takes two arguments, number and list
  - The recursion for insert doesn't depend on the number
  - The recursion for insert does depend on whether the list is empty or not — use the [] and (x:xs) patterns
- Code: Here is the final code

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#### Defining the Auxiliary Functions

- Problem: The auxiliary functions can be specified
  - less takes a number and a list and returns those elements of the list less than the number
  - $_{\rm occs}$  takes a number and a list and returns the occurrences of the number in the list
  - $-\mbox{ more}$  takes a number and a list and returns those elements of the list more than the number
- Code: Using list comprehensions gives short code

less, occs, more :: Int -> [Int] -> [Int]
less n xs = [x | x <- xs, x < n]
occs n xs = [x | x <- xs, x == n]
more n xs = [x | x <- xs, x > n]

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- Mergesort Alg: Split the list in half, recursively sort each half and merge the results
- Code: Overall function reflects the algorithm

where merge combines two sorted lists

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#### Recursion Schemes: We've generalised the recursion schemes to allow more functions to be written

- More general patterns
- Recursive calls to ANY smaller value
- Examples: Applied them to recursion over integers and lists
- **Sorting Algorithms:** We've put these ideas into practice by defining three sorting algorithms
  - Insertion Sort
  - QuickSort
  - MergeSort

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#### Overview of Lecture 9

- Motivation: Why do we want higher order functions
- **Definition:** What is a higher order function
- Examples:
  - Mapping: Applying a function to every member of a list
  - Filtering: Selecting elements of a list satisfying a property
- Application: Higher order sorting algorithms

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Lecture 9 — Higher Order Functions

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• Example 1: A function to double the elements of a list

doubleList :: [Int] -> [Int]
doubleList [] = []
doubleList (x:xs) = (2\*x) : doubleList xs

• Example 2: A function to square the elements of a list

squareList :: [Int] -> [Int]
squareList [] = []
squareList (x:xs) = (x\*x) : squareList xs

• Example 3: A function to increment the elements of a list

incList :: [Int] -> [Int] incList [] = [] incList (x:xs) = (x+1) : incList xs

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A Higher Order Function — map

• The Idea Coded:

map f [] = []
map f (x:xs) = (fx) : map f xs

- Advantages: There are several advantages
  - Shortens code as previous examples are given by

doubleList xs = map double xs
squareList xs = map square xs
incList xs = map inc xs

- Captures the algorithmic content and is easier to understand
- Easier code-modification and code re-use

- **Problem:** Three separate definitions despite a clear pattern
- Intuition: Examples apply a function to each member of a list

function :: Int -> Int

functionList :: [Int] -> [Int]
functionList [] = []
functionList (x:xs) = (function x) : functionList xs

where in our previous examples function is

double square inc

• Key Idea: Make auxiliary function function an input

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#### A Definition of Higher Order Functions

- Question: What is the type of map?
  - First argument is a function
  - Second argument is a list whose elements have the same type and the input of the function.
  - Result is a list whose elements are the output type of the function.
- Answer: So overall type is map :: (a -> b) -> [a] -> [b]
- **Definition:** A function is higher-order if an input is a function.
- Another Example: Type of filter is

filterInt ::  $(a \rightarrow Bool) \rightarrow [a] \rightarrow [a]$ 

• Idea: Recall our implementation of *quicksort* 

- **Polymorphism:** Quicksort requires an order on the elements:
  - The output list depends upon the order on the elements
  - This requirement is reflected in type class information Ord a
  - Don't worry about type classes as they are beyond this course

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#### Higher Order Sorting

- Motivation: But what if we want other orders, eg
  - Sort teams in order of names, not points
  - Sort on points, but if two teams have the same points, compare names
- Key Idea: Make the comparison a parameter of quicksort

• Example: Games tables might have type [(Team,Points)]

. . .

• Problem: How can we order the table?

Arsenal 16 AVilla 16 Derby 10 Birm. 4

• Solution: Write a new function for this problem

• What did we assume here?

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#### Examples

- **Key Idea:** To use a higher order sorting algorithm, use the required order to define the function to *sort by*
- Example 1: To sort by names

ord (t, p) (t', p') = t < t'

• Example 2: To sort by points and then names

ord (t, p) (t', p') = (p < p') || (p == p' && t < t')

• What should we assume about ord?

- Higher Order Functions: Functions which takes functions as input
  - Facilitates code reuse and more abstract code
  - Many list functions are either map, filter or fold
- HO Sorting: An application of higher order functions to sorting
  - Produces more powerful sorting
  - Order of resulting list determined by a function
  - Lexicographic order allows us to try one order and then another

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#### Overview of Lecture 10

- Motivation: Some examples leading to polymorphism
- **Definition:** What is *parametric* polymorphism?
  - What is a polymorphic type?
  - What is a polymorphic function?
  - Polymorphism and higher order functions
  - Applying polymorphic functions to polymorphic expressions

### Lecture 10 — (Parametric) Polymorphism

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Department of Computer Science University of Leicester

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#### Monomorphic length

• Example: Let us define the length of a list of integers

mylength :: [Int] -> Int
mylength [] = 0
mylength (x:xs) = 1 + mylength xs

• Problem: We want to evaluate the length of a list of characters

Prelude> mylength ['a', 'g'] ERROR: Type error in application \*\*\* expression : mylength ['a','g'] \*\*\* term : ['a','g'] \*\*\* type : [Char] \*\*\* does not match : [Int]

• Solution: Define a new length function for lists of characters ... but this is not very efficient!

#### Polymorphic length

- Better Solution: The algorithm's input depends on the list type, but not on the type of integers.
- Idea: An alternative approach to typing mylength
  - There is one input and one output: mylength :: a -> b
  - The output is an integer: mylength :: a -> Int
  - The input is a list: mylength :: [c] -> Int
  - There is nothing more to infer from the code of mylength so

mylength :: [c] -> Int

This is an efficient function - works at all list types!

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#### Some Definitions

- Polymorphism is the ability to appear in different forms
- **Definition:** A type is *parametric polymorphic* iff it contains type variables (that is, type parameters).
- **Definition:** A function is *parametric polymorphic* iff it can be called on different types of input, and it is implemented by (code for) a single algorithm
- **Definition:** A function is *overloaded* iff it can be called on different types of input, and for each type of input, the function is implemented by (code for) a particular algorithm.
- **Examples:** Of overloading are the arithmetic operators: integer and floating-point addition.

- **Types**: Now we will deal with the following types:
  - Basic, built in types: Int, Char, Bool, String, Float
  - Type variables representing any type: a, b, c, ...
  - Types built with type construc tors: [], ->, (,)

[Int] a->a a->b a->Bool (String,a->a) [a->Bool]

- Type synonyms: type <type-name> = <type-expression>

type Point = (Int,Int)
type Line = (Point,Point)
type Test = a->Bool

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#### Polymorphic Expressions

- Key Idea: Expressions have many types
  - Amongst these is a *principle* type
- Example: What is the type of id x = x
  - id sends an integer to an integer. So id :: Int -> Int
  - id sends a list of type a to a list of type a. So id::[a]->[a]
  - id sends an expression of type b to an expression of type b.
     So id::b->b
- Principle Type: The last type includes the previous two why?
  - In fact the principal type of id is id::b->b why?

- **Example 1:** What is the type of map
  - map f [] = []
    map f (x:xs) = f x : map f xs
- Example 2: What is the type of filter
  - filter f [] = []
    filter f (x:xs) = if f x then x:filter f xs else filter f xs
- Example 3: What is the type of iterate

iterate f 0 x = x iterate f (n+1) x = f (iterate f n x)

- **Previously:** The typing of applications of expressions:
  - If exp1 is an expression with type a -> b
  - And exp2 is an expression with type a
  - Then exp1 exp2 has type b
- Problem: How does this apply to polymorphic functions?

length :: [c] -> Int [2,4,5] :: [Int] length [2,4,5] :: Int

• Key Idea: Argument type can be an *instance* of input type

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#### When is a Type an Instance of Another Type

- Recall: Two facts about expressions containing variables
  - Variables stand for arbitrary elements of a particular type
  - Instances of the expression are obtained by substituting expressions for variables
- Key Idea: (Parametric) polymorphic types are defined in the same way:
  - Type-expressions may contain type-variables
  - Instances of type-expressions are obtained by substituting types for type-variables
- Example: [Int] is an instance of [c] substitute Int for c

More formally - Unification

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- Monomorphic: Can a function be applied to an argument?
  - If the function's input type is the same type as its argument

<u>f::a->b x::a</u> f x :: b

- Polymorphically: Can a function be applied to an argument?
  - If the function's input type is *unifiable* with argument's type

 $\frac{\texttt{f::a-b} \text{ x::c } \theta \text{ unifies a,c}}{\texttt{f x }:\theta\texttt{b}}$ 

where  $\boldsymbol{\theta}$  maps type variables to types

• **Example:** In the length example, set  $\theta$ c=Int

• Past Paper: Assume f is a function with principle type

f::([a],[b])->Int->[(b,a)]

Do the following expressions type check? State **Yes** or **No** with a brief reason and, if **Yes**, what is the principal type of the expression?

1. f (3,3) 2

2.f([],[])5

3. f ([tail,head], []) 3

4. f ([True,False], ['x'])

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Leicester, October 6, 2005 113 Today You Should Have Learned

- Polymorphism:
  - Saves on code one function (algorithm) has many types
  - This implements our algorithmic intuition
- **Type Checking:** Expressions and functions have many types including a principle one
  - Polymorphic functions are applied to expressions whose type is an instance of the type of the input of the function

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