

Queries, Modalities, Relations, Trees, XPath

Lecture VII

Core XPath and beyond

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July 2010: draft

Basic Axioms I: Idempotent Semirings

$$\begin{array}{llll} \text{ISAx1} & (A \cup B) \cup C & \equiv & A \cup (B \cup C) \\ \text{ISAx2} & A \cup B & \equiv & B \cup A \\ \text{ISAx3} & A \cup A & \equiv & A \\ \text{ISAx4} & A/(B/C) & \equiv & (A/B)/C \\ \text{ISAx5} \left\{ \begin{array}{l} \cdot/A \\ A/\cdot \end{array} \right. & & \equiv & A \\ \text{ISAx6} \left\{ \begin{array}{l} A/(B \cup C) \\ (A \cup B)/C \end{array} \right. & \equiv & A/B \cup A/C \\ & \equiv & A/C \cup B/C \\ \text{ISAx7} & \perp & \subseteq & A \end{array}$$

Distributive lattices, Kleene algebras, Tarski's relation algebras:
they all have **idempotent semiring** reducts.

Idempotency is the axiom ISAx3.

\perp abbreviates $\cdot [\neg \langle \cdot \rangle]$

Basic Axioms II: Predicate Axioms

$$\text{PrAx1} \quad A[\neg\langle B \rangle] / B \equiv \perp$$

$$\text{PrAx2} \quad A[\phi \vee \psi] \equiv A[\phi] \cup A[\psi]$$

$$\text{PrAx3} \quad (A/B)[\phi] \equiv A/B[\phi]$$

$$\text{PrAx4} \quad \cdot[\langle \cdot \rangle] \equiv \cdot$$

In Tarski's relation algebras and XPath 2.0,
predicates can be defined away

Note that PrAx3 would not be valid
if we allowed **unrestricted positional predicates**

Basic Axioms III: Node Axioms

$$\text{NdAx1} \quad \phi \quad \equiv \quad \neg(\neg\phi \vee \psi) \vee \neg(\neg\phi \vee \neg\psi)$$

$$\text{NdAx2} \quad \langle A \cup B \rangle \quad \equiv \quad \langle A \rangle \vee \langle B \rangle$$

$$\text{NdAx3} \quad \langle A/B \rangle \quad \equiv \quad \langle A[\langle B \rangle] \rangle$$

$$\text{NdAx4} \quad \langle \cdot [\phi] \rangle \quad \equiv \quad \phi$$

Note how little was needed to ensure booleanity!
(by Huntington's result from the 1930's)

And NdAx2–NdAx4 just mimick PrAx2—PrAx4
(redundancy: price to pay for two-sorted signature)

Axioms in one-sorted signature

Recall all the two-sorted axioms for predicates and expressions:

$$\text{PrAx1} \quad A[\neg\langle B \rangle] / B \equiv \perp$$

$$\text{PrAx2} \quad A[\phi \vee \psi] \equiv A[\phi] \cup A[\psi]$$

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$$\text{PrAx4} \quad \cdot[\langle \cdot \rangle] \equiv \cdot$$

$$\text{NdAx1} \quad \phi \equiv \neg(\neg\phi \vee \psi) \vee \neg(\neg\phi \vee \neg\psi)$$

$$\text{NdAx2} \quad \langle A \cup B \rangle \equiv \langle A \rangle \vee \langle B \rangle$$

$$\text{NdAx3} \quad \langle A/B \rangle \equiv \langle A[\langle B \rangle] \rangle$$

$$\text{NdAx4} \quad \langle \cdot[\phi] \rangle \equiv \phi$$

Axioms in one-sorted signature

Here is a one-sorted axiomatization for \sim over idempotent semi-ring axioms found by Hollenberg:

$$\begin{aligned}\sim A / A &\equiv \perp \\ \sim \sim A / A &\equiv A \\ \sim(A/B) / A &\equiv (\sim(A/B) / A) / \sim B \\ \sim(A \cup B) &\equiv \sim A / \sim B \\ \sim A \cup \sim B &\equiv \sim \sim (\sim A \cup \sim B)\end{aligned}$$

We need to add one more axiom for tests:

$$?p \equiv \sim \sim ?p$$

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In order to find more interesting equivalences,
we have to move to other fragments

Axioms for Linear Axes

The non-transitive case:

$$\text{LinAx1} \quad s[\neg\phi] \equiv \cdot[\neg\langle s[\phi] \rangle] / s \quad \text{for } s \in \{\rightarrow, \leftarrow, \uparrow\}$$

This forces **functionality** of the corresponding axis

Axioms for Transitive Axes

One for node expressions, one for path expressions:

$$\text{TransAx1} \quad \langle \mathbf{s}^+ [\phi] \rangle \equiv \langle \mathbf{s}^+ [\phi \wedge \neg \langle \mathbf{s}^+ [\phi] \rangle] \rangle$$

$$\text{TransAx2} \quad \mathbf{s}^+ \equiv \mathbf{s}^+ \cup \mathbf{s}^+ / \mathbf{s}^+$$

The first one is called the **Löb axiom** and forces well-foundedness

Don't get modal logicians started on it—
people wrote books about this formula

In particular, all the consequences of TransAx2 for *node expressions*
can be already derived from TransAx1

I can neither prove nor disprove that for *path expressions*
TransAx2 is (ir-)redundant

Finally, Axes which Are Both Transitive and Linear

$$\text{LinAx2} \quad \cdot [\langle s^+ [\phi] \rangle] / s^+ \quad \equiv \quad s^+ [\phi] \cup s^+ [\phi] / s^+ \cup s^+ [\langle s^+ [\phi] \rangle] \\ \text{for } s \in \{\rightarrow, \leftarrow, \uparrow\}$$

together with transitivity axioms

This forces the corresponding axis is a linear order

Single Axis Completeness Result

Theorem

- *Base axioms* are complete for *Core XPath*(\downarrow)
- *Base axioms* with *LinAx1* are complete for *other intransitive* single axis fragments
- *Base axioms* with *TransAx1* and *TransAx2* are complete for *Core XPath*(\downarrow^+)
- *Base axioms* with *TransAx1*, *TransAx2* and *LinAx2* are complete for *other transitive* single axis fragments

A Few Words About Proofs

- First, rewrite node expressions to **simple node expressions**:

$$\text{siNode} ::= \langle \cdot \rangle \mid p \mid \langle a[\text{siNode}] \rangle \mid \neg \text{siNode} \mid \text{siNode} \vee \text{siNode}$$

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They are isomorphic variants of **modal formulas**

- Using **normal form theorems** for modal logic, we provide a completeness proof for node expressions

A Few Words About Proofs cntd.

- Then we rewrite all path expressions as sums of sum-free expressions of the form

$$S = \cdot [\beta_1] / \mathbf{a} [\beta_2] / \dots / \mathbf{a} [\beta_\ell],$$

(all β_i are normal forms of

- the same **nesting degree** in case of transitive axes
- strictly decreasing degree for intransitive axes)

In case of linear axes, we can even guarantee that every formula is witnessed further down the chain

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 - one is **a subsequence** of the other—**provably contained** or
 - there is **a countermodel** for containment

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But as our proofs used **only Birkhoff's rules**
they are quite flexible and adding this axiom **does not hurt**

Starting from the Other End

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LET'S GO FOR THE WHOLE CORE XPATH!

Axiom For Axes Dependencies

$$\begin{array}{lcl} \text{TreeAx1} \left\{ \begin{array}{l} s^+ / s \cup s \equiv s^+ \\ s / s^+ \cup s \equiv s^+ \end{array} \right. & & \\ \text{TreeAx2} & s[\phi] / s^\smile \equiv \cdot [\langle s[\phi] \rangle] \text{ (for } s \text{ distinct than } \uparrow) & \\ \text{TreeAx3} & \uparrow[\phi] / \downarrow \equiv (\leftarrow^+ \cup \rightarrow^+ \cup \cdot) [\langle \uparrow[\phi] \rangle] & \\ \text{TreeAx4} \left\{ \begin{array}{l} \leftarrow^+ \equiv \leftarrow^+ [\langle \uparrow \rangle] \\ \rightarrow^+ \equiv \rightarrow^+ [\langle \uparrow \rangle] \end{array} \right. & & \end{array}$$

TreeAx1 says: s^+ is a transitive closure of s

TreeAx2 says non-child axes are functional
and describes their converse

TreeAx3 forces \uparrow is the converse of (non-functional) \downarrow
with TreeAx4, it also describes how horizontal and vertical axes
interplay

Theorem

*The axioms presented so far are complete for
Core XPath node expressions*

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Proof.

By reduction to simple node expressions
and derivation of all axioms of **modal logic of finite trees**
by Blackburn, Meyer-Viol, de Rijke



(boolean axioms)


$$\begin{array}{ll}
 \langle \mathbf{s} [\neg \langle \cdot \rangle] \rangle & \equiv \neg \langle \cdot \rangle \\
 \langle \mathbf{s} [\phi \vee \psi] \rangle & \equiv \langle \mathbf{s} [\phi] \rangle \vee \langle \mathbf{s} [\psi] \rangle \\
 \phi & \leq \neg \langle \mathbf{s} [\neg \langle \mathbf{s}^\sim [\phi] \rangle] \rangle \\
 \langle \mathbf{s} [\neg \phi] \rangle \wedge \langle \mathbf{s} [\phi] \rangle & \equiv \neg \langle \cdot \rangle \text{ (for } \mathbf{s} \text{ distinct than } \uparrow) \\
 \langle \mathbf{s} [\phi] \rangle \vee \langle \mathbf{s} [\langle \mathbf{s}^+ [\phi] \rangle] \rangle & \equiv \langle \mathbf{s}^+ [\phi] \rangle \\
 \neg \langle \mathbf{s} [\phi] \rangle \wedge \langle \mathbf{s}^+ [\phi] \rangle & \leq \langle \mathbf{s}^+ [\neg \phi \wedge \langle \mathbf{s} [\phi] \rangle] \rangle \\
 \langle \mathbf{s} [\langle \cdot \rangle] \rangle & \leq \langle \mathbf{s}^+ [\neg \langle \mathbf{s} [\langle \cdot \rangle] \rangle] \rangle \\
 \text{TransAx1 for } \downarrow^+ \text{ and } \rightarrow^+ & \\
 \langle \downarrow [\neg \langle \leftarrow \rangle \wedge \neg \langle \rightarrow^* [\phi] \rangle] \rangle & \leq \neg \langle \downarrow [\phi] \rangle \\
 \langle \downarrow [\phi] \rangle & \leq \langle \downarrow [\neg \langle \leftarrow \rangle] \rangle \wedge \langle \downarrow [\neg \langle \rightarrow \rangle] \rangle \\
 \neg \langle \uparrow \rangle & \leq \neg \langle \leftarrow \rangle \wedge \neg \langle \rightarrow \rangle
 \end{array}$$

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Add the separability rule:

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THEN $A \equiv B$.

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THEN $A \equiv B$.

Except for spoiling the whole equational story,
it does not sit too well with the labelling axiom ...

The Nasty Trick Does Its Job

...but it's perfect for obtaining **complexity** results
for **query equivalence** problem
by using **reductions to corresponding modal logics**

Complexity Theorem

Theorem

- Query equivalence of Core XPath($\rightarrow^+, \leftarrow^+$), Core XPath(\uparrow^+), Core XPath(s) (for $s \in \{\uparrow, \leftarrow, \rightarrow\}$) is coNP-complete.
- Query equivalence of Core XPath($\leftarrow^+, \leftarrow, \rightarrow^+, \rightarrow, \uparrow^+, \uparrow$) is PSPACE-complete.

Thus, the PSPACE upper bound applies to all its sublanguages.

- Query equivalence of Core XPath(\downarrow) and Core XPath(\downarrow^+) is PSPACE-complete.

Thus, all extensions of this fragment are PSPACE-hard.

- Query equivalence of Core XPath(\downarrow, \downarrow^+) is EXPTIME-complete.
- Thus, all extensions of this fragment are EXPTIME-hard.*

...by reductions to complexity results for modal logics like **K**, **K4**, **Alt.1** and **fragments of tense/temporal logic on linear and branching orders**.

The most interesting one is for the second clause—somewhat tricky embedding into a logic of Sistla and Clarke.

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- **non-orthodox** axiomatization for **path equivalences** of full Core XPath 1.0
- **computational complexity results** for path equivalences in most meaningful sublanguages of Core XPath 1.0

What we have not seen so far ...

- Definability and expressivity results (for finite sibling-ordered trees ...)
- Results for fragments of XPath **stronger than CoreXPath 1.0**

From now on, I am going to use Balder Ten Cate's M4M 2007 slides

Expressive power

Possible yardsticks for expressive power on trees:

- First-order logic (FO), (cf. Codd completeness of SQL/RA)
- Monadic second-order logic (MSO)
- ... — e.g., in between FO and MSO lies FO(TC)

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Decidable characterizations?

Expressive power (ct'd)

Descendant-only fragment

CoreXPath(\downarrow^*) node expressions have the same expressive power as MSO formulas $\varphi(x)$ for which

- (i) truth of $\varphi(x)$ at a node depends only on the subtree
- (ii) $\varphi(x)$ does not distinguish children from descendants, i.e., the following operation preserves truth/falsity at the root:

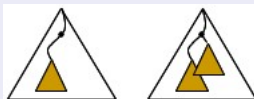


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Moreover, the proof is *effective*: it yields a decision procedure.

A Lost Exercise

Exercise

- 1 Prove that **finite sibling-ordered trees** are bisimilar iff they are isomorphic
- 2 Does this result hold for arbitrary trees?

Expressive power (ct'd)

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Syntactic characterization of Core XPath (Marx-De Rijke 05)

Core XPath node expressions have the same expressive power as formulas $\phi(x)$ in the *two-variable fragment* of $FO[R_{\downarrow}, R_{\downarrow}^*, R_{\rightarrow}, R_{\rightarrow}^*]$.

There is a similar characterization for *path expressions*.

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- Core XPath is *reasonably expressive* yet *computationally attractive*.
- In the remainder of this talk, we consider two extensions:
 - 1 *Regular XPath*: the extension of Core XPath with full *transitive closure*.
 - 2 *Core XPath 2.0*: the navigational core of XPath 2.0, featuring *path intersection and complementation* and more.

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The extension of Core XPath with transitive closure is called *Regular XPath*.

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- *path expressions*

$\alpha ::= \uparrow \mid \downarrow \mid \leftarrow \mid \rightarrow \mid . \mid \alpha/\beta \mid \alpha \cup \beta \mid \alpha^* \mid \alpha[\phi]$

Syntax of Regular XPath

- Regular XPath has two types of expressions:

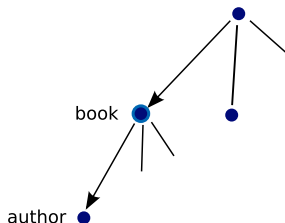
- *path expressions*

$\alpha ::= \uparrow \mid \downarrow \mid \leftarrow \mid \rightarrow \mid . \mid \alpha/\beta \mid \alpha \cup \beta \mid \alpha^* \mid \alpha[\phi]$

- *node expressions*

$\phi ::= p \mid \neg\phi \mid \phi \wedge \psi \mid \langle \alpha \rangle$

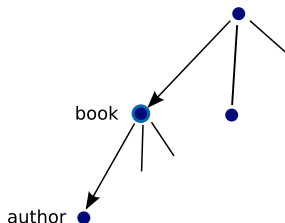
An example



“Go to the next book that has at least two authors.”

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In Regular XPath:

$$(\rightarrow [\neg twoauthorbook])^* / \rightarrow [twoauthorbook]$$

where *twoauthorbook* stands for
 $book \wedge \langle \downarrow [author] / \rightarrow^+ [author] \rangle$.

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Let *first* be short for $\neg\langle\leftarrow\rangle$.
Let *last* be short for $\neg\langle\rightarrow\rangle$.

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Let *last* be short for $\neg\langle\rightarrow\rangle$.
- Let *suc* be shorthand for $\downarrow[\textit{first}] \cup .[\textit{leaf}]/(\uparrow \textit{while last})/\rightarrow$
(the successor in depth first left-to-right ordering).

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- Let *root* be short for $\neg\langle\uparrow\rangle$.
Let *leaf* be short for $\neg\langle\downarrow\rangle$.
Let *first* be short for $\neg\langle\leftarrow\rangle$.
Let *last* be short for $\neg\langle\rightarrow\rangle$.
- Let *suc* be shorthand for $\downarrow[\textit{first}] \cup .[\textit{leaf}]/(\uparrow \textit{while last})/\rightarrow$
(the successor in depth first left-to-right ordering).
- Then $\langle (suc/suc)^*[\textit{leaf}]/(\uparrow \textit{while last})[\textit{root}] \rangle$ is true at the root iff the number of nodes is even.

One more example

- Consider game trees:
 - *leafs* are labeled by *Anne-wins* or *Bob-wins*
 - *inner nodes* are labeled by *Anne's-move* or *Bob's-move*

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- Consider game trees:
 - *leafs* are labeled by *Anne-wins* or *Bob-wins*
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- Puzzle:
Show that “*Anne has a winning strategy*” is expressible.

Expressive power of Regular XPath

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(after all, Regular XPath *has* a transitive closure operator!)

- We managed to prove this only if we extend Regular XPath with a “*within*” operator W :

$$T, n \models W\phi \quad \text{iff} \quad T_n, n \models \phi$$

(cf. temporal logics with forgettable past)

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Regular XPath(W) path expressions define the same binary relations as $FO(TC)$ formulas with two free variables. Similarly for node expressions.

- **Corollary:** Regular XPath(W) is closed under path intersection and complementation.

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Axiomatizations and complexity

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As for complexity,

- Query evaluation can still be performed in *PTime* even for *Regular XPath(W)*.
- Query containment is still *ExpTime-complete* for *Regular XPath* but it is *2ExpTime-complete* for *Regular XPath(W)*

Core XPath 2.0

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- *Intersection* and *complementation* of path expressions.

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- The *variables and for-loops* make it possible to give a linear translation from first-order logic to Core XPath 2.0:

$$TR(\phi(x, y)) = \text{for } \$x \text{ in } ., \$y \text{ in } \top \text{ return } \$y[TR'(\phi)]$$

$$TR'(x = y) = \langle \top[. \text{ is } \$x \wedge . \text{ is } \$y] \rangle$$

$$TR'(R_{\downarrow} xy) = \langle \top[. \text{ is } \$x \wedge \langle \downarrow[. \text{ is } \$y] \rangle] \rangle$$

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$$TR'(\phi \wedge \psi) = TR'(\phi) \wedge TR'(\psi)$$

$$TR'(\neg \phi) = \neg TR'(\phi)$$

$$TR'(\exists x. \phi) = \text{for } \$x \text{ in } \top \text{ return } TR'(\phi)$$

where \top is shorthand for $\uparrow^* / \downarrow^*$ (the universal relation)

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(even adding only *path intersection* to Core XPath makes it 2ExpTime-complete.)
- Is there anything interesting left to say about XPath 2.0?
- Sure! For example, *axiomatization*.
- We have two *complete axiomatizations* of path equivalence in Core XPath 2.0: one with and one without variables.

The case without variables

- Recall that, without variables, Core XPath 2.0 is essentially a version of *Relation Algebra* interpreted on *finite sibling ordered trees*.

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- One apparent problem: Relation Algebra has no node tests.

However, these can easily be translated away:

$$\begin{array}{lll} \textit{Pred1.} & \alpha[\phi \wedge \psi] & \equiv \alpha[\phi][\psi] \\ \textit{Pred2.} & \alpha[\phi \vee \psi] & \equiv \alpha[\phi] \cup \alpha[\psi] \\ \textit{Pred3.} & \alpha[\neg\phi] & \equiv \alpha - \alpha[\phi] \\ \textit{Pred4.} & \alpha[\langle\beta\rangle] & \equiv \alpha / ((\beta/\top) \cap .) \end{array}$$

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$$\text{Pred4. } \alpha[\langle\beta\rangle] \equiv \alpha / ((\beta/\top) \cap .)$$

- Besides these axioms, our axiomatization for variable free Core XPath 2.0 contains *two groups of axioms*:
 - General axioms of Boolean Algebra and Relation Algebra
 - Axioms describing (first-order) properties of trees.

Axioms of Boolean algebra

- BA1. $\alpha \cup (\beta \cap \gamma) \equiv (\alpha \cup \beta) \cap (\alpha \cup \gamma)$
- BA2. $\alpha \cap (\beta \cup \gamma) \equiv (\alpha \cap \beta) \cup (\alpha \cap \gamma)$
- BA3. $\alpha \cup \beta \equiv \beta \cup \alpha$
- BA4. $\alpha \cap \beta \equiv \beta \cap \alpha$
- BA5. $\alpha \cup (\beta \cap \gamma) \equiv (\alpha \cup \beta) \cap (\alpha \cup \gamma)$
- BA6. $\alpha \cap (\beta \cup \gamma) \equiv (\alpha \cap \beta) \cup (\alpha \cap \gamma)$
- BA7. $\alpha \cup (\alpha \cap \beta) \equiv \alpha$
- BA8. $\alpha \cap (\alpha \cup \beta) \equiv \alpha$
- BA9. $\alpha \cup (\top - \alpha) \equiv \top$
- BA10. $\alpha \cap (\top - \alpha) \equiv \perp$
- BA11. $\alpha \cap (\top - \beta) \equiv \alpha - \beta$

The axioms of Relation algebra

- RA1. $\alpha/(\beta/\gamma) \equiv (\alpha/\beta)/\gamma$
- RA2. $\alpha/. \equiv \alpha$
- RA3. $(\alpha \cup \beta)/\gamma \equiv \alpha/\gamma \cup \beta/\gamma$
- RA4. $(\alpha \cup \beta)^\smile \equiv \alpha^\smile \cup \beta^\smile$
- RA5. $(\alpha/\beta)^\smile \equiv \beta^\smile/\alpha^\smile$
- RA6. $(\alpha^\smile)^\smile \equiv \alpha$
- RA7. $(\alpha/(\top - (\alpha^\smile/\beta))) \subseteq \top$ except β
- To completely axiomatize relation algebra, normally, one needs to add also *Venema's Rule*:
If X is a relation variable not occurring in α and $X - (((\top - .)/X/\top) \cup (\top/X/(\top - .))) \subseteq \alpha$ then $\alpha \equiv \top$.

Fortunately, this rule turns out to be derivable in our case.

The axioms for finite sibling ordered trees

<i>Tr1.</i>	$\downarrow^+ / \downarrow^+$	\subseteq	\downarrow^+
<i>Tr2.</i>	$\downarrow^+ \cap \uparrow^+$	\equiv	\perp
<i>Tr3a.</i>	\downarrow^+	\equiv	$\downarrow \cup (\downarrow / \downarrow^+)$
<i>Tr3b.</i>	\downarrow	\equiv	$\downarrow^+ - (\downarrow^+ / \downarrow^+)$
<i>Tr4.</i>	$.[\langle \uparrow \rangle]$	\equiv	$.[\uparrow^+ [\neg \langle \uparrow \rangle]]$
<i>Tr5.</i>	$\downarrow^+ / \uparrow^+$	\equiv	$\downarrow^+ \cup .[\langle \downarrow \rangle] \cup .[\langle \downarrow \rangle] / \uparrow^+$
<i>Tr6.</i>	$\rightarrow^+ / \rightarrow^+$	\subseteq	\rightarrow^+
<i>Tr7.</i>	$\rightarrow^+ \cap \leftarrow^+$	\equiv	\perp
<i>Tr8a.</i>	\rightarrow^+	\equiv	$\rightarrow \cup (\rightarrow / \rightarrow^+)$
<i>Tr8b.</i>	\rightarrow	\equiv	$\rightarrow^+ - (\rightarrow^+ / \rightarrow^+)$
<i>Tr9.</i>	$.[\leftarrow]$	\equiv	$.[\leftarrow^+ [\neg \langle \leftarrow \rangle]]$
<i>Tr10.</i>	$\rightarrow^+ \cup \leftarrow^+$	\equiv	$(\uparrow / \downarrow) - .$
<i>Tr11.</i>	$.\cup \uparrow^+ \cup \downarrow^+ \cup$ $(\uparrow^* / \rightarrow^+ / \downarrow^*) \cup (\uparrow^* / \leftarrow^+ / \downarrow^*)$	\equiv	\top
<i>Ind.</i>	$\top[\langle \alpha \rangle]$	\equiv	$\top[\langle \alpha - (\alpha / \ll) \rangle]$

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Expressivity: FO^2

Query evaluation: PTime

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- **Core XPath:** the navigational core of XPath 1.0
Expressivity: FO^2
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Query equivalence: ExpTime-complete
- **Regular XPath(W):** the extension with $*$ and W .
Expressivity: same as $FO(TC)$
Query evaluation: PTime
Query equivalence: 2ExpTime-complete.
- **Core XPath 2.0:** the navigational core of XPath 2.0
Expressivity: same as FO.
Query evaluation: PSpace-complete
Query equivalence: non-elementary hard.

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