

# Queries, Modalities, Relations, Trees, XPath

## Lecture II

### Preliminaries: Sets, Relations, Structures

Tadeusz Litak

Department of Computer Science  
University of Leicester

July 2010: draft

$$A := \{0, 1, 2, 3, 4\}$$

$$B := \{0, 1, 1, 2, 2, 3, 4, 4\}$$

$$C := \{x \in \mathbb{N}_0 \mid x \leq 4\}$$

## Exercise

- 1 Is  $A = B$ ?
- 2 Is  $B = C$ ?
- 3 Is  $A = C$ ?

## Definition (Cardinality)

The number of elements of a set  $A$  is called its **cardinality** and denoted by  $|A|$ .

For infinite  $A$ , in these lectures we just write  $|A| = \infty$ .

(There is a theory of cardinality for infinite sets, but we do not have to enter into this)

## Exercise

- 1 What is  $|\emptyset|$ ?
- 2 What is  $|\{0, 1, 2, 3, 4, 5\}|$ ?
- 3 What is  $|\{x \in \mathbb{N}_0 \mid x \leq 4 \text{ and } x \text{ is even}\}|$ ?
- 4 What is  $|\{x \in \mathbb{N}_0 \mid x \leq 4 \text{ and } x \text{ is odd}\}|$ ?

# Subsets and Powersets

## Definition

•  $A \subseteq B$  if  $\forall a \in A. a \in B$

[subset]

•  $\mathcal{P}(B) := \{A \mid A \subseteq B\}$

[powerset]

## Exercise

- 1 Is  $\emptyset \in \{\{\emptyset\}\}$ ?
- 2 Is  $\{\emptyset\} \in \{\{\emptyset\}\}$ ?
- 3 Is  $\emptyset \subseteq \{\{\emptyset\}\}$ ?
- 4 Is  $\{\emptyset\} \subseteq \{\{\emptyset\}\}$ ?
- 5 Is  $\emptyset \in \mathcal{P}(\{\{\emptyset\}\})$ ?
- 6 Is  $\{\emptyset\} \in \mathcal{P}(\{\{\emptyset\}\})$ ?
- 7 Is  $\emptyset \subseteq \mathcal{P}(\{\{\emptyset\}\})$ ?
- 8 Is  $\{\emptyset\} \subseteq \mathcal{P}(\{\{\emptyset\}\})$ ?

# Ordered Pairs

## Definition (Ordered Pair)

$$\langle a, b \rangle := \{\{a\}, \{a, b\}\}$$

## Exercise

- 1 Is  $\langle 0, 1 \rangle = \langle 1, 0 \rangle$ ?
- 2 Is  $\langle 0, 0 \rangle = \{0\}$ ?

# Ordered Tuples

## Definition (Ordered Tuple)

$$\langle a_0, \dots, a_n \rangle := \langle \langle a_0, \dots, a_{n-1} \rangle, a_n \rangle$$

## Exercise

- 1 Is  $\langle 0, 0, 1 \rangle = \langle 0, 1, 1 \rangle$ ?
- 2 Is  $\langle 0, 0, 0 \rangle = \langle 0, 0 \rangle$ ?

# Cartesian Products, Relations

## Definition

- $A \times B := \{\langle a, b \rangle \mid a \in A, b \in B\}$  [cartesian product]
- $A^n := \underbrace{A \times \dots \times A}_n$  [cartesian power]
- $A$  (binary) relation between  $A$  and  $B$ : a subset of  $A \times B$
- A subset of  $A_1 \times \dots \times A_n$  is called  $n$ -ary relation
- A  $n$ -ary relation in  $A$ : a subset of  $A^n$
- For any set  $A$ , let  $\cdot_A := \{\langle a, a \rangle \mid a \in A\}$  [identity relation]

## Exercise

- 1 What is the cardinality of  $\{0, 1\}^2$ ?
- 2 How many relations there are on  $\{0, 1\}$ ?
- 3 For what  $A$  and  $B$ ,  $\emptyset$  is a relation from  $A$  to  $B$ ?
- 4 Are there any other relations one can define on any  $A$  except for  $\emptyset$  and  $\cdot_A$ ?



# Composition of relations

## Definition

Let  $R \subseteq A \times B$ ,  $S \subseteq B \times C$ . Then

$$R/S := \{\langle a, c \rangle \mid \exists b \in B. \langle a, b \rangle \in R \text{ and } \langle b, c \rangle \in S\}$$

[composition]

## Exercise

- 1 Let  $R \subseteq A \times B$ . What is  $\cdot_A/R$ ?  $R/\cdot_B$ ?
- 2 Is  $(R/S)/T = R/(S/T)$ ?
- 3 What is  $R/\emptyset$ ?

# Images, Domain and Range, Functionality

## Definition

Let  $R \subseteq X \times Y$ ,  $A \subseteq X$ ,  $B \subseteq Y$ .

- $R[A] := \{y \in Y \mid \exists a \in A. aRy\}$  [image]
- $R^{-1}[B] := \{x \in X \mid \exists b \in B. xRb\}$  [inverse image]
- $\text{dom}(R) := \{x \mid R[\{x\}] \neq \emptyset\}$  [domain]
- $\text{ran}(R) := \{y \mid R^{-1}[\{y\}] \neq \emptyset\}$  [range]
- $R$  is **functional** if for any  $x \in X$ ,  $|R[\{x\}]| \leq 1$

# Images, Domain and Range, Functionality

## Definition

Let  $R \subseteq X \times Y$ ,  $A \subseteq X$ ,  $B \subseteq Y$ .

- $R[A] := \{y \in Y \mid \exists a \in A. aRy\}$  [image]
- $R^{-1}[B] := \{x \in X \mid \exists b \in B. xRb\}$  [inverse image]
- $\text{dom}(R) := \{x \mid R[\{x\}] \neq \emptyset\}$  [domain]
- $\text{ran}(R) := \{y \mid R^{-1}[\{y\}] \neq \emptyset\}$  [range]
- $R$  is **functional** if for any  $x \in X$ ,  $|R[\{x\}]| \leq 1$

With every functional relation  $R$  from  $A$  to  $B$  we can associate a **partial function**  $f_R$  defined as  $f_R(a) = b$  iff  $aRb$ .

(partial meaning possibly undefined for some elements of  $A$ )

In the same way, with every partial function  $f$  we can associate a partial function  $R_f$  **the graph of  $f$**

$$\text{dom}(f) := \text{dom}(R_f)$$

# Images, Domain and Range, Functionality

## Definition

Let  $R \subseteq X \times Y$ ,  $A \subseteq X$ ,  $B \subseteq Y$ .

- $R[A] := \{y \in Y \mid \exists a \in A. aRy\}$  [image]
- $R^{-1}[B] := \{x \in X \mid \exists b \in B. xRb\}$  [inverse image]
- $\text{dom}(R) := \{x \mid R[\{x\}] \neq \emptyset\}$  [domain]
- $\text{ran}(R) := \{y \mid R^{-1}[\{y\}] \neq \emptyset\}$  [range]
- $R$  is **functional** if for any  $x \in X$ ,  $|R[\{x\}]| \leq 1$

With every functional relation  $R$  from  $A$  to  $B$  we can associate a **partial function**  $f_R$  defined as  $f_R(a) = b$  iff  $aRb$ .

(partial meaning possibly undefined for some elements of  $A$ )

In the same way, with every partial function  $f$  we can associate a partial function  $R_f$  **the graph of  $f$**

$$\text{dom}(f) := \text{dom}(R_f)$$

# Functions

## Definition

- A partial function  $f$  from  $A$  to  $B$  is called a **[total] function from  $A$  to  $B$**  iff  $\text{dom}(f) = A$ .  
We abbreviate it as  $f : A \mapsto B$ .
- Let  $f : A \mapsto B$ ,  $g : B \mapsto C$ . Then  $g \circ f : A \mapsto C$  is defined as **[composition]**

$$\forall a \in A. \quad (g \circ f)(a) = g(f(a))$$

## Exercise

- 1 Is  $R_{g \circ f}$  composition of  $R_f$  and  $R_g$ ?
- 2 Is  $\cdot_A$  the graph of a total function on  $A$ ?

In set theory, we usually identify a function with its graph

# Indexed families

## Definition

Let  $I$  be a set. Any set-valued function  $A$  from  $I$  gives rise to  $I$ -indexed family of sets:

$$\{A_i\}_{i \in I}$$

# Indexed families

## Definition

Let  $I$  be a set. Any set-valued function  $A$  from  $I$  gives rise to  *$I$ -indexed family of sets*:

$$\{A_i\}_{i \in I}$$

Thus, for example, a *family*  $\{A_i\}$  of subsets of  $X$  denotes a function  $A : I \mapsto \mathcal{P}(X)$

# Indexed families

## Definition

Let  $I$  be a set. Any set-valued function  $A$  from  $I$  gives rise to  *$I$ -indexed family of sets*:

$$\{A_i\}_{i \in I}$$

Thus, for example, a *family*  $\{A_i\}$  of subsets of  $X$  denotes a function  $A : I \mapsto \mathcal{P}(X)$

Most common indexing set:  $N_0$ ,  $N_1$



# Indexed families

## Definition

Let  $I$  be a set. Any set-valued function  $A$  from  $I$  gives rise to  *$I$ -indexed family of sets*:

$$\{A_i\}_{i \in I}$$

Thus, for example, a *family*  $\{A_i\}$  of subsets of  $X$  denotes a function  $A : I \mapsto \mathcal{P}(X)$

Most common indexing set:  $N_0$ ,  $N_1$

Indexed families of sets are used to define *infinitary operations*

# Unions, infinite unions

# Unions, infinite unions

## Definition

- Let  $A, B$  be sets.

[finite union]

$$A \cup B := \{x \mid x \in A \text{ or } x \in B\}$$

- Let  $\{A_i\}_{i \in I}$  be an indexed family of sets.

[infinite union]

$$\bigcup_{i \in I} A_i := \{x \mid \exists i \in I. x \in A_i\}$$

## Exercise

- 1 Is  $R/(S \cup T) = (R/S) \cup (R/T)$ ?
- 2 Is  $R/(\bigcup_{i \in I} T_i) = \bigcup_{i \in I} (R/T_i)$ ?
- 3 Is  $A \times (B \cup C) = (A \times B) \cup (A \times C)$ ?

# Transitive Relations, Chain

## Definition

- $R \subseteq W \times W$  is **transitive** iff for every element  $x, y, z \in W$ ,  $xRy$  and  $yRz$  implies  $xRz$
- A transitive  $R \subseteq W \times W$  is **a chain** iff for  $x \neq y$  either  $xRy$  or  $yRx$  holds

# Transitive Closure

## Definition

Let  $R$  be a relation on  $A$ . Then we define **the transitive closure** of  $R$  as

$$R^+ = \bigcup_{n \in \mathbb{N}_1} \underbrace{R / \dots / R}_n$$

**Reflexive-and-transitive closure** of  $R$  is

$$R^* = \cdot_A \cup R^+$$

## Fact

*The transitive closure of  $R$  is the smallest transitive relation containing it*

## Exercise

- 1 Let  $S = \{\langle n, n+1 \rangle \mid n \in \mathbb{N}_0\}$ . What is  $S^+$ ?
- 2 What is  $S^*$ ?
- 3 What is  $S/S^+$ ?

# Intersections, infinite intersections



# Intersections, infinite intersections

## Definition

- Let  $A, B$  be sets. [finite intersection]

$$A \cap B := \{x \mid x \in A \text{ and } x \in B\}$$

- Let  $\{A_i\}_{i \in I}$  be an indexed family of sets. [infinite intersection]

$$\bigcap_{i \in I} A_i := \{x \mid \forall i \in I. x \in A_i\}$$

## Exercise

- 1 Is  $R/(S \cap T) = (R/S) \cap (R/T)$ ?
- 2 Is  $A \times (B \cap C) = (A \times B) \cap (A \times C)$ ?
- 3 Is  $(A \cap B) \times (C \cap D) = (A \times C) \cap (B \times D)$ ?
- 4 Is  $A \cap \bigcup_{i \in I} B_i = \bigcup_{i \in I} (A \cap B_i)$ ?

# Relational Structures

## Definition

- A **relational signature**  $\Sigma$  is any collection of **relation symbols**  $\{R, S, T, R_1, S_1, \dots\}$ .
- The **basic signature** consists of a single relation symbol  $R$
- A **relational structure/Kripke frame** for  $\Sigma$  is a pair  $\mathfrak{F} = \langle W, \{S^{\mathfrak{F}}\}_{S \in \Sigma} \rangle$ , where each  $S^{\mathfrak{F}} \subseteq W \times W$ .  $W$  is called **the carrier** of  $\mathfrak{F}$  and denoted as  $\underline{\mathfrak{F}}$ .
- The class of all relational structures for  $\Sigma$  will be denoted as  $\text{Str}(\Sigma)$

# Labelled Relational Structures

## Definition

- We fix a collection of **labels**  $\Pi = \{P, P_1, P_2, \dots\}$
- A  **$\Pi$ -labelled relational structure** is a pair  $\mathfrak{M} = \langle \mathfrak{F}, \Lambda \rangle$ , where
  - $\mathfrak{F} \in \mathbf{Str}(\Sigma)$  and
  - $\Lambda : \Pi \mapsto \mathcal{P}(\underline{\mathfrak{F}})$
- $\underline{\mathfrak{M}} := \underline{\mathfrak{F}}$

# Well-Founded relations

## Definition (Well-foundedness)

A relation  $R \subseteq W \times W$  is well-founded iff for every **non-empty**  $S \subseteq W$  there is  $s \in S$  s.t. for no  $s' \in S$ ,  $s'Rs$ .

## Fact

- A relation is well-founded iff its transitive closure is.
- A relation is well-founded iff it contains no infinite descending chains:  $\dots x_3Rx_2Rx_1Rx_0$

## Exercise

- 1 Is  $\langle \mathbb{N}_0, \leq \rangle$  well-founded?
- 2 Is  $\langle \mathbb{N}_0, < \rangle$  well-founded?
- 3 Is  $\langle \mathbb{N}_0, \geq \rangle$  well-founded?
- 4 Is  $\langle \mathbb{N}_0, > \rangle$  well-founded?

## Definition

A relational structure  $\langle W, R^{\mathfrak{M}} \rangle$  is **a tree** if

- for every  $w \in W$ ,  $(R^{\mathfrak{M}})^{-1}[\{w\}]$  is a well-ordered chain
- there is  $r \in W$  s.t.  $R^{\mathfrak{M}}[\{r\}] = W$ . Such  $r$  is called **a root**

## Exercise

- 1 Examples of trees and non-trees: on the whiteboard



## Definition

A relational structure  $\langle W, \downarrow^{\mathfrak{W}}, \rightarrow^{\mathfrak{W}} \rangle$  is a **sibling-ordered tree** if

- $\langle W, (\downarrow^{\mathfrak{W}})^+ \rangle$  is a tree and
- $\rightarrow^{\mathfrak{W}}$  is the successor relation of some linear ordering between siblings