# Constant-factor approximation for minimum-weight (connected) dominating sets in unit disk graphs 

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#### Abstract

For a given graph with weighted vertices, the goal of the minimum-weight dominating set problem is to compute a vertex subset of smallest weight such that each vertex of the graph is contained in the subset or has a neighbor in the subset. A unit disk graph is a graph in which each vertex corresponds to a unit disk in the plane and two vertices are adjacent if and only if their disks have a non-empty intersection. We present the first constant-factor approximation algorithm for the minimum-weight dominating set problem in unit disk graphs, a problem motivated by applications in wireless ad-hoc networks. The algorithm is obtained in two steps: First, the problem is reduced to the problem of covering a set of points located in a small square using a minimumweight set of unit disks. Then, a constant-factor approximation algorithm for the latter problem is obtained using enumeration and dynamic programming techniques exploiting the geometry of unit disks. Furthermore, we show how to obtain a constant-factor approximation algorithm for the minimum-weight connected dominating set problem in unit disk graphs.

Our techniques also yield a constant-factor approximation algorithm for the weighted disk cover problem (covering a set of points in the plane with unit disks of minimum total weight) and a 3 -approximation algorithm for the weighted forwarding set problem (covering a set of points in the plane with weighted unit disks whose centers are all contained in a given unit disk).


## 1 Introduction

The dominating set problem is a classical optimization problem on graphs. For a given undirected graph $G=(V, E)$, a subset $D \subseteq V$ of its vertices is called a dominating set if every vertex in $V$ is contained in $D$ or has a neighbor in $D$. A vertex in $D$ is called a dominator. A dominator dominates itself and all its neighbors. The goal of the minimum dominating set problem (MDS) is to compute a dominating set of smallest size. In the weighted version, the minimum-weight dominating set problem (MWDS), each vertex of the input graph is associated with a weight, and the goal is to compute a dominating set of minimum weight.

A dominating set $D \subseteq V$ is called a connected dominating set in the graph $G=(V, E)$ if the subgraph induced by $D$ is connected. The minimum connected dominating set problem (MCDS) and minimum-weight connected dominating set problem (MWCDS) are defined in the obvious way.

[^0]For general graphs, MDS (and therefore MWDS) is $\mathcal{N} \mathcal{P}$-hard [8]. Furthermore, MDS for general graphs is known to be equivalent to the set cover problem, implying that it can be approximated within a factor of $O(\log n)$ for graphs with $n$ vertices using a greedy algorithm (see, e.g., [15]), but no better unless all problems in $\mathcal{N P}$ can be solved in $n^{O(\log \log n)}$ time [7]. Approximation ratio $O(\log n)$ can also be achieved for the weighted set cover problem and thus for MWDS. The best known approximation ratio for MWCDS in general graphs is $O(\log n)$ as well [9].

In this paper, we are concerned with MWDS and MWCDS in a special class of graphs: unit disk graphs. A unit disk graph is a graph in which each vertex is associated with a (topologically closed) unit disk in the plane and two vertices are adjacent if and only if the corresponding disks have a nonempty intersection. We are interested in efficient approximation algorithms. An algorithm for MDS (or MWDS) is called a $\rho$-approximation algorithm, and has approximation ratio $\rho$, if it runs in polynomial time and always outputs a dominating set whose size (or total weight) is at most a factor of $\rho$ larger than the size (or total weight) of the optimal solution. The definitions for MCDS and MWCDS are analogous. A polynomial-time approximation scheme (PTAS) is a family of approximation algorithms with ratio $1+\varepsilon$ for every constant $\varepsilon>0$.

A major motivation for studying (connected) dominating sets in unit disk graphs comes from routing in wireless ad-hoc networks, where dominating sets have been proposed for the construction of routing backbones (see, e.g., [1]). Each node of the graph models a wireless device, and two nodes are connected by an edge if they are close enough to receive each other's transmissions. A message that is broadcast by all nodes of a dominating set will be received by all nodes of the network. Therefore, a small connected dominating set is an energy-efficient routing backbone. Recent work has emphasized that ad-hoc networks are often heterogeneous as different nodes have different capabilities. Therefore it is meaningful to assign weights to the nodes (giving small weight to nodes that have a large remaining battery life, for example) and aim to determine a (connected) dominating set of small weight [16]. Thus, one arrives at the MWDS and MWCDS problems in unit disk graphs.

Clark et al. [6] have proved that MDS is $\mathcal{N} \mathcal{P}$-hard for unit disk graphs. Lichtenstein [12] has shown that MCDS is $\mathcal{N} \mathcal{P}$-hard for unit disk graphs. Constant-factor approximation algorithms for MDS and MCDS in unit disk graphs were given by Marathe et al. [13]. For MDS in unit disk graphs, a PTAS was presented by Hunt et al. [11], based on the shifting strategy [2, 10]. These algorithms, however, do not extend to the weighted version. In particular, the PTAS is heavily based on the fact that the optimal dominating set for unit disks in a $k \times k$ square has size at most $O\left(k^{2}\right)$ and can thus be found in polynomial time using complete enumeration if $k$ is a constant. In the weighted case, there is no such bound on the size of an optimal (or near-optimal) solution, as an optimal solution may consist of a large number of disks with tiny weight. For MCDS in unit disk graphs, a PTAS was presented in [5]. For the special case of unit disk graphs with bounded density, asymptotic fully polynomial-time approximation schemes (with running time polynomial in $\frac{1}{\varepsilon}$ and in the size of the input, but achieving ratio $1+\varepsilon$ only for large enough inputs) were presented for MDS and MCDS in [14].

Wang and Li [16] give distributed algorithms for MWDS and MWCDS in unit disk graphs that achieve approximation ratio $O(\min \{\log \Delta, \sigma\})$, where $\Delta$ is the maximum degree of the graph and $\sigma$ is the ratio of the maximum weight to the minimum weight of a disk. Note that these approximation ratios are not better than the known ratios for general graphs in the worst case.

### 1.1 Our results

In this paper, we present the first constant-factor approximation algorithms for MWDS and MWCDS in unit disk graphs. Our algorithm for MWDS solves the problem in two steps. First, we reduce MWDS in unit disk graphs to the problem of covering a set of points that are located in a small
square using a minimum-weight set of unit disks. In the reduction we lose only a constant factor in the approximation ratio. Then, we present a constant-factor approximation algorithm for the latter problem using enumeration and dynamic programming techniques exploiting the geometry of unit disks. To solve the MWCDS problem, we first compute an $O(1)$-approximation for the MWDS problem and then use an approach based on a minimum spanning tree calculation to add disks to the solution in order to make the dominating set connected.

We also show that our techniques yield a constant-factor approximation algorithm for the weighted disk cover problem for unit disks and a 3-approximation algorithm for the special case of the forwarding set problem (see Section 5 for a definition of this problem).

The remainder of the paper is structured as follows. Our top-level approach to solving MWDS, which consists of breaking the problem into subproblems in small squares, is presented in Section 2. In Section 3, we show how the subproblem can be reduced to a special disk cover problem and give a constant-factor approximation algorithm for the latter problem. We also describe how this implies a constant-factor algorithm for the general weighted disk cover problem with unit disks. Section 4 shows how we can make a dominating set connected while incurring a cost that is bounded by a constant factor times the cost of the optimal connected dominating set. In Section 5, we apply our techniques to obtain a 3-approximation algorithm for the forwarding set problem. Finally, we give our conclusions and mention some open problems in Section 6.

## 2 Algorithm for minimum-weight dominating sets

Let an instance of MWDS in unit disk graphs be given by a set $\mathcal{D}$ of weighted unit disks in the plane. The weight of disk $d \in \mathcal{D}$ is denoted by $w_{d} \geq 0$. Each disk has radius 1 and is specified by the coordinates of its center. For $U \subseteq \mathcal{D}$, we write $w(U)$ for $\sum_{d \in U} w_{d}$.

Our algorithm uses a parameter $\mu<1$; we can set $\mu=0.999$. We partition the plane into squares of side length $\mu$. The square $S_{i j}$, for $i, j \in \mathbb{Z}$, contains all points $(x, y)$ with $i \mu \leq x<(i+1) \mu$ and $j \mu \leq y<(j+1) \mu$.

For a square $S_{i j}$ that contains at least one disk center, let $\mathcal{D}_{i j}$ be the set of disks in $\mathcal{D}$ whose center is in $S_{i j}$. Let $N\left(\mathcal{D}_{i j}\right)$ denote the set of all disks in $\mathcal{D} \backslash \mathcal{D}_{i j}$ that intersect a disk in $\mathcal{D}_{i j}$. We consider a subproblem to be solved for each square $S_{i j}$ that can be stated as follows: Find a minimum-weight set of disks in $\mathcal{D}_{i j} \cup N\left(\mathcal{D}_{i j}\right)$ that dominates all disks in $\mathcal{D}_{i j}$. Let $\mathrm{OPT}_{i j}$ denote an optimal solution to the subproblem for square $S_{i j}$. In Section 3, we will present an algorithm that outputs a solution $U_{i j}$ for the subproblem satisfying $w\left(U_{i j}\right) \leq 2 \cdot w\left(\mathrm{OPT}_{i j}\right)$. In the end, we output the union of all sets $U_{i j}$ that we have computed. It is clear that this yields a dominating set.

Theorem 1 There is a constant-factor approximation algorithm for the minimum weight dominating set problem in unit disk graphs.

Proof. The algorithm described above outputs a dominating set $U$ of weight at most $\sum w\left(U_{i j}\right)$. Here and in the following, the summation is over all squares $S_{i j}$ that contain at least one disk center. As we will present a 2 -approximation algorithm to solve each subproblem in Section 3, we have $w\left(U_{i j}\right) \leq 2 \cdot w\left(\mathrm{OPT}_{i j}\right)$. Let OPT denote an optimal dominating set for the whole instance. Let $\operatorname{OPT}\left[S_{i j}\right]=\mathrm{OPT} \cap\left(\mathcal{D}_{i j} \cup N\left(\mathcal{D}_{i j}\right)\right)$. Note that $\operatorname{OPT}\left[S_{i j}\right]$ is a feasible solution to the subproblem for square $S_{i j}$ and therefore we have $w\left(\mathrm{OPT}_{i j}\right) \leq w\left(\mathrm{OPT}\left[S_{i j}\right]\right)$.

We get $w(U) \leq \sum w\left(U_{i j}\right) \leq 2 \sum w\left(\mathrm{OPT}_{i j}\right) \leq 2 \sum w\left(\mathrm{OPT}\left[S_{i j}\right]\right)$. The sum $\sum w\left(\mathrm{OPT}\left[S_{i j}\right]\right)$ adds the costs of solutions OPT $\left[S_{i j}\right]$ for all squares $S_{i j}$ that contain at least one disk center. Note that a disk $d$ in OPT can be in $\operatorname{OPT}\left[S_{i j}\right]$ only if its center is in $S_{i j}$ or it intersects a disk with center in
$S_{i j}$. Therefore, the distance between the center of $d$ and the square $S_{i j}$ is at most 2 . Consequently, there are only $O\left(1 / \mu^{2}\right)$ squares $S_{i j}$ such that $d$ can be in $\operatorname{OPT}\left[S_{i j}\right]$. More precisely, all such squares must be fully contained in a disk of radius $2+\sqrt{2} \mu$ around the center of $d$, and for $\mu=0.999$ that disk can contain at most $\left\lfloor(2+\sqrt{2} \mu)^{2} \pi / \mu^{2}\right\rfloor=36$ such squares. This means that the number of times each disk in OPT contributes its weight to $\sum w\left(\operatorname{OPT}\left[S_{i j}\right]\right)$ is bounded by 36 . We get $\sum w\left(\mathrm{OPT}\left[S_{i j}\right]\right) \leq 36 \cdot w(\mathrm{OPT})$ and, thus, $w(U) \leq 2 \sum w\left(\mathrm{OPT}\left[S_{i j}\right]\right) \leq 72 \cdot w(\mathrm{OPT})$.

## 3 Solving the subproblem for a small square

In this section we present a 2 -approximation algorithm for the following problem: Given a $\mu \times \mu$ square $S_{i j}$, where $\mu<1$, and the set of disks $\mathcal{D}_{i j} \cup N\left(\mathcal{D}_{i j}\right)$, compute a minimum-weight set of disks that dominates all disks in $\mathcal{D}_{i j}$.

Let $\mathrm{OPT}_{i j}$ denote the set of disks in an optimal solution for the problem. In the following, we will often write that the algorithm "guesses" certain properties of $\mathrm{OPT}_{i j}$. Such guesses are to be interpreted as follows: The algorithm tries all possible choices for the guess (there will be a polynomial number of such choices) and computes a solution for each choice. In the end, the algorithm outputs the solution of minimum weight among all solutions found in this way. Some guesses may not lead to feasible solutions; such guesses are discarded. In the analysis, we concentrate on the solution in which the algorithm makes the right guess about $\mathrm{OPT}_{i j}$. It then suffices to show that the solution the algorithm finds for that guess is a constant-factor approximation of the optimum, because the solution output by the algorithm in the end will be at least as good as the one it finds for that guess.

First, the algorithm guesses the largest weight $w$ of a disk in $\mathrm{OPT}_{i j}$. Note that there are at most $n$ possible values for this guess (where $n$ is the number of disks in the instance). If there is a disk of weight at most $w$ in $\mathcal{D}_{i j}$, the algorithm simply outputs that disk as the solution (note that the disk has its center in $S_{i j}$ and therefore dominates all other disks in $\mathcal{D}_{i j}$ ), and this solution is optimal. If there is no disk of weight at most $w$ in $\mathcal{D}_{i j}$, we know that $\mathrm{OPT}_{i j}$ consists entirely of disks in $N\left(\mathcal{D}_{i j}\right)$ of weight at most $w$. In this case, we first discard all disks from $N\left(\mathcal{D}_{i j}\right)$ that have weight larger than $w$ and arrive at the following problem: Find a set of disks of minimum weight from $N\left(\mathcal{D}_{i j}\right)$ that dominates all disks in $\mathcal{D}_{i j}$. A disk $d_{1}$ from $N\left(\mathcal{D}_{i j}\right)$ dominates a disk $d_{2}$ from $\mathcal{D}_{i j}$ if and only if the distance of the centers of $d_{1}$ and $d_{2}$ is at most 2 . Therefore, we can increase the radius of the disks in $N\left(\mathcal{D}_{i j}\right)$ from 1 to 2 and reduce the radius of the disks in $\mathcal{D}_{i j}$ from 1 to 0 and obtain an equivalent problem: If $\mathcal{D}^{\prime}$ denotes the set containing the enlarged version of the disks in $N\left(\mathcal{D}_{i j}\right)$ and $\mathcal{P}$ denotes the set of centers of the disks in $\mathcal{D}_{i j}$, we need to find a minimum-weight subset of the disks in $\mathcal{D}^{\prime}$ that covers all points in $\mathcal{P}$. Furthermore, we can renormalize the setting so that the disks in $\mathcal{D}^{\prime}$ have radius 1 . The renormalized square $S$ is now a $\delta \times \delta$ square, with $\delta=\mu / 2<1 / 2$. Therefore, the problem to be solved can be stated as follows:

Disk cover in a small square: Given a set $\mathcal{P}$ of points in a $\delta \times \delta$ square $S$, where $\delta<1 / 2$, and a set $\mathcal{D}^{\prime}$ of weighted unit disks, find a minimum-weight subset of $\mathcal{D}^{\prime}$ that covers all points in $\mathcal{P}$.

In the following subsection, we will present a 2 -approximation algorithm for this problem. In view of the discussion above, this implies that we have a 2 -approximation algorithm for the problem of computing a minimum-weight set of disks that dominates all disks in $\mathcal{D}_{i j}$ for a given $\mu \times \mu$ square $S_{i j}$, and this is the ingredient that we needed in the previous section to obtain the constant-factor approximation algorithm for MWDS in unit disk graphs.


| UL | UM | UR |
| :---: | :---: | :---: |
| CL |  | CR |
| LL | LM | LR |

Figure 1: One-hole solution (left), many-hole solution (middle), naming of regions (right)

### 3.1 Algorithm for disk cover in a small square

We are given a set $\mathcal{P}$ of points in a $\delta \times \delta$ square $S$ and a set $\mathcal{D}^{\prime}$ of $n$ weighted unit disks, and we want to find a minimum-weight subset of $\mathcal{D}^{\prime}$ that covers all points in $\mathcal{P}$. Let $\mathrm{OPT}^{\prime}$ denote a set of disks constituting an optimal solution to this problem.

Let $C$ be the area covered by the union of the disks in $\mathrm{OPT}^{\prime}$. A hole of $\mathrm{OPT}^{\prime}$ is defined to be a topological component of $S \backslash C$. Intuitively, if $S$ was a glass window and the disks in $\mathrm{OPT}^{\prime}$ were to cover parts of this window, the holes would be the connected regions where one can still see through the window.

Definition $1 \mathrm{OPT}^{\prime}$ is a one-hole solution if it has exactly one hole and each disk in $\mathrm{OPT}^{\prime}$ forms part of the boundary of that hole (and that part consists of more than 1 point). $\mathrm{OPT}^{\prime}$ is a many-hole solution if it has at least two holes.

Definition 1 is illustrated in Fig. 1. If $\mathrm{OPT}^{\prime}$ is neither a one-hole solution nor a many-hole solution, it must be of one of the following types: Either $\mathrm{OPT}^{\prime}$ has no hole at all, or it has one hole but not all disks in $\mathrm{OPT}^{\prime}$ form part of the boundary of the hole. If $\mathrm{OPT}^{\prime}$ does not have a hole, we can delete one disk $d$ from $\mathrm{OPT}^{\prime}$ (and remove all points in $d$ from $\mathcal{P}$ ) to obtain a solution with at least one hole. If $\mathrm{OPT}^{\prime}$ has one hole but not all disks are on the boundary of the hole, let $d^{\prime}$ be a disk that is not on the boundary of the hole. If we delete $d^{\prime}$ from $\mathrm{OPT}^{\prime}$ (and the corresponding points from $\mathcal{P}$ ), we have at least two holes and arrive at a many-hole solution. Therefore, $\mathrm{OPT}^{\prime}$ can always be converted into a one-hole or many-hole solution by deleting at most two disks.

The algorithm guesses whether $\mathrm{OPT}^{\prime}$ is a one-hole solution or a many-hole solution. If $\mathrm{OPT}^{\prime}$ is neither of these, the algorithm also guesses this and additionally guesses the one or two disks that need to be removed from $\mathrm{OPT}^{\prime}$ (and added to the solution computed by the algorithm) in order to obtain a one-hole or many-hole solution. Hence, we can assume that $\mathrm{OPT}^{\prime}$ is a one-hole or many-hole solution and that the algorithm has guessed correctly which of the two is the case. In each of the two cases, we will encounter subproblems that can be solved by dynamic programming, as stated in the following lemma.

Lemma 1 Let $\mathcal{P}$ be a set of points located in a strip between the horizontal lines $y=y_{1}$ and $y=y_{2}$ for some $y_{1}<y_{2}$. Let $\mathcal{D}$ be a set of weighted unit disks with centers above the line $y=y_{2}$ (upper disks) or below the line $y=y_{1}$ (lower disks). Furthermore, assume that the union of the disks in $\mathcal{D}$ contains all points in $\mathcal{P}$. Then a minimum-weight subset of $\mathcal{D}$ that covers all points in $\mathcal{P}$ can be computed in polynomial time.

Proof. A solution consists of some upper disks and some lower disks. All upper disks in the solution intersect the line $y=y_{2}$, and all lower disks the line $y=y_{1}$. We view the upper halfplane bounded by $y=y_{2}$ and the lower halfplane bounded by $y=y_{1}$ as special cases of disks (with weight 0 ). For a set $\mathcal{U}$ of upper disks and a point $p \in \mathcal{P}$ with $x$-coordinate $x_{p}$, we say that an upper disk $u \in \mathcal{U}$ is active at $x_{p}$ if its lowest intersection point with the vertical line $x=x_{p}$ has the smallest $y$-coordinate among all lowest intersection points of disks $u^{\prime} \in \mathcal{U}$ with that line. If there are two or more active upper disks at $x=x_{p}$ by this definition, we consider only the one with leftmost center. For lower disks, active disks are defined similarly (i.e., having an intersection point with $x=x_{p}$ of largest $y$-coordinate). For a given solution and a given $x$-coordinate $x_{p}$, there is one active upper disk and one active lower disk at $x_{p}$ (and each of these could also be the respective halfplane, as mentioned above). The algorithm computes a table $T_{p}$ for every point $p \in \mathcal{P}$, in order of non-decreasing $x$-coordinates. For ease of presentation, we assume that no two points have the same $x$-coordinate. Let $p_{1}, p_{2}, \ldots, p_{k}$ denote the points of $\mathcal{P}$ in order of increasing $x$-coordinates. For an upper disk $u$ and a lower disk $d$, the table entry $T_{p_{i}}(u, d)$ denotes the optimal weight of a solution that covers all points from $p_{1}$ up to $p_{i}$ and has $u$ and $d$ as the active upper and lower disk, respectively, at $x_{p_{i}}$. (If $u$ and $d$ do not cover $p_{i}$, we say that $u, d$ is not feasible for $p_{i}$ and set the table entry to $\infty$.) The table $T_{p_{1}}$ can be initialized by setting $T_{p_{1}}(u, d)=w_{u}+w_{d}$ for all pairs of disks $u$ and $d$ that cover $p_{1}$. Once the tables for $p_{1}, \ldots, p_{i-1}$ have been computed, the table entries $T_{p_{i}}(u, d)$ for all feasible disks $u$ and $d$ for $p_{i}$ can be computed as follows:

$$
T_{p_{i}}(u, d)=\min \left\{T_{p_{i-1}}\left(u^{\prime}, d^{\prime}\right)+\left[u \neq u^{\prime}\right] \cdot w_{u}+\left[d \neq d^{\prime}\right] \cdot w_{d} \mid u^{\prime}, d^{\prime} \text { feasible for } p_{i-1}\right\}
$$

Here, the term $\left[u \neq u^{\prime}\right]$ is 1 if $u \neq u^{\prime}$, and 0 otherwise (and similarly for $\left[d \neq d^{\prime}\right]$ ). Intuitively, the equation is based on the observation that an optimal solution covering $p_{1}, \ldots, p_{i}$ with $u$ and $d$ as active disks for $x_{p_{i}}$ can be obtained by adding $u$ and $d$ to an optimal solution corresponding to some $T_{p_{i-1}}\left(u^{\prime}, d^{\prime}\right)$, where the weight of $u$ or $d$ needs to be added only if $x_{p_{i}}$ is the first $x$-coordinate for which $u$ or $d$ is active. The correctness of the calculation in the case of unit disks follows from the fact that an upper or lower disk can be active in the solution only for points in $\mathcal{P}$ that are consecutive (except if the disk is actually the lower or upper halfplane mentioned above, but these special disks have weight 0 and therefore do not cause problems if their weight is added each time they become active). The weight of an optimal solution for the disk cover problem can be found by locating the minimum value $T_{p_{k}}(u, d)$ among all feasible disks $u, d$ for $p_{k}$. The solution itself can be found using standard bookkeeping techniques.

In the following two subsections, we deal with the one-hole case and the many-hole case, respectively.

### 3.1.1 One-hole solutions

Assume that $\mathrm{OPT}^{\prime}$ is a one-hole solution. The boundary of the hole is formed by disks from $\mathrm{OPT}^{\prime}$ and, potentially, some parts from sides of the square $S$ (we view the latter as special kinds of disks with weight 0 and infinite radius, i.e., halfplanes, and do not treat them explicitly in the following). All disks in $\mathrm{OPT}^{\prime}$ have their centers outside $S$. Using the lines that are the extensions of the sides of $S$, we can partition the plane outside $S$ into 8 regions in the natural way (see also Fig. 1): upper left region (UL), upper middle region (UM), upper right region (UR), central right region (CR), lower right region (LR), lower middle region (LM), lower left region (LL), and central left region (CL). The upper region $(\mathrm{U})$ is the union of UL, UM and UR, and similarly for the lower region (L).

If we follow the boundary of the hole in counterclockwise direction, we will encounter disks with center in $C L$, then disks with center in $L$, then disks with center in $C R$, then disks with center in $U$.


Figure 2: The region $\mathcal{L}$ is defined by parts of the boundaries of disk $d_{L}$, drawn dashed, and disks $d_{u}$ and $d_{\ell}$ (left). A disk $d$ with center not in CL from $\mathrm{OPT}^{\prime}$ intersecting $\mathcal{L}$ must have its center in the cone of two halflines starting at the center $c_{L}$ of $d_{L}$ and passing through $p_{u}$ and $p_{\ell}$, respectively (right)

The points on the boundary that are in the intersection of two consecutive disks on the boundary are called corners. Each corner is determined by two disks (the disks on whose boundaries it lies).

Among all corners that are determined by at least one disk whose center is in CL, let $p_{\ell}$ denote the one with the smallest $y$-coordinate and let $p_{u}$ denote the one with the largest $y$-coordinate. Let $p_{\ell}^{\prime}$ and $p_{u}^{\prime}$ be defined analogously with respect to CR. (The case where no part of the boundary of the hole is created by disks with center in CL or CR is easier and is not treated in detail here.) The algorithm guesses the corners $p_{\ell}, p_{u}, p_{\ell}^{\prime}$ and $p_{u}^{\prime}$ and the pairs of disks determining them. As there are only $O\left(n^{2}\right)$ pairs of disks, the number of potential guesses is polynomial.

Let $d_{L}$ be the unit disk that has $p_{\ell}$ and $p_{u}$ on the boundary and has its center to the left of the line $\overline{p_{\ell} p_{u}}$. Note that in general $d_{L}$ is not a disk that is part of the input of the problem. Let $d_{\ell}$ and $d_{u}$ be the disks from $\mathrm{OPT}^{\prime}$ that have their center in CL and contain $p_{\ell}$ and $p_{u}$, respectively, on the boundary. Let $x$ be the intersection point of the boundaries of $d_{\ell}$ and $d_{u}$ that is closer to $S$. Let $\mathcal{L}$ be the connected region that is delineated by the boundary of $d_{L}$ between $p_{u}$ and $p_{\ell}$, and by the boundary of $d_{\ell}$ between $x$ and $p_{\ell}$, and by the boundary of $d_{u}$ between $p_{u}$ and $x$. See Fig. 2 (left) for an illustration.

Lemma 2 The only disks in $\mathrm{OPT}^{\prime}$ that intersect $\mathcal{L}$ have their center in $C L$ or in the union of UR, CR and LR. Furthermore, no disk from $\mathrm{OPT}^{\prime}$ with center in $C L$ can cover a point outside $\mathcal{L}$ that is not already covered by $d_{u}$ or $d_{\ell}$.

Proof. As $p_{u}$ and $p_{\ell}$ are on the boundary of the hole, no disk in $\mathrm{OPT}^{\prime}$ can contain $p_{u}$ or $p_{\ell}$ in its interior. Hence, any disk $d$ from $\mathrm{OPT}^{\prime}$ that intersects $\mathcal{L}$ must either have its center to the left of the line $\overline{p_{\ell} p_{u}}$ and intersect the parts of the boundaries of $d_{\ell}$ and $d_{u}$ that define $\mathcal{L}$, or it must have its center to the right of the line $\overline{p_{\ell} p_{u}}$ and intersect the boundary of $\mathcal{L}$ twice on the part that is also a boundary of $d_{L}$. In the former case, the $y$-coordinate of the center of $d$ must lie between the $y$-coordinates of the centers of $d_{\ell}$ and $d_{u}$, and hence $d$ must have its center in CL. (To see this, consider the disk $d^{\prime}$ that is obtained from $d$ by shifting it horizontally to the right until it first contains $p_{u}$ or $p_{\ell}$ on its boundary; observe that the disk $d_{u}$ can be rotated around $p_{u}$ until it becomes identical to $d^{\prime}$, with its center continuously moving downward; the same argument can be applied to the disk $d_{\ell}$ and shows
that the center of $d^{\prime}$ must have larger $y$-coordinate than the center of $d_{\ell}$. By the same argument, we also have that $c_{L}$ must lie in CL.) In the latter case, the center $c$ of $d$ must lie in the cone of points between the halflines starting at the center $c_{L}$ of $d_{L}$ and passing through $p_{\ell}$ and $p_{u}$, respectively, see Fig. 2 (right). We want to show that $c$ cannot be in UM or LM. Assume for a contradiction that $c$ is in UM (the case for LM is similar). The slope of the line connecting $c_{L}$ and $p_{u}$ is at most $\delta / \sqrt{1-\delta^{2}}$. Therefore, the largest $y$-coordinate of a point in the intersection of the cone and UM is bounded by $y_{p_{u}}+\delta^{2} / \sqrt{1-\delta^{2}}$, so the distance between $p_{u}$ and any point in that intersection is at most $\delta / \sqrt{1-\delta^{2}}$ (see Fig. 3 for an illustration). Hence, for $\delta<\sqrt{2} / 2$ (and we even have $\delta<1 / 2$ ), a unit disk with


Figure 3: Any disk with center in the cone and in UM contains point $p_{u}$, for $\delta<\sqrt{2} / 2$
center in that intersection must contain $p_{u}$. Thus, $c$ cannot be in UM, as $d$ would then contain $p_{u}$ in its interior. Similarly, we get that $c$ cannot be in LM. Furthermore, $c$ clearly cannot be in UL or LL, as it must be to the right of $p_{u}$. Hence, we have shown that $c$ must be in the union of UR, CR and LR.

We have shown that the only disks in $\mathrm{OPT}^{\prime}$ that intersect $\mathcal{L}$ have their center in CL or in the union of $U R, C R$ and $L R$. It remains to show that no disk from $\mathrm{OPT}^{\prime}$ with center in CL can cover a point outside $\mathcal{L}$ that is not already covered by $d_{u}$ or $d_{\ell}$. Let $d^{\prime}$ be a disk from OPT ${ }^{\prime}$ with center in CL. All disks from $\mathrm{OPT}^{\prime}$ are on the boundary of the hole, and $p_{u}$ and $p_{\ell}$ are the topmost and lowest corners, respectively, that are determined by at least one disk with center in CL. Therefore, $d^{\prime}$ must appear on the boundary of the hole between $p_{u}$ and $p_{\ell}$. This implies that $d^{\prime} \backslash\left(d_{u} \cup d_{\ell}\right)$ consists of one region that is contained in $\mathcal{L}$ and a second region that is outside the square $S$ (and cannot contain any points from $\mathcal{P}$ ). This establishes the claim.

Similar to $\mathcal{L}$, we can define a region $\mathcal{R}$ with respect to $\mathrm{CR}, p_{\ell}^{\prime}$ and $p_{u}^{\prime}$, and the analogue of Lemma 2 holds for $\mathcal{R}$.

Let $\mathcal{P}^{\prime}$ be the set of points that is obtained from $\mathcal{P}$ by removing the points that are contained in one of the disks determining the four corner points guessed by the algorithm. For the points in $\mathcal{P}^{\prime} \cap(\mathcal{L} \cup \mathcal{R})$, we can compute an optimal disk cover using Lemma 1 (rotated by $90^{\circ}$ ), since the points are contained in the vertical strip containing $S$ and the only disks that need to be considered for covering them have their center to the left or to the right of the strip. The remaining points in $\mathcal{P}^{\prime}$ can only be covered by disks with center in U or in L by $\mathrm{OPT}^{\prime}$, hence we can again compute an optimal disk cover for them using Lemma 1. If we output the union of the two disk covers, we have computed a 2-approximation to the overall disk cover problem in this square.

### 3.1.2 Many-hole solutions

Now we consider the case that $\mathrm{OPT}^{\prime}$ is a many-hole solution. There must be two disks $d_{1}, d_{2} \in \mathrm{OPT}^{\prime}$ such that $S \backslash\left(d_{1} \cup d_{2}\right)$ consists of two disjoint regions and each of these two regions contains a hole of


Figure 4: New coordinate system for the many-hole case
$\mathrm{OPT}^{\prime}$. (As a special case, $d_{1}$ or $d_{2}$ could be any halfplane that touches a side of $S$ but does not contain $S$; in this case, we would have a single disk from $\mathrm{OPT}^{\prime}$ that intersects the square in such a way that two holes are created.) We use a new coordinate system in which the $y$-axis contains the centers $c_{1}$ and $c_{2}$ of $d_{1}$ and $d_{2}$, respectively, and the intersection points of the boundaries of $d_{1}$ and $d_{2}$ are on the $x$-axis. Let $b$ be the smallest axis-parallel square containing the (rotated) square $S$. Let $\delta^{\prime}$ be the side length of $b$. Note that $\delta^{\prime} \leq \delta \sqrt{2}<\sqrt{2} / 2$. See Fig. 4 for an illustration. As for the one-hole case, we partition the plane outside $b$ into regions UL, UM, UR, CR, LR, LM, LL, CL, and we define regions U and L as before.

The disks $d_{1}$ and $d_{2}$ create two holes in $S$; we refer to the left hole as LH, and to the right hole as RH. Because $\mathrm{OPT}^{\prime}$ is a superset of $\left\{d_{1}, d_{2}\right\}, \mathrm{OPT}^{\prime}$ may contain more than two holes, but all the holes in $\mathrm{OPT}^{\prime}$ are contained in either LH or RH.

We begin with some observations: It is clear that for a point with coordinates $(x, y)$ that is contained in LH or RH, we have $|y|<1-\sqrt{1-x^{2}}$. Furthermore, for any two points such that one is from LH and one from RH, the $y$-distance between the points is at most $1-\sqrt{1-\alpha^{2}}$, where $\alpha$ is the $x$ distance between the points. This follows from the following computation. Assume the first point has coordinates $\left(-\alpha_{1}, y_{1}\right)$ and the second point $\left(\alpha_{2}, y_{2}\right)$, for some $\alpha_{1}, \alpha_{2} \geq 0$. We have $\alpha_{1}+\alpha_{2}=\alpha \leq \delta^{\prime}$, and the $y$-distance of the points is bounded by $\left|y_{1}\right|+\left|y_{2}\right| \leq 1-\sqrt{1-\alpha_{1}^{2}}+1-\sqrt{1-\left(\alpha-\alpha_{1}\right)^{2}}$. We find that this expression is maximized at $\alpha_{1}=\alpha$ and $\alpha_{1}=0$, giving an upper bound of $1-\sqrt{1-\alpha^{2}}$.

Lemma 3 In $\mathrm{OPT}^{\prime}$, no disk with center in the union of $U R, C R$ and $L R$ (in the union of $U L, C L$ and $L L)$ can intersect $L H(R H)$.

Proof. We consider the case of LH and the union of UR, CR and LR only. The other case is symmetric. For brevity, let R denote the union of UR, CR and LR.

Assume, for a contradiction, that there is a disk $d$ in $\mathrm{OPT}^{\prime}$ that has its center $c$ in R and intersects LH. We will show that $d$ covers RH completely, contradicting our assumption that $\mathrm{OPT}^{\prime}$ is a manyhole solution with at least one hole in RH .

Assume that $d$ does not cover RH completely. Then there must be a point $q$ in RH that is not contained in $d$. Furthermore, as $d$ does not cover LH completely, there must be a point $p$ in LH that


Figure 5: No disk from the union of UR, CR and LR can intersect LH
lies on the boundary of $d$. Assume without loss of generality that $q$ is not below $p$. As $d$ contains $p$ but not $q$ and its center is to the right of $q, c$ must lie below $q$. Moreover, $c$ lies on a circle $C$ of radius 1 with center $p$, because $d$ has $p$ on its boundary. See Fig 5. Consider point $c^{\prime}$ on $C$ at distance 1 from $q$ and below $q$ (observe that $c^{\prime}$ exists because $p$ and $q$ are at most $\sqrt{2} / 2$ apart). Let $\alpha$ denote the angle of lines $\overline{q p}$ and $\overline{q c^{\prime}}$ and let $\alpha^{\prime}$ denote the angle of line $\overline{q p}$ and the negative $y$-axis. Observe that both $\alpha$ and $\alpha^{\prime}$ are at most $180^{\circ}$. Because $c$ lies left of $c^{\prime}$ on circle $C$, it is enough to show that $\alpha \leq \alpha^{\prime}$, i.e., $\cos \alpha \geq \cos \alpha^{\prime}$, i.e., $c$ is not in $R$ (a contradiction). Let $u, h$ and $v$ denote the distance, the horizontal distance and the vertical distance of $p$ and $q$. Then $\cos \alpha=u / 2$ and $\cos \alpha^{\prime}=v / u$. We have $v \leq 1-\sqrt{1-h^{2}}$, i.e., $1-h^{2} \leq(1-v)^{2}$, i.e., $2 v \leq v^{2}+h^{2}=u^{2}$, i.e., $v / u \leq u / 2$, which concludes the proof.

Our approach to the weighted disk cover problem in the many-hole case can now be outlined as follows. We will show that LH contains a region $\mathcal{L}$ such that points in $\mathcal{L}$ can be covered only by disks with center in CL by $\mathrm{OPT}^{\prime}$. Let $\mathcal{P}^{\prime} \subseteq \mathcal{P}$ be the points in LH that are not in $\mathcal{L}$ and are not already covered by the disks the algorithm guesses to define $\mathcal{L}$. We will show that points in $\mathcal{P}^{\prime}$ can only be covered by disks with center in $U$ or $L$. The same approach will be applied to RH. This breaks the problem into two independent subproblems: covering points in $\mathcal{L}$ and in the corresponding region of RH using disks with center in CL or CR, and covering the remaining points using disks with center in U or L. Each of the two subproblems can be solved optimally by dynamic programming (Lemma 1). Since the subproblems are independent, the union of their optimal solutions gives an optimal solution to the disk cover problem in the many-hole case.

In the following we discuss this solution approach for points from $\mathcal{P}$ that are in LH in more detail. The arguments for RH are symmetric. Lemma 3 shows that no disk with center in the union of UR, CR and LR can intersect LH. We distinguish the following three cases concerning disks with center in CL that are contained in $\mathrm{OPT}^{\prime}$ :

1. $\mathrm{OPT}^{\prime}$ does not contain any disk with center in CL.
2. $\mathrm{OPT}^{\prime}$ contains one disk with center in CL.
3. $\mathrm{OPT}^{\prime}$ contains two or more disks with center in CL.

The algorithm guesses which of the three cases holds for $\mathrm{OPT}^{\prime}$. In the first case we have that all points in LH are covered by disks with center in U or L by $\mathrm{OPT}^{\prime}$. In the second case, we have to additionally guess the disk $d$ with center in CL that is in $\mathrm{OPT}^{\prime}$. The remaining points in LH (those that are not covered by $d$ ) can then again only be covered by disks with center in U or L by $\mathrm{OPT}^{\prime}$.

It remains to deal with the third case, where $\mathrm{OPT}^{\prime}$ contains two or more disks with center in CL. We can show that in this case, all disks from $\mathrm{OPT}^{\prime}$ with center in CL must lie on the boundary of the same hole.

Assume that the boundary of the solution contains a part of a disk with center in CL (as we will show in Lemma 7, this is always the case). Let $p_{u}$ and $p_{\ell}$ be the corners with largest and smallest $y$-coordinate, respectively, among all corners of holes that are determined by at least one disk with center in CL. Let $d_{u}$ and $d_{\ell}$ be the disks with center in CL that determine $p_{u}$ and $p_{\ell}$, respectively, and let $d_{L}$ be the disk with center to the left of $p_{u}$ and $p_{\ell}$ that contains $p_{u}$ and $p_{\ell}$ on the boundary. (Note that $d_{L}$ is in general not a disk that is part of the input.) By our assumption $p_{u}$ and $p_{\ell}$ are distinct.

The algorithm guesses these points and the disks determining them. The disks $d_{u}, d_{\ell}$ and $d_{L}$ define a region $\mathcal{L}$ in the same way as in the one-hole case, see Fig. 2 (left). The region $\mathcal{L}$ contains points from $\mathcal{P}$ that are covered in $\mathrm{OPT}^{\prime}$ using disks with center in CL (see Lemma 4). It follows that these disks form a boundary between $p_{u}$ and $p_{\ell}$ of the same hole (Lemma 5). And, because every disk from $\mathrm{OPT}^{\prime}$ with center in CL must be on this boundary (Lemma 6), the disks with center in CL cannot cover any other point outside $\mathcal{L}$.

The points in LH that are not in $\mathcal{L}$ must be therefore covered by disks with center in U or L . Similarly, the points in RH can be split into those that can only be covered by disks with center in CR and those that can be covered by disks with center in U or L . We create two subproblems that can be solved by dynamic programming (Lemma 1): one subproblem for the points to be covered by disks with center in $U$ or $L$, and one subproblem for the points to be covered by disks with center in CL or CR. For both subproblems, there is a (vertical or horizontal) strip that contains all the points to be covered while all disks have their centers outside that strip. As OPT cannot contain a disk that covers points from both subproblems, the union of the optimal solutions to the two subproblems actually gives an optimal disk cover for the square.

Lemma $4 \mathrm{OPT}^{\prime}$ does not contain any disk with center outside CL that intersects $\mathcal{L}$ in $L H$.
Proof. Let $d$ be a disk that has its center $c$ outside CL and that intersects $\mathcal{L}$ in LH. Since $c$ is not in $\mathrm{CL}, c$ has to be to the right of the line $\overline{p_{\ell} p_{u}}$ and $d$ intersects $d_{L}$ twice between the points $p_{u}$ and $p_{\ell}$. We claim that $c$ must be in the union of UR, CR and LR. This follows by the same arguments as in the proof of Lemma 2 (which are applicable since $\delta^{\prime} \leq \sqrt{2} \delta<\sqrt{2} / 2$ ). However, Lemma 3 shows that no disk with center in the union of UR, CR and LR intersects LH, and therefore no such disk can intersect $\mathcal{L}$ in LH .

Lemma $5 p_{u}$ and $p_{\ell}$ lie on the boundary of the same hole in $\mathrm{OPT}^{\prime}$, and the boundary between $p_{u}$ and $p_{\ell}$ is formed by disks with center in CL (or parts of sides of $S$ ) only.

Proof. Observe that from the construction of the region $\mathcal{L}$ we have that all disks with center in CL that are on the boundary of the solution can appear only in the region $\mathcal{L}$. Since no other disk than those with center in CL can intersect $\mathcal{L}$ (Lemma 4), the results follows.

In the following, we define $\ell_{u}$ and $\ell_{d}$ to be the horizontal lines that contain the upper and lower side of $b$, respectively.

Lemma 6 If there is a disk with center in CL that lies on the boundary of a hole in OPT', then all disks with center in CL lie on the boundary of a hole in $\mathrm{OPT}^{\prime}$.


Figure 6: Setting in the many-hole case where a disk with center in CL lies on the boundary

Proof. For a contradiction, assume that $d_{l}^{\prime} \in \mathrm{OPT}^{\prime}$ has its center in CL but does not lie on the boundary of any hole in $\mathrm{OPT}^{\prime}$. Let $T$ denote the set of disks in $\mathrm{OPT}^{\prime}$ that have their centers in CL. Consider the boundary $B_{T}$ formed by disks in $T$ inside $b$. Disk $d_{l}^{\prime}$ must lie on $B_{T}$. Let $q$ be a corner point of $B_{T}$ formed by $d_{l}^{\prime}$. Recall that $p_{u}$ and $p_{\ell}$ are the highest and lowest corner points of $\mathrm{OPT}^{\prime}$ that are determined by a disk with center in CL. This means that $p_{u}$ and $p_{\ell}$ are the highest and lowest points on $B_{T}$ that are also on the boundary of a hole in $\mathrm{OPT}^{\prime} . q$ cannot be between $p_{u}$ and $p_{\ell}$ on $B_{T}$ by Lemma 5. Therefore, let us assume that $q$ lies below $p_{\ell}$. (If $q$ lies above $p_{u}$, the arguments are analogous.)

Let $d_{x}$ with center in CL and $d_{y}$ with center in L be the disks that determine $p_{\ell}$. (Observe that $d_{y}$ has to exist; if $p_{\ell}$ is created as an intersection of $d_{x}$ and $\ell_{d}$, then $q$ cannot be below $p_{\ell .}$.) Let $c_{x}$ and $c_{y}$ be their respective centers, see Fig. 6. Let $x$ be the rightmost intersection point of the boundary of $d_{x}$ and $\ell_{d}$. Let $y$ be the leftmost intersection point of the boundary of $d_{y}$ and $\ell_{d}$. The only area that is left uncovered by disks $d_{x}$ and $d_{y}$ and that could be covered by $d_{l}^{\prime}$ lies in the triangular region with corner points $p_{\ell}, x$ and $y$. That region is nonempty if $y$ lies to the right of $x$. We claim that if $x=y$, then $c_{y}$ lies in R , the union of $\mathrm{UR}, \mathrm{CR}$ and LR . Thus if $y$ moves to the right of $x$, then $c_{y}$ moves to the right as well, i.e., $c_{y}$ stays in R, which is a contradiction to Lemma 3. We are left to prove the claim. Assume that $x=y$. Let $h$ be the horizontal distance of $c_{y}$ and $p_{\ell}$ (see Fig. 7 for an illustration). Observe that $h$ is equal to the horizontal distance of $c_{x}$ and $x$ (for this observe that line $\overline{c_{x} p_{\ell}}$ is parallel to line $\overline{x c_{y}}$ ). Therefore, $h \geq \sqrt{1-\delta^{\prime 2}}$. For $\delta^{\prime} \leq \sqrt{2} / 2$ we have $h \geq \delta^{\prime}$ and therefore $c_{y}$ lies in R.

Lemma 7 There can be at most one disk $d_{\ell} \in \mathrm{OPT}^{\prime}$ that has its center in $C L$ and is not on the boundary of $\mathrm{OPT}^{\prime}$.

Proof. Assume for contradiction that there are at least two disks with center in CL that do not appear on the boundary of $\mathrm{OPT}^{\prime}$. Lemma 6 implies that then all disks with center in CL do not appear on


Figure 7: The shown setting is impossible: Center $c_{y}$ must lie to the right of $b$ because $h>\delta^{\prime}$
the boundary of $\mathrm{OPT}^{\prime}$. Now we proceed similarly as in the proof of Lemma 6 . Let $T$ denote the set of disks in $\mathrm{OPT}^{\prime}$ that have their center in CL. Consider the boundary $B_{T}$ formed by disks from $T$ inside $b$ (and observe that all disks from $T$ appear on $B_{T}$ ). Let $d_{x}$ and $d_{z}$ be two adjacent disks on $B_{T}$ (with common corner point $q$ ), and assume without loss of generality that $d_{x}$ is above $d_{z}$, i.e., the center $c_{x}$ of $d_{x}$ is above the center $c_{z}$ of $d_{z}$, see Fig. 8. We can assume that $q$ is in LH, because otherwise one of the two disks $d_{x}$ and $d_{z}$ would be redundant. Because no disk with center in CL is on the boundary of $\mathrm{OPT}^{\prime}$, point $q$ must be covered by some disk with center in U or L (note that Lemma 3 shows that $q$ cannot be covered by a disk with center in the union of UR, CR and LR). Assume that $q$ is covered by a disk $d_{y}$ with center $c_{y}$ in L (the case when $c_{y}$ is in U is analogous). Let $x$ and $z$ be the rightmost intersections of the disks $d_{x}$ and $d_{z}$, respectively, with line $\ell_{d}$. Points that are covered by $d_{z}$ and not by $d_{x}$ must be in the triangular region $q x z$. We show that the triangular region $q x z$ is covered by $d_{y}$, and therefore $d_{z}$ could be removed from $\mathrm{OPT}^{\prime}$, a contradiction to the fact that $\mathrm{OPT}^{\prime}$ is an optimum solution. Disk $d_{y}$ does not cover the entire triangular region $q x z$ if and only if $y$, the leftmost intersection of $d_{y}$ and $\ell_{d}$, is to the right of $x$. (Note that the rightmost intersection of $d_{y}$ and $\ell_{d}$ is always to the right of $x$, if $d_{y}$ has center in L.) If $d_{y}$ has $q$ on its boundary (i.e., the distance of $c_{y}$ and $q$ is 1 ), we can argue similarly as in Lemma 6 ( $q$ takes the role of $p_{\ell}$ ) and show that $d_{y}$ must have its center $c_{y}$ in the union of UR, CR, LR. It is easy to observe that if the distance from $c_{y}$ to $q$ is less than $1, c_{y}$ remains in the union of UR, CR and LR, which contradicts Lemma 3.

In summary, we have shown that in both the one-hole case and the many-hole case we can obtain a 2 -approximation (in the many-hole case, even an optimal solution) of the minimum-weight disk cover for the given $\delta \times \delta$ square $S$. Furthermore, all other cases (no holes, or one hole with not all disks on the boundary of the hole) can be reduced to one of these cases by guessing one or two disks in the optimal solution. Therefore, we obtain a 2 -approximation algorithm for the problem of computing a minimum-weight disk cover in a small square.

### 3.2 Algorithm for general weighted disk cover with unit disks

We remark that our result on disk cover in a small square also implies a constant-factor approximation algorithm for the general weighted disk cover problem with unit disks (i.e., given a set of points and a set of weighted unit disks, find a minimum-weight set of disks that covers all the points). We


Figure 8: Setting for the many-hole case where no disk with center in CL is on the boundary
can simply partition the plane into $\delta \times \delta$ squares and compute an approximate disk cover for each square. Then we output the union of all computed disk covers as the solution. As a disk from the optimal solution can be used to cover points in at most $O\left(1 / \delta^{2}\right)$ different $\delta \times \delta$ squares, we lose only a factor of $O\left(1 / \delta^{2}\right)$ in the approximation ratio by solving the problem for each square separately. More precisely, for every disk $d$, any square containing a point covered by $d$ must be fully contained in a disk of radius $1+\sqrt{2} \delta$ around the center of $d$, and hence there are at most $\left\lfloor\pi(1+\sqrt{2} \delta)^{2} / \delta^{2}\right\rfloor=36$ such squares (for $\delta=0.999 / 2$ ). Since our algorithm for disk cover in one square has approximation ratio 2 , the overall approximation ratio of our algorithm for the weighted disk cover problem with unit disks is 72. Previously, constant-factor approximation algorithms were known only for the unweighted case of the disk cover problem [3, 4]. Moreover, the approximation ratio 72 of our algorithm improves also the approximation ratio 102 for the unweighted case from [4].

## 4 Connecting the dominating set

In this section we consider the problem of adding disks to a given dominating set in order to produce a connected dominating set. We present an algorithm that solves this problem by adding disks of total weight at most $O\left(w^{*}\right)$, where $w^{*}$ denotes the optimal weight of a connected dominating set for the given set of weighted unit disks. Note that the problem of connecting up a dominating set is a special case of the node-weighted Steiner tree problem; for general graphs, the best known approximation ratio for the latter problem is logarithmic in the size of the graph [9].

Let $\mathcal{D}$ be a set of weighted unit disks, and let $U \subseteq \mathcal{D}$ be a dominating set. Let $G$ denote the unit disk graph corresponding to the disks in $\mathcal{D}$, and assume that $G$ is connected (otherwise, $G$ cannot have a connected dominating set). The vertex set of a connected component of $G[U]$ (the subgraph of $G$ induced by $U$ ) is called a cluster of $U$. We create an auxiliary graph $H$. The vertices of $H$ correspond to the clusters of $U$. For every path of length at most 3 in $G$ that connects a vertex in one cluster $c_{1}$ of $U$ to a vertex in another cluster $c_{2}$ of $U$ and whose one or two internal vertices are not in $U$, we
add an edge between $c_{1}$ and $c_{2}$ to $H$. The weight of the edge is the sum of the weights of the disks corresponding to the one or two internal vertices of the path. Note that $H$ can have parallel edges. Next, we compute a minimum spanning tree $T$ in $H$. (The proof of the theorem below shows that $H$ is a connected graph.) Finally, we connect the dominating set $U$ by adding all disks that correspond to internal vertices of the paths in $G$ that correspond to the edges of $T$.

Theorem 2 Let $\mathcal{D}$ be a set of weighted unit disks and $U$ be a dominating set. Let $w^{*}$ be the weight of a minimum-weight connected dominating set for $\mathcal{D}$. There is an efficient algorithm that computes a set $U^{\prime}$ of disks such that $U \cup U^{\prime}$ is a connected dominating set and $w\left(U^{\prime}\right) \leq 17 w^{*}$.

Proof. We show that the auxiliary graph $H$ contains a spanning tree $T^{\prime}$ of weight at most $17 w^{*}$. This implies that $H$ is connected. Furthermore, the weight of the set $U^{\prime}$ of disks that the algorithm adds to $U$ is at most the weight of the minimum spanning tree, and the weight of the minimum spanning tree is upper bounded by the weight of $T^{\prime}$. Therefore, we get $w\left(U^{\prime}\right) \leq 17 w^{*}$.

It remains to show how to construct a spanning tree $T^{\prime}$ of $H$ with weight at most $17 w^{*}$. Let $U^{*}$ be an optimal connected dominating set, $w\left(U^{*}\right)=w^{*}$. Let $C$ be an arbitrary non-empty set of clusters of $U$, but not the set of all clusters of $U$. Let $\bar{C}$ be the set of the remaining clusters of $U$. We claim that $G$ must contain a path $\pi$ from a vertex in some cluster in $C$ to a vertex in some cluster in $\bar{C}$ such that $\pi$ contains at most two internal vertices and has the property that all its internal vertices are in $U^{*} \backslash U$. (Note that such a path $\pi$ corresponds to an edge in $H$.) To prove the claim, we argue as follows. Let $x$ be an arbitrary vertex in a cluster in $C$, and $y$ an arbitrary vertex in a cluster in $\bar{C}$. As $U^{*}$ is a connected dominating set, there must be a path $p$ in $G$ from $x$ to $y$ all of whose internal vertices are in $U^{*}$. Let $x^{\prime}$ be the last vertex on $p$ that is not in $U$ and that is dominated by a vertex $x^{\prime \prime}$ in a cluster in $C$. Note that such a vertex $x^{\prime}$ must exist. Furthermore, $x^{\prime}$ or the vertex $y^{\prime}$ after $x^{\prime}$ on $p$ must be dominated by a vertex $y^{\prime \prime}$ in a cluster in $\bar{C}$. Therefore, we obtain the desired path as $x^{\prime \prime}, x^{\prime}, y^{\prime \prime}$ or $x^{\prime \prime}, x^{\prime}, y^{\prime}, y^{\prime \prime}$.

Now we can create a spanning tree of $H$ as follows. We start with a tree consisting of a single vertex of $H$ (corresponding to some cluster of $U$ ) and grow the tree by repeatedly finding a path $\pi$ in $G$ that connects a vertex from a cluster in the tree to a vertex in a cluster not in the tree and has the properties discussed above. The claim above shows that such a path must exist. We can thus grow the tree by adding the edge in $H$ that corresponds to the path $\pi$. This is repeated until we have a spanning tree $T^{\prime}$.

The weight of each edge in the spanning tree $T^{\prime}$ corresponds to the weight of the internal vertices (which are in $U^{*}$ ) of a path of length at most 3 that connects different clusters of $U$. Furthermore, a vertex (disk) $d$ of $U^{*}$ can contribute to at most 17 edges of $H$ : Whenever $d$ contributes to the weight of an edge, it is an internal vertex of a path that connects two clusters of $U$ whose closest disks have (graph-theoretic) distance at most 2 from it. However, the set of disks at distance at most 2 from $d$ can contain at most 18 disjoint disks (see e.g. [16]) and therefore at most 18 disks from different clusters of $U$. As the spanning tree can contain at most 17 edges between these 18 clusters, we obtain that $d$ contributes its weight to at most 17 edges of the spanning tree $T^{\prime}$. Consequently, $w\left(T^{\prime}\right) \leq 17 w^{*}$.

Together with Theorem 1, we obtain the following corollary.
Corollary 1 There is a constant-factor approximation algorithm for the minimum-weight connected dominating set problem in unit disk graphs.

The approximation ratio of the algorithm of Corollary 1 is at most $72+17=89$.

## 5 A 3-approximation algorithm for minimum-weight forwarding sets

In this section we consider the minimum-weight forwarding set problem (MWFS). In this problem, we are given a distinguished unit disk $d_{o}$ (the source disk), a set of weighted unit disks $\mathcal{D}$ with centers in $d_{o}$, and a set of points $\mathcal{P}$ in the plane outside $d_{o}$ (but contained in the union of the disks in $\mathcal{D}$ ). The goal is to find a minimum-weight subset $\mathcal{D}^{\prime}$ of $\mathcal{D}$ such that every point in $\mathcal{P}$ is covered by at least one disk from $\mathcal{D}^{\prime}$. In the unweighted version of the problem (MFS), all disks have weight 1. Obviously, the problem is a special case of the disk cover problem.

MFS and MWFS arise in wireless ad-hoc networks in the context of the efficient implementation of flooding [4]. Flooding is a broadcasting mechanism where each node forwards the message to all its neighbors. This leads to many redundant messages. A more efficient implementation is obtained by letting each node forward the message only to a subset of the one-hop neighbors (the forwarding set) that covers all the two-hop neighbors. If the wireless network is modeled as a unit disk graph, the problem of determining a smallest (or minimum-weight) forwarding set for a node is just MFS (or MWFS) as defined above.

Calinescu et al. [4] devised a 3-approximation algorithm for MFS. We combine our ideas from Section 3 with their approach and obtain a 3-approximation algorithm for MWFS, the weighted version of the problem. The 3-approximation algorithm ALG for the unweighted case from [4] partitions the points in $\mathcal{P}$ according to the four quadrants defined by two orthogonal lines through the center $o$ of disk $d_{o}$, and then independently solves the covering problem for each quadrant. The union of these four disk covers is then a disk cover for all the points in $\mathcal{P}$. Clearly, the same approach can be applied to the weighted case as well.

Lemma 8 ([4]) If an $\alpha$-approximation algorithm is used for the (weighted) covering problem in each quadrant, the approximation ratio of $A L G$ is at most $3 \alpha$.

The proof of this lemma is based on the observation that each disk in $\mathcal{D}$ can cover points from $\mathcal{P}$ in at most three quadrants.

We present an optimal algorithm for the weighted covering problem of points in one quadrant, thus obtaining a 3-approximation algorithm for MWFS.

Lemma 9 There is a polynomial-time optimal algorithm for the minimum-weight forwarding set problem if the points $\mathcal{P}$ lie in one quadrant only.

Proof. For a disk $d \in \mathcal{D}$, let $w(d)$ denote the weight of the disk. Let $Q$ be the quadrant in which the points $\mathcal{P}$ lie. Let $Q^{\prime}=Q-d_{o}$ be the external quadrant, i.e., the quadrant without the disk $d_{o}$. Observe that in any optimal solution $\mathcal{D}^{\prime}$, each disk $d \in \mathcal{D}^{\prime}$ appears in $Q^{\prime}$ on the boundary of the solution (where the boundary of the solution means the boundary of the union of the disks from $\mathcal{D}^{\prime}$ ). To see this, consider a point $p \in \mathcal{P}$. Let $\overline{o p}$ be the half-line starting at $o$ and passing through $p$. Because $p$ is covered by $\mathcal{D}^{\prime}$, the half-line must intersect the boundary of $\mathcal{D}^{\prime}$ at some point $o^{\prime}$ that lies beyond the point $p$. Let $d^{\prime} \in \mathcal{D}^{\prime}$ be the disk that contains $o^{\prime}$. We say that disk $d^{\prime}$ is active at $p$. Observe that $o^{\prime}$ is in $Q^{\prime}$. Because every disk from $\mathcal{D}$ contains $o$, the disk $d^{\prime}$ contains the whole segment of $\overline{o p}$ between $o$ and $o^{\prime}$. Thus the disk $d^{\prime}$ contains $p$ as well. Therefore, if there is a disk $d \in \mathcal{D}^{\prime}$ that is not on the boundary of the solution, we could remove the disk and keep the points covered, a contradiction to $\mathcal{D}^{\prime}$ being an optimal solution.

In [4] it was proved that in $Q^{\prime}$ the boundaries of any two disks from $\mathcal{D}$ can intersect in at most one point. This implies that each disk from the optimal solution appears on the boundary of $\mathcal{D}^{\prime}$ in $Q^{\prime}$ exactly once (i.e., there is exactly one continuous part of the disk on the boundary).

We present a dynamic programming approach for the covering problem of points in $Q$ (which is an adaptation of the dynamic programming from Lemma 1). Assume that the points in $\mathcal{P}$ are ordered according to their polar coordinates (with the reference center being $o$ ). The algorithm computes a table with entries $T_{i}\left(d_{j}\right)$ that store the cost of an optimal solution for covering the points $p_{1}, \ldots, p_{i}$ in such a way that $d_{j}$ is active at $p_{i}$. If there is no solution for which $d_{j}$ is active at $p_{i}$, we set the entry to $\infty$. Computing $T_{1}\left(d_{j}\right)$ is straightforward: We set $T_{1}\left(d_{j}\right)=w\left(d_{j}\right)$ if $d_{j}$ covers $p_{1}$, otherwise we set $T_{1}\left(d_{j}\right)=\infty$. For a disk $d_{j}$ that covers $p_{i}$, we can compute the cost $T_{i}\left(d_{j}\right)$ using

$$
T_{i}\left(d_{j}\right)=\min _{k}\left\{T_{i-1}\left(d_{k}\right)+[j \neq k] \cdot w\left(d_{j}\right) \mid d_{j} \text { active at } p_{i} \text { for the solution of } T_{i-1}\left(d_{k}\right)\right\}
$$

Here, the term $[j \neq k]$ is 1 if $j \neq k$, and 0 otherwise. The correctness of the computation is justified by the fact that each disk can be active only for points in $\mathcal{P}$ that are consecutive. The weight of the optimal solution can be obtained as the minimum of the table entries $T_{n}(d)$, where $n$ is the number of points in $\mathcal{P}$ and $d$ ranges over all disks in $\mathcal{D}$ that cover $p_{n}$. The optimal solution itself can be obtained using standard bookkeeping techniques.

Theorem 3 There is a 3-approximation algorithm for the minimum-weight forwarding set problem.

## 6 Conclusion

We have presented the first constant-factor approximation algorithms for MWDS and MWCDS in unit disk graphs. Our techniques also yield a constant-factor approximation algorithm for the weighted disk cover problem with unit disks, and a 3-approximation algorithm for the weighted forwarding set problem.

Our algorithms for MWDS and MWCDS make use of the geometry of the disks and therefore require the disk representation as part of the input. It would be interesting to determine whether similar approximation ratios can be achieved if the disk representation of the unit disk graph is not given as part of the input.

As our algorithm for MWDS partitions the plane into small squares and solves the problem for each square separately, it is suitable for a distributed implementation. However, the running time of our algorithm, although polynomial, is quite large, since the algorithm must try all possibilities for the many guesses it makes about the optimal solution. Furthermore, the approximation ratio is not a very small constant. It would be interesting to design an improved algorithm for MWDS that is faster and achieves a better approximation ratio. An interesting question is whether MWDS or MWCDS even admit a PTAS for unit disk graphs. Finally, it would be interesting study whether MDS or MWDS admit approximation algorithms with ratio better than $\Omega(\log n)$ on general disk graphs.

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