# Approximation algorithms for geometric intersection graphs

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### Outline

#### Introduction

#### Independent sets in disk graphs

- A PTAS for unit disks
- A PTAS for arbitrary disks

#### Dominating sets

- Unweighted dominating sets in unit disk graphs
- Why the PTAS techniques don't seem to work for the weighted case or arbitrary disks
- A constant-factor approximation algorithm for weighted dominating sets in unit disk graphs
- Further results on dominating sets
- Some open problems

### What are geometric intersection graphs?

- vertices = geometric objects
- edges = non-empty intersection between objects

**Example: a rectangle intersection graph** 



geometric representation



### **Popular geometric intersection graphs**

#### ☐ disks (→ disk graphs), squares

- □ "fat" objects
- ellipses, rectangles (axis-aligned), arbitrary convex objects
- □ line segments, curves, higher-dimensional objects

#### The recognition problem is typically *NP*-hard!!

#### **Some Applications:**

- ⇒ Wireless networks (frequency assignment problems)
- ⇒ Map labeling
- ⇒ Resource allocation (e.g. admission control in line networks)

### **Application: Wireless networks**



### **Application: Map labeling**



(illustration taken from a paper by van Kreveld, Strijk, Wolff)

### **Application: Call admission control**



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... are the intersection graphs of disks in the plane:



### **Subclasses of disk graphs**

Unit disk graphs: all disks have diameter 1

Coin graphs: touching graphs of disks whose interiors are disjoint



Coin graphs are exactly the planar graphs! [Koebe, 1936]

### **Maximum Independent Set**

### **Maximum Independent Set (MIS)**

**Input:** a set  $\mathcal{D}$  of disks in the plane **Feasible solution:** subset  $A \subseteq \mathcal{D}$  of disjoint disks **Goal:** maximize |A|



In the weighted case (MWIS), each disk is associated with a positive weight.

## **Approximation algorithms for MIS**

An algorithm for MIS is a  $\rho$ -approximation algorithm if it

- > runs in **polynomial time** and
- always outputs an independent set of size at least OPT/ρ, where OPT is the size of the optimal independent set.

# A polynomial-time approximation scheme (PTAS) is a family of $(1 + \varepsilon)$ -approximation algorithms for every constant $\varepsilon > 0$ .

#### For MWIS, the definitions are analogous.

### **Known results for MIS in disk graphs**

#### Unit disk graphs:

- *NP*-hard [Clark, Colbourn, Johnson 1990].
- Greedy gives a 5-approximation, and even a 3-approximation if applied from top to bottom [Marathe et al., 1995]
- PTAS [Hunt III et al., 1994], based on the shifting strategy [Baker, 1984; Hochbaum and Maass, 1985]

#### Arbitrary disk graphs:

- PTAS [E, Jansen, and Seidel, 2001; Chan, 2001], using shifting strategy on multiple layers
- The PTASs generalize to squares, regular polygons, or, more generally, arbitrary fat objects.
- They also generalize to MWIS.

### **Shifting strategy for unit disk graphs**



Remove disks hitting active lines (and shift active lines).

### **Brute-force solution to subproblems**

Active lines partition the plane into squares that can be considered independently:



→ Compute max independent set *I* in each square by brute-force enumeration. As  $|I| = O(k^2)$ , time  $n^{O(k^2)}$ .

### **PTAS for MIS in unit disk graphs**

- For  $0 \leq r, s < k$ , get  $\mathcal{D}(r, s)$  from  $\mathcal{D}$  by deleting disks that
  - $\rightarrow$  hit a horizontal line equal to  $r \mod k$  or
  - $\rightarrow$  hit a vertical line equal to s modulo k.
- **2** Compute the max independent set  $I_S$  in each  $k \times k$  square S of  $\mathcal{D}(r, s)$  by brute-force enumeration.
- The union of the sets  $I_S$  gives a maximum independent set in  $\mathcal{D}(r, s)$ .
- Output the largest independent set obtained in this way.

**Running-time:**  $n^{O(k^2)}$  for *n* disks. (Can be made  $n^{O(k)}$ .)

**Approximation:** Solution is at least  $(1 - \frac{2}{k})$  OPT.

# **Arbitrary disk graphs**

- Classify the disks into layers according to their sizes.
- **2** Use the shifting strategy on all layers simultaneously.
- After removing all disks that hit active lines, use dynamic programming to compute a maximum independent set.

### **Classification into layers:**

- > Assume that the largest disk has diameter 1.
- > Layer  $\ell$ : disks with diameter d,  $\frac{1}{(k+1)^{\ell}} \ge d > \frac{1}{(k+1)^{\ell+1}}$ .
- > Lines on layer  $\ell$  are  $\frac{1}{(k+1)^{\ell}}$  apart, every k-th line is active.

### **Partition into layers**





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# **Dynamic programming idea**



For square *S* on level  $\ell$ , compute for every independent set *I* of larger disks intersecting *S*, a maximum independent set of smaller disks inside *S* that can be added to *I*. Time  $n^{O(k^2)}$ .

Works for weighted case, for higher dimensions, and for arbitrary disk-like or fat objects:

All we need is: The number of disjoint large objects that can intersect a box S of side length k is bounded by a function of k.

### **Recent related results**

- [Nieberg, Hurink, Kern, 2004] PTAS for maximum weight independent set in unit disk graphs without given representation.
- [Marx, 2005] Maximum independent set in unit disk graphs is W[1]-hard. (INP No FPT algorithm and no EPTAS unless FPT=W[1].)
- [van Leeuwen, 2005] Asymptotic FPTAS for maximum independent set (and various other problems) in unit disk graphs of bounded density.

### **Minimum Dominating Set**

### **Minimum Dominating Set (MDS)**

**Input:** a set  $\mathcal{D}$  of disks in the plane **Feasible solution:** subset  $A \subseteq \mathcal{D}$  that dominates all disks **Goal:** minimize |A|



In the weighted case (MWDS), each disk is associated with a positive weight.

### **Known results for MDS**

- In arbitrary graphs, ratio  $\Theta(\log n)$  is best possible (unless P = NP). [Feige '96; Arora and Sudan '97]
- For MDS in unit disk graphs, a PTAS can be obtained using the shifting strategy [Hunt III et al., 1994]:
  - Any maximal independent set is a dominating set.
  - Therefore, the smallest dominating set in a square can be found in polynomial time by enumeration.
  - Special treatment of disks on square boundaries.

#### Questions:

- What about MWDS in unit disk graphs? (backbone formation in wireless ad-hoc networks, [Wang&Li '05])
- What about MDS (and MWDS) in arbitrary disk graphs (or intersection graphs of fat objects)?

### **MWDS** can be arbitrarily large ...

... for unit disks in an area of constant size:

small weight

large weight

#### Brute-force enumeration does no longer work.

### **MDS** can use arbitrarily many ...

... larger disks intersecting a square:



#### Dynamic programming table size is no longer polynomial.

## **MWDS in unit disk graphs**

**Theorem.** [Ambühl, E, Mihal'ák, Nunkesser, 2006] There is a constant-factor approximation algorithm for MWDS in unit disk graphs.

#### Ideas:

- Partition the plane into squares and solve the problem for each square separately.
- In each square, reduce the problem to the problem of covering points with weighted disks.
- Use enumeration techniques (guess properties of OPT) and dynamic programming to solve the latter problem.

#### The constant factor is currently 72.

### The subproblem for each square

- Find a dominating set for the square:
  - Let  $\mathcal{D}_S$  denote the set of disks with center in a  $1 \times 1$  square S.
  - Let  $N(\mathcal{D}_S)$  denote the disks in  $\mathcal{D}_S$  and their neighbors.
  - Task: Find a minimum weight set of disks in  $N(\mathcal{D}_S)$  that dominates all disks in  $\mathcal{D}_S$ .
- Reduces to covering points in a square with weighted disks:
  - Let P be a set of points in a  $\frac{1}{2} \times \frac{1}{2}$  square S.
  - Let  $\mathcal{D}$  be a set of weighted unit disks covering P.
  - Task: Find a minimum weight set of disks in  $\mathcal{D}$  that covers all points in P.

# **Covering points by weighted disks**



## **Covering points by weighted disks**



# **Remark.** O(1)-approximation algorithms are known for unweighted disk cover [Brönninmann and Goodrich, 1995].

### **Polynomial-time solvable subproblem**

Given a set of points in a strip, and a set of weighted unit disks with centers outside the strip, compute a minimum weight set of disks covering the points.



# **Dynamic programming**

Vertical sweepline, table entry for every pair of disks that could be on the lower and upper envelope:



### Main cases: One hole or many holes

#### One-hole case:



Enlarged:



Many-holes case:



#### Enlarged:



### **Sketch of the one-hole case**

**Step 1:** Guess the four "corner points" of the optimal solution (each of them is defined by two disks).



### **Sketch of the one-hole case**

**Step 2:** Two regions that can only be covered with disks whose centers are to the left or right of the square.



### **Sketch of the one-hole case**

**Step 3:** Remaining area can only be covered with disks whose centers are above or below the square.



# **Summary: MWDS in unit disk graphs**

- For each square, reduce the weighted dominating set problem to a weighted disk cover problem.
- Distinguish one-hole case and many-holes case.
- In both cases, we have a 2-approximation algorithm for covering points in a square with weighted unit disks.
- This implies the constant-factor approximation algorithm for MWDS in unit disk graphs.
- The algorithm extends to the minimum weight connected dominating set problem in unit disk graphs.

### **Further results on MDS and MWDS**

**Theorem.** [E, van Leeuwen 2006] For disk graphs with bounded ply, there is a  $(3 + \varepsilon)$ -approximation algorithm for MWDS.

**Theorem.** [E, van Leeuwen 2006] For rectangle intersection graphs, MDS is APX-hard.

**Theorem.** [E, van Leeuwen 2006] For intersection graphs of "squares with bumps", MDS cannot be approximated within  $o(\log n)$  unless P = NP.



## **Open Problems**

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  - Is the problem APX-hard?

 What is the complexity of the maximum clique problem in disk graphs?
 (polynomial for unit disk graphs [Clark et al., 1990], NP-hard for ellipses [Ambühl, Wagner 2002])

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  - Known: If all rectangles have the same height, there is a PTAS. [Agarwal et al., 1998]
- Can we achieve approximation ratio  $o(\log n)$  for MDS and MWDS?
- Can rectangle intersection graphs be colored with O(ω) colors, where ω is the clique number?
   (best known upper bound: O(ω<sup>2</sup>) colors [Asplund and Grünbaum, 1960])

### Thank you!

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